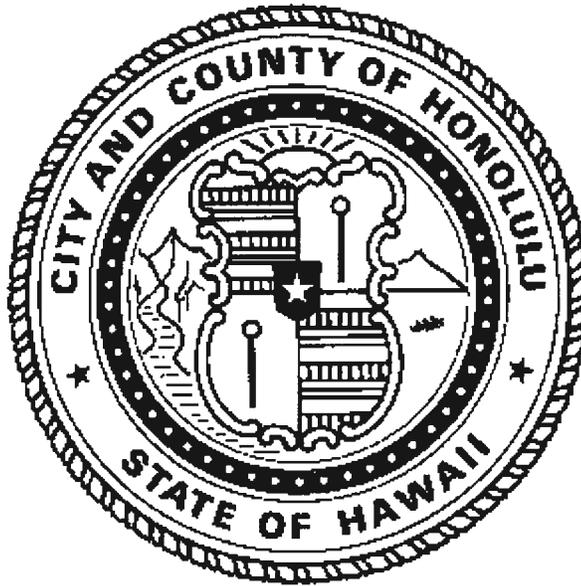


FINAL SEVENTEENTH YEAR ACTION PLAN



Program Year
2011 - 2012

Prepared By
Department of Budget & Fiscal Services
City and County of Honolulu
Revised August 2012

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TABLE OF CONTENTS

I. EXECUTIVE SUMMARY	3
II. INTRODUCTION	4
A. Background	4
B. Responsibility And Process	4
C. Consultation	4
D. Citizen Participation Plan	9
E. Discharge Policy	9
III. ACTION PLAN	11
A. Form Application	11
B. Resources	10
C. Activities To Be Undertaken	17
D. Geographic Distribution	18
E. Affordable Housing	18
F. Public Housing	19
G. Homeless And Other Special Needs	19
H. Other Actions	19
I. HIV/AIDS Housing Goals	19
J. Program Specific Requirements	20
K. Certifications	20
L. Monitoring	20

LIST OF APPENDICES

- A. Logic Model Tables
- B. Required Consolidated Plan Tables and Maps
- C. Certifications
- D. Amended and Restated Citizen Participation Plan

I. EXECUTIVE SUMMARY

A. Introduction

The Final Seventeenth Year Action Plan for the City and County of Honolulu (City) represents a blueprint for the planning and application aspects of HUD's Community Development Block Grant (CDBG), Emergency Shelter Grants (ESG), HOME Investment Partnerships (HOME) and Housing Opportunities for Persons With AIDS (HOPWA) formula programs. The purpose of the Action Plan is to ensure that jurisdictions receiving federal assistance plan for the housing and related needs of low- and moderate-income families in a way that improves the availability and affordability of decent, safe and sanitary housing and a suitable living environment.

B. Housing and Homeless Needs Assessment

Figures from the U.S. Census for 2006 estimate Oahu's population at 909,863, which is approximately 71% of the population of the State. Although the growth rate of the general population has slowed in recent years, segments such as the elderly continue to rise rapidly and represent a significant trend. In addition, Honolulu has a pent-up demand for housing estimated at 18,013 units for the five year period from July 1, 2010 – June 30, 2015. Of this demand, the greatest needs are and will continue to be in the low- and moderate-income households, those making 80% of median income. These income groups exhibit the highest incidence of housing problems – cost burden, substandard units and overcrowding. Other sub-populations that require affordable housing are special needs groups such as frail elderly, persons with disabilities, persons with HIV/AIDS, youth and ex-offenders.

Honolulu had an estimated point-in-time homeless population in 2009 of 3,650 homeless persons on Oahu at any given time during the year. In 2006, there were 12,930 hidden homeless households, households doubled-up or sharing accommodations with others because they could not afford their own homes. The number of at-risk households, households who would lose their housing unit if the chief wage earner were without a job for three months, increased to 57,283 households from 36,454 households in 2003.

An earlier homeless study conducted in 2003 concluded that, on a Statewide-basis, a majority of the homeless were lifetime or long-time residents of Hawaii, and that slightly over one third are Hawaiian or part-Hawaiian, well above the ethnic percentage of the general population. In addition, the "hidden homeless" has almost doubled in the past decade, as those that can least afford rent are driven out of a tight housing market.

For a more detailed assessment, please refer to the Final Consolidated Plan for Fiscal Year (FY) 2011-2015.

C. Housing Market Analysis

Honolulu remains one of the highest priced housing markets in the country. Housing remains unaffordable for most residents. In addition, the "hidden homeless" has almost doubled in the past decade, as those who can least afford rent are driven out of a tight housing market.

For a more detailed assessment, please refer to the Final Consolidated Plan for FY 2010-2015.

D. Strategic/Action Plan

The City has allocated a total of \$17,848,874 in the following programs: \$10,548,256 in Community Development Block Grant (CDBG), \$445,214 in Emergency Shelter Grants (ESG), \$6,382,678 in HOME Investment Partnerships (HOME) and \$472,726 in Housing Opportunities for Persons With AIDS (HOPWA). These funds will be distributed to approximately 30 projects and 13 alternates within the framework of the priorities for housing and community development over the next year, excluding planning and administration. These priorities were approved in 2009 as part of the Final Consolidated Plan for FY 2011-2015, which included logic models showing the outcomes and objectives for this period. These logic models are shown as Appendix A.

II. INTRODUCTION

A. Background

Consolidated Plan regulations defined by the U.S. Department of Housing and Urban Development (HUD) require local governments which will receive federal funds to identify and describe housing and housing service needs and priorities, and to produce a strategic action plan for addressing these needs. This full document was produced in 2009 as the Final Consolidated Plan for FY 2011-2015. It consolidates the planning and application aspects of HUD's Community Development Block Grant (CDBG), Emergency Shelter Grants (ESG), HOME Investment Partnerships (HOME) and Housing Opportunities for Persons With AIDS (HOPWA) formula programs into a single submission. The purpose of the Consolidated Plan is to ensure that jurisdictions receiving federal assistance plan for the housing and related needs of low- and moderate-income families in a way that improves the availability and affordability of decent, safe and sanitary housing and a suitable living environment. The City's Plan consists of:

- A housing and homeless needs assessment;
- A housing market analysis;
- A strategic plan; and,
- An action plan for the geographic area encompassing the island of Oahu.

The Consolidated Plan permits the City to apply a unified vision to address local problems comprehensively and to propose a specific course of action to alleviate these concerns. It builds on local assets and coordinates a response to the needs of the community. It integrates economic, physical, environmental, community and human development in a comprehensive and coordinated fashion so that families and communities can work together and thrive. And lastly, the Consolidated Plan sets forth goals, objectives, and performance benchmarks for measuring progress and establishes a framework for assessing new knowledge and experience and how they can add to a successful plan for revitalization.

This document represents the City and County of Honolulu's (City's) Final Seventeenth (17th) Year Action Plan, which is a section of the Consolidated Plan, and must be updated yearly. It shows the projects that are anticipated to be funded this year that will address the needs of the community as prioritized in the Consolidated Plan.

B. Responsibility and Process

The City's Department of Budget and Fiscal Services, Federal Grants Branch, prepared the Final Action Plan, and is the agency responsible for the administration of the City's CDBG, ESG, HOME and HOPWA programs.

C. Consultation

A public informational/orientation session concerning the CDBG and HOME programs, the Request for Proposals, prequalification materials and application forms was held on September 21, 2010, to provide information to the public on these issues, answer questions and obtain comments. An overview of the CDBG and HOME programs, including the objectives and eligible uses of each program, and the logic model goals used in the Consolidated Plan, was presented. Information specific to the application process was presented followed by a question/answer session regarding the presentation.

The meeting was attended by over 56 persons representing public and private agencies. Agencies that provide assisted housing, health services and social services, including those focusing on children, elderly persons, persons with disabilities, persons with HIV/AIDS and their families, and homeless persons were in attendance. Questions and comments received pertained to the topics of eligible activities, the application process, project specific issues and community needs.

Below are several issues that were brought out by the participants and discussed at this meeting:

Q: Page 1 of the CDBG application asks if we are an institution of higher learning. Can you explain?

- A: We ask if you are faith-based, community-based, or an institution of higher learning. You self-identify yourself as such. We ask because HUD wants us to track such applicants. Whether you are any of these types has no bearing on whether you are funded or not.
- Q: On page 10 of the CDBG application, when identifying the key staff, is it okay if the key staff are not funded by CDBG?
- A: Yes. It is okay if the key staffers are not funded with CDBG.
- Q: Page 12 of the CDBG application asks for "Program Policies or Rules including fee schedule." Are you asking for fee schedule or the policy or the rules on the fee schedule, or both?
- A: Both. We want to see both your fee schedule and your policies and/or rules on fees.
- Q: You say that the amount of CDBG you can devote to public services is capped at 15 percent. Are there similar caps on other types of CDBG projects?
- A: Generally, no. This 15 percent cap applies to public service projects only. However, we do have other "caps" that we apply in other situations. For example, page 5 of the CDBG RFP states costs which are attributable to the administration of the agency or the project must be limited to less than 7% of total costs.
- Q: We want to apply for funding for a project in which we will collaborate with other agencies. Do we all submit the application? Do we all submit separate applications?
- A: One agency should submit the application as the main agency and list the partners.
- Q: Can we submit more than one application for the same project if each application is for different parts of that project?
- A: There is no limit on the number of applications you may submit for one or more projects.
- Q: The DCS Director recommended that inexperienced applicants hire a construction manager. Can you provide us with a list of such experienced construction managers?
- A: We do not have an official list of experienced construction managers. However, if you are awarded funds, we will suggest some names of persons with whom we have worked with recently.
- Q: Do Davis-Bacon labor standards apply to all projects?
- A: It applies to rehabilitation or construction projects where federal funding exceeds \$2,000. However, the Davis-Bacon requirement would not be applicable if the project is for the rehabilitation or construction of less than 8 residential units for the CDBG program and less than 12 HOME-assisted units for the HOME program.
- Q: We are a hospital, and we already have CDBG funding. How are we supposed to certify the incomes of our patients? What specific documents do we need?
- A: If your contract specifically requires you to keep information on family size and income such that it is evident that your project serves low- and moderate income persons, you can work with City staff on specific documents required. You should choose one of three definitions of "income" specified in the CDBG regulation at 570.3 and your intake form should include all applicable types of income to be included in your determination. You should estimate the family's projected income over the next year based on their prevailing rate of income at the time assistance is provided, which should be documented by copies of pay stubs, Social Security statements, and bank accounts. Please also review paragraph 27, including 27.c. of the sample CDBG subrecipient agreement, which lists the records you will be required to keep. If your current process meets the HUD requirements, it will suffice.

Q: What if I already know that 70 percent of the people that I serve at my community facility are of low- and moderate-income, and I want to allow a classroom of children to use one of my rooms for a one-time event. Do I have to certify the incomes of each of these children?

A: We need specific information about your project to make a determination. If for example, we determine that your facility serves a low-mod area, you do not need to do income determinations for anyone. In general, we do try to minimize the amount of additional paperwork you need to do. However, generally, if your facility does not serve a low-mod area but, instead, serves a low- and moderate-income clientele, and your contract requires you to document the income of your clientele, then you must document income for each user, including those children, even for incidental or short-time usage. Also for CDBG low-mod clientele projects, income documentation means documentation of *family* income (except for low-mod housing projects, which require documentation of household income). This is one of the difficulties with funding community centers that do not serve low-mod areas.

Disclaimer: with regards to the preceding question and many similar ones following about income determination and documentation raised at the informational meeting, the City cannot make definitive and binding determinations without first ascertaining additional specific information about your projects, their target groups, their CDBG national objectives, and so forth.

Q: What if my clients qualify for Medicaid. Does that suffice to prove that they are low- and moderate-income? Suppose I get their Medicaid numbers. Does that suffice?

A: We need specific information about your project to make a determination. In general, CDBG-assisted projects must comply with CDBG regulations on income and documentation, not the regulations or requirements of other programs. In the case of projects that are required to maintain documentation on the incomes of individual clients, and who serve clients whose incomes have been certified by other agencies, HUD requires the CDBG-assisted project to keep copies of the income certification documents for each client. A beneficiary would meet the LMI requirement if receiving Medicaid assistance; however, providing just the Medicaid numbers alone would not suffice. We would recommend, at a minimum, keeping copies of the Medicaid cards on file.

Q: Is there a specific date by which we need to draw down our funds to meet timeliness?

A: As stated on page 6 of the CDBG RFP, we want public service projects to draw down all of their funds in 12 months. The timeliness test occurs annually around the end of April or beginning of May.

Q: Page 3 of the CDBG RFP says that a public service is eligible if it is "a new service or a quantifiable increase in a service now being provided by or on behalf of the City." My project is not receiving funds now. Is this a new service?

A: It is a new service; provided, however, that it is not the continuation of a project that is already being funded by the City's general funds.

Q: Does the "Nondiscrimination" rule on page 6 of the CDBG RFP mean that gender-specific projects are ineligible for funding? For example, a group home for young women?

A: We need specific information about your project to make a determination. In general, projects that serve a particular race, color, national origin, religion, or gender are prohibited. The City has funded certain types of gender-specific housing or shelter projects (not public service projects). In some circumstances HUD has found that facilities/housing with shared living areas or spaces such as those found in shelters, may be limited to a single sex without violating the Fair Housing Act. This interpretation was based in part on Title IX of the Education Act of 1972 which permitted separate dorms for the different sexes. The determination is made on a case by case basis and takes into account such things as the physical limitations or configuration of the facility, and considerations of personal privacy.

Q: Can we apply for both CDBG and HOME funds for the same project, for different parts of the same project?

- A: Yes.
- Q: Did the DCS Director mention that there will be another Request for Proposals for General funds?
- A: Yes. That RFP should be out by the end of the year.
- Q: My public service project rents rooms. Can the renters self-certify their own incomes?
- A: No. The City's policy is not to allow self-certification of income. If your project is located in a low-mod area, however, documentation would not be required.
- Q: What if my project is located in a low-mod area, but also serves people from non-low-mod areas?
- A: We need specific information about the project before making a determination. Note that just because a project is located in a low-mod area does not mean that it will qualify as an Area Benefit project (meaning a project that serves an area where 51% of the residents are low-moderate income). In general, if you choose Area Benefit as your National Objective, you must provide the boundaries of your project's service area (the entire area that will be served by your project) and the nature, size and location of your activity must be consistent with your service area. Before awarding CDBG funds to a project that serves a low-mod area, we are required to ensure that the direct benefit of the project will in fact be the designated low-mod service area. For example, a facility physically located in the Chinatown low-mod area, but that targets tourists from Waikiki, is not considered to be achieving a CDBG national objective.
- Q: If you are not in a low-mod area, do you have to individually certify incomes?
- A: Sometimes. We need specific information about the project before making a determination. Refer to pages 4 and 5 of the CDBG RFP and to page 3 of the CDBG application. Generally, the following types of projects do not need to individually certify incomes: projects that exclusively serve a specific clientele that is presumed to be of low- and moderate-income (e.g., homeless); projects to remove architectural barriers; and projects that by their nature and location lead the City to conclude that they are serving predominately low-mod persons. Note however, that both CDBG- and HOME-assisted housing projects must always individually certify the incomes of the beneficiary-households.
- Q: My project entails rehabilitating a community building and a separate administration building. I know that the community building serves low- and moderate-income persons. But would the administration building be eligible for CDBG rehabilitation if I can show that 51 percent of the staff serves low- and moderate-income persons?
- A: We need specific information about the project before making a determination. These are some of the general guidelines we will follow. We would not award CDBG funds for buildings that are used for purely administrative purposes, i.e., that do not provide services directly to the low-mod clientele. We would limit administrative space in a CDBG-assisted building to 7 percent of the floor area. In a multi-funded building, or in a building that is currently used for eligible and ineligible CDBG purposes, unless specific provisions are negotiated and included in the CDBG contract that clearly delineate the CDBG-assisted areas and non-CDBG areas of the building, we would require 100 percent of the building to comply with the CDBG regulations.
- Q: We are not located in a low- and moderate-income area. But we transport children from low-mod areas into our facility. Do we still have to individually income certify the children?
- A: We need specific information about the project before making a determination. In general, if your project is/will be required to certify income, then you would have to certify income of all clients, even those transported in from low-mod areas.
- Q: Are funds awarded to us in the form of grants or loans? Do we have to repay the funds?
- A: Most of the City's awards are grants, which you do not have to pay back. You may choose to do a loan. We have made different types of loans, some of which do not require repayment. Some capital

projects, funded by loans or grants, must pay back to the City "program income." Refer to Section II, paragraph 9, entitled "Program Income" on page 8 of the sample CDBG subrecipient agreement. If your capital project is multi-funded, you may have to repay a prorated share of the income from the project as program income.

Q: The evaluation criteria say that different points are given to projects that are partially or entirely funded with CDBG?

A: Yes. The lower the percentage of HUD funds, greater the number of points awarded. The Selection Committee does consider the amount of leverage the project is using.

Q: We want to acquire real property. But we won't get the funds for a year. What can be done about that?

A: We recognize the difficulty in securing a commitment to acquire property with funds that won't be available for a year. Nevertheless, we encourage you to provide whatever documentation you have to show that you have a particular site in mind. Note that you may not enter into a purchase agreement for any property prior to the award of funds and environmental clearance of the property. A revocable option agreement is allowable prior to the award and environmental clearance, but the costs of the option must be nominal. Contact the City if this applies to your project.

Q: We anticipate that some of our funding will be from the State. But we aren't guaranteed those funds.

A: Your application should tell us all of the funding sources that you are applying for, not just those that have committed to funding you.

Q: Suppose my facility is serving 100 people, and I know that 70 of them are low-mod. Do I still have to certify everyone's income? Most community buildings serve a lot people and a wide variety....

A: If you are required to income certify the users of your facility, you must income certify all users. Facilities that serve so many people that income certification is not feasible or possible are best located in a low-mod service area.

Q: When will we be notified if we were awarded funds or not?

A: We plan to send out individual notifications at the end of March, following the City Council's approval of our Action Plan, which is still subject to HUD approval.

A Public Hearing was conducted on Monday, September 27, 2010, to obtain the views and comments of the public with regards to the City's performance review, and housing and community development needs for the upcoming Action Plan. However, at the appointed time and place, no members of the public were present. As such, no testimony was given on the above subjects. No written testimony was received.

The City has used several City Council and Budget Committee meetings for the budget resolution/ordinance scheduled during the months of February through April 2011 as public hearings to get further public input.

In order to broaden participation, the City uses its website to facilitate providing information to the public. The current and several past Action Plans, as well as the Consolidated Plan for Fiscal Years 2011-2015, are available at www.honolulu.gov/budget/cdbg.htm. The Request for Proposal documents along with Project Proposal applications were available at www.honolulu.gov/pur and the City also maintained an e-mail subscription list where interested parties could sign up and would be notified of CDBG and HOME funding announcements.

The Final Seventeenth Year Action Plan was made available in March and April 2011 to all interested parties as a way of further soliciting input and comments on the Action Plan in total and on specific areas of concern. The final version will be made available in May 2011.

D. Citizen Participation Plan

The Department of Budget and Fiscal Services completed development of the City's Citizen Participation Plan in May 1995, which was approved by HUD along with the Final First Year Consolidated Plan. This Plan was amended in November 2005 and is available for review at www.honolulu.gov/budget/cdbg.htm, as Appendix F of the Consolidated Plan for Fiscal Years 2011-2015.

Pursuant to the Plan, a Public Notice was published in February 2011 inviting the public to review and comment on the draft Action Plan which outlines how the City would be implementing the CDBG, ESG, HOME and HOPWA programs. Adult Friends for Youth submitted a letter dated February 16, 2011 in response to the public notice for the Seventeenth Year Action Plan published in the February 9, 2011 edition of the Honolulu StarAdvertiser. Adult Friends for Youth requested "reconsideration and that it be included in the list of projects to be funded." A letter was sent by the City on March 1, 2011 noting that "unfortunately, despite Adult Friends for Youth's fine work within the community and commendable history of CDBG grant management, the Selection Committee did not rank it within the group of projects that were recommended for funding." Good Beginnings Alliance also submitted a letter dated February 15, 2011 in response to the public notice for the Seventeenth Year Action Plan published in the February 9, 2011 edition of the Honolulu StarAdvertiser. A letter was sent by the City on March 11, 2011 noting that "unfortunately, despite Good Beginnings Alliance's fine work within the community and commendable history of CDBG grant management, the Selection Committee did not rank it within the group of projects that were recommended for funding."

A Public Notice reflecting final program plans, conditioned upon the actual HUD allocation, was published in March 2011. No written testimony was received.

The City is currently in the process of amending its Citizen Participation Plan. A draft of the amended Plan was made available to the public for review and comment in March 2011. No comments were received. A copy of the Amended and Restated Citizen Participation Plan dated May 2011 is attached as Exhibit D.

E. Discharge Policy

The following is the current Discharge Policy for the City, updated in May 2006.

The State of Hawaii Department of Public Safety operates all correctional institutions in the State of Hawaii. The State of Hawaii Department of Health operates all publicly funded hospitals and health clinics including the only hospital for persons with mental illness. There are no county operated correctional institutions or health care facilities.

Veterans. The U. S. Department of Veterans Affairs (VA) has developed a handbook that will be distributed to incarcerated prisoners that includes information on how to access services post release. The VA runs a 14-bed acute care psychiatric unit on the Tripler Army Medical Center Campus. Patients may be enrolled in both the State mental health system and VA system and can be referred to State housing programs or VA programs. This is a change in policy where previously the state mental health system would not serve veterans on the basis that they should be served by the VA.

Prison/Parole/Probation. Facilitated by discussions in the Hawaii Homeless Policy Academy, which became the Hawaii Interagency Council on Homelessness in January 2005, the three agencies dealing with the release of prisoners, the State Department of Public Safety, the Hawaii Paroling Authority, and the Adult Probation Division, had reached an agreement with the Department of Human Services (DHS) which administers the State's financial assistance (welfare), medical insurance and food stamps programs. This agreement allows non-DHS psychiatrists to certify inmates with mental illness on Oahu for eligibility and to process applications for financial assistance prior to the release of incarcerated prisoners. The DHS Benefit, Employment and Support Services Division (BESSD) implemented the early processing of applications effective May 1, 2006. If eligible, an appointment is scheduled with the inmate to coincide with the date of release so that there is no lag time between release and approval.

Persons with Mental Illness. The State Department of Health's Adult Mental Health Division releases Hawaii State Hospital clients into its housing system that includes options ranging from group homes with varying levels of supervision (ranging from 8 to 24 hours) to independent scattered-site apartments.

Foster Care. The nonprofit agency Hale Kipa has entered into an exciting partnership with one of Honolulu's affordable rental properties (in the area of discharge planning for youth exiting the foster care system). The Board of Directors of Hale Pauahi Apartments has agreed to set aside up to ten apartment units for rental to youth exiting foster care, greatly increasing their chances of succeeding in independent living.

III. ACTION PLAN

A. Form Application

The required Consolidated Plan tables and maps are shown in Appendix B.

B. Resources

The City has revised the categories and the order of the priorities for housing and community development projects. Below are the new categories and priorities:

1. Priority One: Service Facilities And Operations

Available programs and services that will be utilized to achieve priorities for service facilities and operations include various Federal, State and local programs.

a. Stewart B. McKinney Homeless Assistance Act

The McKinney Act authorized several programs intended to assist the development of facilities and provision of supportive services to the homeless. The Emergency Shelter Grants Program provides homeless persons with basic shelter and essential supportive services. Under the 1999 Continuum of Care Homeless Assistance Program competitive grant there are three categories: Supportive Housing Program (SHP), Shelter Plus Care Program (S+C) and Moderate Rehabilitation for Single Room Occupancy Dwellings Program (SRO). The Transitional Housing Program element of the Supportive Housing Program provides rehabilitation funding to create transitional shelters for the homeless, as well as operating funds for supportive services programs. The Supplemental Assistance For Facilities To Assist The Homeless Program (SAFAH) supplements assistance provided under the Emergency Shelter Grants Program or Supportive Housing Program. These funds can be used for emergency shelters, transitional and permanent housing, supportive services, property acquisition/rehabilitation and operating expenses.

Funding for Emergency Shelter Grants activities will be subject to the regulations of the new Emergency Solutions Grant and a reprogramming of funds in accordance with the new regulations may occur.

b. Federal Community Development Block Grant (CDBG) Program

This program provides a block grant to the City that can be used for a wide variety of community development activities including public facilities and services as long as there is a direct benefit to persons of low- and moderate-income.

c. Section 108

This program is the loan guarantee provision of the CDBG program. Section 108 provides communities with a source of financing for economic development, housing rehabilitation, public facilities, and large-scale physical development projects. Borrowed funds are guaranteed by the City using current and future CDBG allocations to cover the loan amount as security for the loan.

d. Federal HOME Investment Partnerships (HOME) Program

The development, acquisition and rehabilitation of buildings for use as transitional housing developments is an eligible activity under the HOME program. The required match funding will be fulfilled on a project-by-project basis.

e. Federal Emergency Shelter Grants/Emergency Solutions Grant (ESG) Program

This program can be used to provide moderate rehabilitation assistance to improve living conditions at existing shelters or to rehabilitate existing structures to create new shelters. Provision of essential services to the homeless is also an eligible activity. CDBG funds will be used to satisfy the required one-for-one match.

f. State and City Capital Improvement Programs

These programs, utilizing a combination of Federal, State and County funds, have appropriated monies to finance the development of public facilities and services.

g. State Purchase Of Services Program

There exists an extensive network of private nonprofit social service agencies that administer supportive services to special needs groups. The State, through the Purchase of Services process, has provided operating funds to qualified agencies to administer their supportive service programs.

h. Homeless Shelter Stipend Program

The Hawaii Public Housing Authority (HPHA), formerly the Housing and Community Development Corporation of Hawaii, administers this program that pays private nonprofit operators of homeless shelters a fee, based on a per capita formula, to subsidize the operating costs of the shelters. Shelter operators, in return, are required to provide a specified minimum level of supportive services to their clients.

i. Homeless Emergency Loan/Grant Program

This program, also administered by the HPHA, provides funds to supplement the private resources in private loan/grant programs currently operated by qualified nonprofit agencies. The State funds are used by the nonprofit to provide one-time emergency loans or grants to two types of families with children, a) homeless families who may be in danger of losing their residences and becoming homeless because of a one-time financial emergency and b) families who are homeless and require one-time financial assistance to pay for the first and last months rent in order to move into an apartment.

j. Homeless Outreach Program

Through this program, the HPHA contracts with private operators to reach out to unsheltered homeless families with children to give them emergency medical and social services and assist them in obtaining residence in transitional facilities or permanent housing.

k. Federal Housing Opportunities For Persons With AIDS (HOPWA) Program

This program provides housing assistance and related supportive services for low-income persons with HIV/AIDS and their families. Grants are provided: (1) by formula allocations to States and metropolitan areas with the largest number of cases and incidence of AIDS; and (2) by competitive selection of projects proposed by State and local governments and nonprofit organizations. Grantees are encouraged to develop community-wide comprehensive strategies and to form partnerships with area nonprofit organizations to provide housing assistance and related services for eligible persons.

l. Youthbuild

This program utilizes Department of Labor (formerly HUD-administered) funds to train/educate dropout youth and provides opportunities to enter the local trade unions or the construction industry. As part of the training program, the youth must rehabilitate and/or construct homeless or low- and moderate-income housing.

m. Resident Opportunity and Self Sufficiency Program (ROSS)

These programs utilize HUD funds to address the needs of public housing residents by providing supportive services that will enable the linking of essential services to public housing residents, provide resident

empowerment activities and assistance in becoming economically self-sufficient, provide resources to enhance independent living for the elderly and persons with disabilities, provide capacity building for resident organizations, and improve the overall quality of life for public housing residents. HPHA may apply for funding for supportive services and assistance toward economic self-sufficiency for public housing residents.

2. Priority Two: Housing

Available programs and services that will be utilized to achieve new construction, rehabilitation, acquisition and related infrastructure include various Federal, State and local programs. The following are resources available to address the needs of this section:

a. Federal Low Income Public Housing Program

This program covers low-rent housing units developed by designated Public Housing Authorities pursuant to the U.S. Housing Act of 1937. Funding for substantial rehabilitation, revitalization, modernization, and new construction of Federally assisted public housing projects is available through this program.

b. Section 202

This is currently the major Federal program to assist the development of permanent rental housing for the elderly. The program provides capital advances and project rental assistance to qualified private nonprofit entities to develop rental housing for low-income elderly households. Projects may be new construction or substantial rehabilitation.

c. Section 811

This program provides capital advances to nonprofit developers of rental housing and supportive services for disabled persons. The advance is interest free and repayment is not required as long as the housing remains available for very low-income persons with disabilities for at least 40 years.

d. Supportive Housing Demonstration Program, Permanent Housing for Handicapped Homeless

This program is one of two components authorized through the Supportive Housing Demonstration Program of the Stewart B. McKinney Homeless Assistance Act. It provides interest free advances to qualified private nonprofit entities or a Public Housing Agency to cover the costs of acquisition and/or substantial rehabilitation of existing structures that will provide community-based long term rental housing and appropriate supportive services for not more than eight handicapped homeless persons.

e. Revitalization of Severely Distressed Public Housing (HOPE VI Revitalization) Program

The HOPE VI Revitalization program addresses public housing demolition, site revitalization, and replacement housing. Funds are awarded on a competitive basis and are available to public housing agencies that own or operate public housing units. The program will fund demolition, substantial rehabilitation, reconfiguration and/or replacement of severely distressed units, revitalization of the site, provision of replacement housing, management improvements, resident self-sufficiency programs, provision of tenant-based assistance and assistance to tenants displaced by demolition. HPHA may apply for HOPE VI funds for the revitalization of public housing projects on Oahu, particularly in the Kalihi area.

f. Federal Low Income Housing Tax Credits Program

This program is authorized through the Tax Reform Act of 1986 and is administered through the Hawaii Housing Finance and Development Corporation (HHFDC). The tax credit program was re-authorized by the U.S. Congress in August 1993, retroactive to July 10, 1993. The program makes available tax credits for units rented to low-income occupants. In 2004, the Federal government allocated approximately \$1.80 per capita per year in these tax credits. To earn tax credits, at least 20% of the units in a project must be rented to households

earning incomes of 50% or less of the area median income, or at least 40% of the units must be rented to households with incomes of 60% or less of the area median income.

g. State Low Income Housing Tax Credits Program

The State of Hawaii established a program similar to the Federal low-income housing tax credits program to provide State tax incentives to developers of affordable rental housing projects. However, the program is presently suspended pending its re-authorization by the State Legislature. This program allocates State tax credits equivalent to 30% of the Federal credit.

h. Hula Mae Multi-Family Program

The State administers a program to promote the development of new or the rehabilitation of existing multi-family rental housing by providing interim and/or permanent financing at below market interest rates. To be eligible, developers must set aside either a minimum of 20% of the units for tenants whose incomes are less than 50% of the area median income, or a minimum of 40% of the units for tenants whose incomes are less than 60% of the area median income. These income restrictions must be in place for a minimum of 15 years or the term of the bond. The City administers a similar program.

i. State Rental Housing Trust Fund

Authorized by Act 308, Session Laws of Hawaii 1992, the Rental Housing Trust Fund (RHTF) is intended to act as a flexible financing mechanism which encourages the production of new affordable rental units and increases the capacity of organizations to meet future housing production needs. The trust fund provides loans or grants to eligible applicants which include qualified nonprofit entities and for profit corporations, limited liability companies, partnerships and government agencies. The first priority of funding for the RHTF are projects awarded tax credits or financing administered by HUD or U. S. Department of Agriculture – Rural Development with at least 50% of the units in a supported housing development for families that earn 80% or less than the median income, of which 5% of the units are for families that earn 30% or less of the median income, and the remainder of the units for those earning 100% or less of the median income. The second priority is mixed income rental projects or units in a mixed-income rental project where all units are for persons or families with incomes below 140% of the median income.

j. Federal Community Development Block Grant (CDBG) Program

This program provides a block grant to the City that can be used for a wide variety of community development activities including new construction, rehabilitation, acquisition and related infrastructure as long as there is a direct benefit to persons of low- and moderate-income.

k. Section 108

This program is the loan guarantee provision of the CDBG program. Section 108 provides communities with a source of financing for economic development, housing rehabilitation, public facilities, and large-scale physical development projects. Borrowed funds are guaranteed by the City using current and future CDBG allocations to cover the loan amount as security for the loan.

l. Federal HOME Investment Partnerships (HOME) Program

HOME program funds may be used for activities such as new construction, rehabilitation, acquisition and related infrastructure to create permanent housing for qualifying households.

m. Federal Emergency Shelter Grant/Emergency Solutions Grant (ESG) Program

This program can be used to provide rehabilitation, acquisition and related infrastructure assistance to assist the homeless by improving living conditions at existing shelters or conversion of existing buildings for new shelters. CDBG funds will be used to satisfy the required one-for-one match.

n. State and City Capital Improvements Programs (CIPs)

State and City CIPs, use various combinations of money from Federal, State, County and private funding sources, such as the Federal CDBG program. Through their respective CIP budgets, the State and City have appropriated monies to finance the development and redevelopment of Federal- and State-sponsored low-rent public housing projects, affordable rental housing projects in planned communities and areas targeted for urban revitalization, and the infrastructure to support both rental and owner occupied housing units in planned communities and areas targeted for urban revitalization.

o. Rehabilitation Loan Program

The City administers a loan program to assist both low-income homeowners and landlords whose tenants are of low-income in rehabilitating their properties. Rehabilitation must be for purposes of removing health and safety hazards.

p. Downpayment Loan Program

Subject to the availability of funds, the City administers a loan program to assist potential low-income homeowners by providing low-interest loans to cover downpayment costs of a home purchase.

q. Affordable Housing Conditions Attached To Private Developments

In order to ensure that private housing developments contain a variety of housing units affordable to a range of income groups, the State and City have required private developers to develop a percentage of affordable rental and/or owner occupied housing units as a condition of receiving land use or other development approvals.

r. Expedited Development Of Affordable Housing Pursuant To Chapter 201G, Hawaii Revised Statutes

Existing State statutes authorize the State and Counties to bypass many of the regulatory impediments to affordable housing development. Pursuant to Chapter 201G, the State and Counties can preempt various regulations and standards under a 45-day approval process to support the development of affordable housing projects, where a certain percentage of the units in the projects are determined to be affordable for sale or rent to target income groups, and where the requested exemptions do not contravene public health and safety standards.

s. Self-Help Housing Programs

Undertaken primarily by nonprofit entities, these programs take advantage of the sweat equity of prospective homeowners to construct owner occupied units affordable to even low- and very low-income households. State law requires that 10% of the units in State sponsored housing developments be set-aside for owner-builders.

t. Shelter Plus Care

Provides rental assistance for homeless people with disabilities, primarily those with serious mental illness, chronic problems with alcohol and/or drugs, and acquired immunodeficiency syndrome (AIDS) and related diseases. The support services, which must match the value of the rental assistance, may be provided by Federal, State, local governments, and private sources.

u. Section 8 Moderate Rehabilitation Program

The purpose of HUD's Moderate Rehabilitation program is to upgrade substandard rental housing and to provide rental subsidies for low-income families. The City and County of Honolulu has been administering the Section 8 Moderate Rehabilitation program since 1980 and currently provides rental subsidies to the 40-unit Academy Gardens Apartment for disabled and elderly persons.

v. Homeownership and Opportunity for People Everywhere (HOPE I)

This program helps low-income people buy public housing units by providing funds that non-profit organizations, resident groups, and other eligible grantees can use to develop and implement homeownership programs.

w. Housing Opportunities For Persons With AIDS (HOPWA)

This program provides housing assistance and related supportive services for low-income persons with HIV/AIDS and their families. Grants are provided: (1) by formula allocations to States and metropolitan areas with the largest number of cases and incidence of AIDS; and (2) by competitive selection of projects proposed by State and local governments and nonprofit organizations. Grantees are encouraged to develop community-wide comprehensive strategies and to form partnerships with area nonprofit organizations to provide housing assistance and related services for eligible persons.

x. Section 8 Rental Assistance Program

The State and City administer the Section 8 Housing Choice Voucher programs that provide rental subsidies to income qualified low-income families. The City administers a total allocation of 4,368 certificates and vouchers through its Section 8 program. The State's Section 8 program allocation includes 3,058 certificates and vouchers, which are set-aside for clients residing on Oahu. Both State and City programs authorize the issuance of project-based vouchers. The State and City Section 8 programs also offer families, through their new Section 8 Homeownership Option programs, an option to utilize their Section 8 vouchers to help pay homeownership expenses, including mortgage rather than rental payments.

y. State Rent Supplement Program

HPHA also administers the Rent Supplement program that provides a maximum subsidy of \$230 per month.

z. Federal USDA Housing Programs

The Rural Housing Services of the United States Department of Agriculture (USDA) administers several programs to address housing for persons in rural areas. These include home ownership loans, self-help housing loans, home improvement loans and grants, and several other types of loans or grants to assist with rural homeownership.

3. Priority Three: Community Development

a. Federal Community Development Block Grant (CDBG) Program

This program provides a block grant to the City that can be used for a wide variety of community development activities including new construction, rehabilitation, acquisition and related infrastructure with the goal of preventing or eliminating slum and blight in designated areas, or on a spot basis.

b. Section 108

This program is the loan guarantee provision of the CDBG program. Section 108 provides communities with a source of financing for economic development, housing rehabilitation, public facilities, and large-scale physical development projects. Borrowed funds are guaranteed by the City using current and future CDBG allocations to cover the loan amount as security for the loan.

c. State and City Capital Improvements Programs (CIPs)

State and City CIPs, use various combinations of money from Federal, State, County and private funding sources, such as the Federal CDBG program. Through their respective CIP budgets, the State and City have appropriated monies to finance the redevelopment of areas targeted for urban revitalization, thereby arresting the decline of low- and moderate-income neighborhoods by removing slum and blight conditions or addressing needs on a spot basis.

4. Priority Four: Community-Based Economic Development

There are also limited funds available to support economic development. Where possible and appropriate, CDBG funds will be leveraged with private, City and State funds to support this priority.

C. Activities To Be Undertaken

Priority One: Public Facilities And Services

Public Facilities

Investment of \$5,168,497 (CDBG funds) for seven public facility projects that will serve predominately low- and moderate-income neighborhoods or persons. These include facilities specifically for seniors or the disabled, as well as others that benefit whole areas.

Public Services

Investment of \$1,015,051 (CDBG funds) for six public service projects to assist low- and moderate-income persons through senior, youth and education programs, as well as programs providing supportive services to the homeless and others in need. In addition, \$890,428 of funding from the Emergency Shelter Grants/Emergency Solutions Grant program and CDBG program match will be distributed to various projects for supportive services for homeless housing activities.

The City will support the efforts of resident groups, non-profits and HPHA to improve the quality of life for public housing residents through programs that enhance independent living for elderly and persons with disabilities, that link residents to essential services, and that provide residents with assistance in becoming economically self-sufficient and empowerment activities.

Priority Two: Housing

Housing for the Homeless

Funding of \$1,049,824 for the City and County of Honolulu, Department of Community Services' Tenant-Based Rental Assistance (TBRA) Program to expand rental assistance services to eligible low-and moderate-income persons.

Special Needs Housing

Funding of \$3,329,586 (HOME funds) is being allocated for an affordable housing rental project.

Rental Housing and Housing Cooperatives

Funding of \$3,329,586 (HOME funds) for one project to develop affordable rental units. The City will maintain approximately the current level of Section 8 vouchers to provide rent subsidies for very low-income households. In addition, the City was successful in obtaining additional rental assistance slots through the Continuum of Care competitive grant. The City will apply for new allocations of Section 8 vouchers, if eligible and pending its availability from HUD. The City will also apply for new Shelter Plus Care slots if a non-profit sponsor applies for Continuum of Care funds. In addition, \$472,726 (HOPWA funds) will be available for rental assistance to those that qualify for this program.

The City will support the State's efforts to revitalize the severely distressed Kuhio Park Terrace public housing project and other severely distressed projects located in Kalihi such as Mayor Wright Homes and Kalihi Valley Homes, as they are integral to the City's broader community development and visioning efforts in the Kalihi-Palama neighborhood.

Priority Three: Community Development

The annual goals related to affordable housing are identified in the logic model tables of the Final Consolidated Plan for FY 2011-2015. These include:

- Supporting 500 persons with 5 renovated or newly constructed facilities (specifically for seniors or persons with disabilities; by providing health care and including mental health and substance abuse treatment; by providing childcare or activities for youth; or by providing services to persons in need);
- Supporting 50 persons with microenterprise assistance;
- Preserve and stimulate 1 economic development project;
- Upgrading 2 public facilities to make them accessible;
- Providing 1000 seniors or persons with a disability with new or expanded services;

Priority Four: Community-Based Economic Development

No CDBG funds are allocated to projects that assist microenterprise development.

Administration

The City will use \$2,283,889 (CDBG, HOME and HOPWA funds) for approximately seven projects that enable the City to administer the various HUD programs in a timely and efficient manner.

Alternate Projects

The City has identified twelve projects that may be funded in the event that the selected projects cannot be implemented. Also, the City may permit their funding in the subsequent year if there are insufficient funds in the current year. These are shown in Appendix A, after the selected projects.

The amount of awarded CDBG funds that will be used for activities that benefit persons of low -and moderate-income is estimated at \$8,681,124 or 100% of CDBG funds. This represents all of the proposed projects, excluding those related to administration.

D. Geographic Distribution

Refer to Appendix A.

The City has not identified specific geographic areas that will receive direct assistance in the program year.

E. Affordable Housing

The annual goals related to affordable housing are identified in the logic model tables of the Final Consolidated Plan for FY 2011-2015. These include:

- Supporting 40 families with downpayment loan assistance for homeownership;
- Supporting 30 families improve the health and safety through rehabilitation loans;
- Developing 50 units of affordable rental housing;
- Developing 10 units of affordable rental housing specifically for seniors or persons with special needs;
- Developing 0 units of transitional housing.

F. Public Housing

The City does not own or operate any public housing, as this is undertaken by the State of Hawaii.

G. Homeless and Other Special Needs

Funding of \$3,123,985 (CDBG, HOME and ESG funds), or over 17.34% of the City's total 17th Year Action Plan grant funds are targeted for emergency or transitional shelter and homeless social services. The Emergency Shelter Grants Match, along with ESG funds will be used for projects by the City in an attempt to break the cycle of homelessness through expanded services and additional shelters.

Funding of \$3,329,586 (HOME funds), or over 18.65% of the City's total 17th Year Action Plan grant funds are targeted for the development of lower income and special needs rental housing. While it is not possible to provide a direct link between these activities and the attempt to prevent homelessness, it can be assumed that, especially in the low income and special needs housing target population, many face the possibility of homelessness due to the lack of affordable units or housing with appropriate supportive services. Any increase or additions to the existing housing stock serving this population serves to prevent homelessness.

Actions to be taken to end chronic homelessness have been discussed in the Final Consolidated Plan for FY 2011-2015. These goals include providing services and emergency shelter, transitional housing, and permanent housing to the homeless. In formulating this plan, the City collaborated with Honolulu's Continuum of Care Consortium "Partners in Care." The Partners' planning committee created and maintains the "Hawaii Action Plan to End Homelessness," a ten-year plan to end homelessness which originated as an Oahu document that was expanded to include neighbor island counties by request. The City relies on Partners in Care to coordinate homeless activities among its members and to serve as a resource in the City's preparation of its Continuum of Care homeless grant application to HUD.

The City plans to assist nonprofit service providers and other government agencies in providing appropriate services, shelter and housing for individuals and families who are experiencing homelessness. Emergency and transitional shelters provide homeless individuals and families a secure place to sleep if only temporarily. The City's goals are to support the operation of such shelters and the provision of services to assist individuals and families in transitioning to permanent housing. Maintaining the existing emergency and transitional shelters is essential to allow continued operation. The City will provide funding if possible to facilitate continued operations and for required planning activities.

H. Other Actions

Other actions needed to address obstacles to meeting underserved needs, foster and maintain affordable housing, evaluate and reduce lead-based paint hazards, reduce the number of poverty-level families, develop institutional structure, and enhance coordination between public and private housing and social service agencies have been discussed in the Final Consolidated Plan for FY 2011-2015. Examples include streamlining the approval process for the development of affordable housing, continuing to improve coordination between private and public stakeholders and working to implement recommendations of the recently convened affordable housing task force. The City will continue to solicit public comments to address the changing needs of the low- and moderate-income residents of the City and County of Honolulu, and move toward addressing those needs.

I. HIV/AIDS Housing Goals

Annual goals for the City using HOPWA funds are identified in the logic model tables of the Final Consolidated Plan for FY 2011-2015. These include:

- Providing emergency rent, mortgage and utility payments for 100 persons with HIV/AIDS;
- Providing integrated case management services for 50 persons with HIV/AIDS;
- Providing tenant-based rental assistance for 30 persons with HIV/AIDS;
- Providing volunteer coordinator services to assist 30 persons with HIV/AIDS;
- Providing housing-specific supportive services for 150 persons with HIV/AIDS.

Project sponsors are selected using a Request For Proposals (RFP) process.

J. Program Specific Requirements

1. CDBG

All anticipated CDBG program income expected to be made available during this program year has been included and is reflected in the total amount of CDBG funds in the 17th Year Action Plan.

2. HOME

No form of investment not described in Section 92.205(b) is anticipated to be utilized by the City.

K. Certifications

Refer to Appendix C.

L. Monitoring

Under the auspices of the Department of Budget and Fiscal Services, the Federal Grants staff administers the CDBG, ESG, HOPWA and HOME programs from a broad policy perspective. The City's CDBG, ESG, HOPWA and HOME programs are administered in accordance with the City's CDBG Policy and Procedures Manual. Throughout all aspects of the administration of these programs, the Federal Grants staff reviews and monitors the City's departments' compliance with specific program regulations as well as other overlay statutes and Executive Orders (i.e., National Environmental Policy Act, Labor Standards provisions, Uniform Relocation and Real Property Acquisition Policies Act, Fair Housing and Equal Opportunity requirements, etc.) as prescribed in HUD Handbook 6509.2. On an on-going basis, eligibility determinations, technical assistance and guidance are provided to each City department implementing a project under these programs. City departments administering projects under these programs are responsible for the project's compliance with all program regulations.

CDBG, ESG, HOPWA and HOME programs' minority (inclusive of women's business enterprises) outreach to businesses is accomplished through the City's Department of Budget and Fiscal Services (Purchasing Division) by providing the General Contractor's Association (GCA) with a copy of all bid advertisements. 51% of the membership of the GCA are minorities whose minority status has been determined by the State of Hawaii, Department of Transportation (DOT). DOT minority/disadvantaged determinations are based on the Federal Transit Administration regulations.

The City's Department of Budget and Fiscal Services (Federal Grants Branch) annually publishes a notice in a newspaper of daily general circulation inviting minority and women's business enterprises to register to participate in the CDBG and HOME program. The implementing agencies subsequently inform registered minority and women's businesses of contract, subcontract, vendor and/or supplier opportunities for goods and services under these programs.

The City's Fair Housing Officer reviews and approves all Affirmative Fair Housing Marketing Plans to ensure the process for minority outreach is effective. The Federal Grants staff also monitors Federal legislation to identify regulatory changes affecting CDBG, ESG, HOPWA and HOME programs to ensure the timely implementation (including program cost analyses) of such changes.

In addition, starting in 2000 the Department of Budget and Fiscal Services implemented its Post-Development Monitoring Plan that formally monitors subrecipient contracts to insure long-term compliance. This includes on-site inspections and meetings with selected agencies that have open subrecipient contracts and have received CDBG, ESG, HOPWA or HOME funds through the City, and annual remote reviews of all subrecipients.

Standards and procedures have been developed and adopted, based on HUD guidelines already in use. Worksheets used as part of the information-gathering interview process with the subrecipient, along with the

required annual audit, are used to flag potential problems and issues that need to be resolved. More frequent monitoring will be undertaken where there is sufficient cause to justify additional action.

APPENDIX A

HOUSING AND SPECIAL NEEDS HOUSING GOALS

OBJ CODE	PROBLEM / NEED	ACTIVITY	OUTPUT	2011	2012	2013	2014	2015	Total	COMMUNITY BENEFIT INDICATORS
HO1	Low- and moderate-income families are unable to achieve homeownership	Provide low-interest down payment loans and closing costs to low and moderate income homebuyers Provide funds to non-profit developer of affordable homes for sale Provide funds to non-profit agency to provide homeownership counseling	Goal	40	40	40	40	40	200	Low- and moderate-income families will build assets through homeownership
			Funded	0	37	--	--	--	37	
			Underway	--	--	--	--	--	0	
			Completed	--	--	--	--	--	0	
HO2	Low- and moderate-income families lack the funds needed to rehabilitate their homes	Provide low-interest loans to low and moderate income homeowners to correct conditions in deteriorated homes	Goal	30	30	30	30	30	150	Preservation of housing stock and neighborhoods
			Funded	37	28	--	--	--	65	
			Underway	--	--	--	--	--	0	
			Completed	--	--	--	--	--	0	
RH1	Inadequate supply of affordable rental units	Provide funds as gap/equity financing to develop new and preserve existing affordable and special needs rental housing	Goal	50	50	50	50	50	250	Low- and moderate-income families will have increased opportunity to live in affordable rental housing
			Funded	167	164	--	--	--	331	
			Underway	--	--	--	--	--	0	
			Completed	--	--	--	--	--	0	
SN1	Housing for low- and moderate-income families and special needs populations owned by the City and non-profit agencies need capital improvements ranging from roof replacement, repainting and structural repairs to reconstruction to remain in affordable housing inventory	Provide grants to non-profit agencies to carry out capital improvements on housing units for low and moderate income families and special needs populations	Goal	0	10	0	10	0	20	Housing units leased to low- and moderate-income families and special needs populations will be renovated and replaced to remain in service to assist low and moderate income families and persons with special needs
			Funded	64	306	--	--	--	370	
			Underway	--	--	--	--	--	0	
			Completed	--	--	--	--	--	0	

HOUSING AND SPECIAL NEEDS HOUSING GOALS

OBJ CODE	PROBLEM / NEED	ACTIVITY	OUTPUT	2011	2012	2013	2014	2015	Total	COMMUNITY BENEFIT INDICATORS		
SN2	Persons with HIV/AIDS are at risk of homelessness as their condition makes it difficult to maintain employment and housing	Provide emergency rent, mortgage, and utility payments to persons with HIV/AIDS to prevent homelessness	Goal	100	100	100	100	100	500	Persons with HIV/AIDS will be able to maintain housing and avoid homelessness		
			Funded	77	77	--	--	--	154			
			Underway	--	--	--	--	--	0			
			Completed	--	--	--	--	--	0			
		Provide rental assistance to persons with HIV/AIDS who would otherwise be unable to afford decent housing	Goal	150	150	150	150	150	150		750	
			Funded	185	185	--	--	--	--		370	
			Underway	--	--	--	--	--	--		0	
			Completed	--	--	--	--	--	--		0	
			Provide supportive services to persons with HIV/AIDS to assist them in obtaining and maintaining housing	Goal	150	150	150	150	150		150	750
				Funded	185	185	--	--	--		--	370
Persons will be assisted with supportive services												

FAIR HOUSING GOALS

OBJ CODE	PROBLEM/NEED (HUD Objective and "Analysis of Impediments")	ACTIVITY	OUTPUT	2011	2012	2013	2014	2015	TOTAL	COMMUNITY BENEFIT INDICATORS		
FH1	"Decent housing" "AI 1. Limited supply of reasonable units for target population"	Review policies, resolutions, and ordinances that would increase access to affordable housing.								Increased awareness by policy and decision makers regarding the need to provide affordable housing for protected class target groups		
		Research whether lawful income, as well as Section 8, should be recognized as a "protected class."										
		Review zoning ordinances, particularly in regard to group homes, and occupancy standards.										
		Review private sector rental advertisements for discriminatory wording.										
FH2	"Decent housing" "AI 2. Applicants are unaware of rights and resources: a. Non-English informational packets. b. Encourage applicants to report violations."	Publish notices in newspapers that cite the HUD, HCRC and Honolulu Fair Housing Office telephone numbers to report allegations of illegal housing discrimination.	Goal	2	2	2	2	2	10	Increased quantity of fair housing newspaper notices with HUD and HCRC contact information - 2 notices per year.		
			Funded	2	2	--	--	--	4			
			Underway	--	--	--	--	--	--		0	
			Completed	--	--	--	--	--	--		0	
		Obtain and reproduce existing HUD and HCRC non-English fair housing brochures and distribute to public libraries and appropriate limited-English-proficiency (LEP) service providers.	Goal	500	500	500	500	500	500		2,500	Increased quantity of brochures in non-English languages with HUD and HCRC contact information available in public libraries and service providers - 500 brochures distributed per year.
			Funded	500	500	--	--	--	--		1,000	
			Underway	--	--	--	--	--	--		0	
			Completed	--	--	--	--	--	--		0	
	Provide training sessions to tenants, including Section 8 tenants and immigrants.	Goal	150	150	150	150	150	750	Increased knowledge of Section 8 clients regarding housing related regulations, thereby preventing evictions and violations - 150 tenants trained per year.			
		Funded	150	150	--	--	--	300				
	Contact advocacy groups for underserved protected classes such as the elderly, HIV infected, disabled, and national origin to attend training sessions.	Underway	--	--	--	--	--	0				
		Completed	--	--	--	--	--	0				

FAIR HOUSING GOALS

OBJ CODE	PROBLEM/NEED (HUD Objective and "Analysis of Impediments")	ACTIVITY	OUTPUT	2011	2012	2013	2014	2015	TOTAL	COMMUNITY BENEFIT INDICATORS
		<p>Continue telephone and other consultation with potentially aggrieved tenants. If needed, assist complainants with special needs, in drafting requests for reasonable accommodations as well as pre-complaint applications.</p> <p>Fair Housing Officer, as part of Section 504 obligations, to be "on call" to assist City Section 8 clients having difficulty understanding housing related regulations.</p> <p>Continue using bilingual workers to provide interpretive services for LEP complainants.</p>								Increased awareness of tenants rights
FH3	<p>"Decent housing"</p> <p>"A1 2.c. Fair housing presentations and training to landlords"</p>	<p>Continue transmitting HUD and HCRC pre-complaint applications to complainants.</p> <p>Provide training sessions, including emphasis on reasonable accommodation to housing providers, as well as property managers and condominium associations.</p>	<p>Goal</p> <p>Funded</p> <p>Underway</p> <p>Completed</p>	200	200	200	200	200	<p>1,000</p> <p>400</p> <p>0</p> <p>0</p>	<p>Increased quantity of complaint applications to the HUD and HCRC</p> <p>200 persons trained including landlords, property managers, and advocacy group representatives will attend sessions and gain increased understanding of fair housing laws.</p>
FH4	<p>"Decent housing"</p> <p>"Suitable living environment"</p> <p>"A1 3. Fair housing policies lack standardization"</p>	<p>Inspect physical accessibility in City-owned residential properties.</p> <p>Ensure that subrecipients submit Affirmatively Furthering Fair Housing Marketing Plans</p>								<p>Improved physical accessibility in City-owned residential properties.</p> <p>Greater access to projects or programs funded by CDBG/HOME</p>

HOMELESS GOALS

OBJ CODE	PROBLEM/NEED	ACTIVITY	OUTPUT	2011	2012	2013	2014	2015	TOTAL	COMMUNITY BENEFIT INDICATORS
HP1	Persons experiencing homelessness need shelter	Provide grants to service providers to pay operating costs of emergency and transitional shelters	Goal	1,500	1,500	1,500	1,500	1,500	7,500	7,500 persons experiencing homelessness will have access to emergency shelter
			Funded	1,876	1,862	--	--	--	3,738	
			Underway	--	--	--	--	--	0	
			Completed	--	--	--	--	--	0	
HP2	Persons experiencing homelessness need support services to allow eventual transition out of homelessness	Provide grants to service providers for social services to persons experiencing homelessness including case management; work readiness and employment assistance; one stop resource centers to access services; housing placement services; and legal services	Goal	750	750	750	750	750	3,750	3,750 persons experiencing homelessness will receive services to stabilize their condition
			Funded	1,135	604	--	--	--	1,739	
			Underway	--	--	--	--	--	0	
			Completed	--	--	--	--	--	0	
HP3	Persons experiencing homelessness or persons who are housed but threatened with eviction or utility cutoff need financial assistance	Provide emergency rental and utility assistance for eviction prevention or first month's rent	Goal	30	30	30	30	30	150	150 low- and moderate- income families will be prevented from becoming homeless or be assisted in securing a rental unit
			Funded	0	0	--	--	--	0	
			Underway	--	--	--	--	--	0	
			Completed	--	--	--	--	--	0	
HP4	Persons experiencing homelessness need shelters that meet health and safety standards	Provide funds as needed to renovate emergency and transitional shelters to allow continued shelter for persons experiencing homelessness	Goal	0	1	0	1	0	2	2 shelters serving persons experiencing homelessness will be renovated and replaced to remain in service
			Funded	2	2	--	--	--	4	
			Underway	--	--	--	--	--	0	
			Completed	--	--	--	--	--	0	
HP5	Persons experiencing homelessness need assistance to secure permanent housing	Provide tenant based rental assistance to persons experiencing homelessness; youth aging out of foster care; and ex-offenders	Goal	50	50	50	50	50	250	250 families will be housed
			Funded	103	94	--	--	--	197	
			Underway	--	--	--	--	--	0	
			Completed	--	--	--	--	--	0	

COMMUNITY DEVELOPMENT GOALS

OBJ CODE	PROBLEM/NEED	ACTIVITY	OUTPUT	2011	2012	2013	2014	2015	TOTAL	COMMUNITY BENEFIT INDICATORS
PF1	Inadequate facilities to provide public services to low- and moderate-income communities and persons	Acquire, construct or renovate a building to benefit low- and moderate-income persons by providing services for seniors or persons with disabilities; by providing health care including mental health and substance abuse treatment; by providing childcare or activities for youth; or by providing services to persons in need.	Goal	5	5	5	5	5	25	2,500 persons will benefit from new or improved facilities.
			Funded	6	6	--	--	--	12	
			Underway	--	--	--	--	--	0	
			Completed	--	--	--	--	--	0	
PF2	Public facilities and streets are not accessible	Construct or renovate facilities to comply with accessibility requirements.	Goal	2	2	2	2	2	10	250 persons will benefit from public facilities made accessible.
			Funded	0	0	--	--	--	0	
			Underway	--	--	--	--	--	0	
			Completed	--	--	--	--	--	0	
PF3	Some City emergency facilities are inadequate for optimum public health and safety	Provide updated facilities and equipment for police, fire and emergency medical services and traffic engineering / safety measures in low- and moderate-income communities	Goal	1	1	1	1	1	5	7,500 persons will benefit from improved public safety capability
			Funded	1	0	--	--	--	1	
			Underway	--	--	--	--	--	0	
			Completed	--	--	--	--	--	0	
PF4	Some city-owned facilities and infrastructure are inadequate or need health and safety improvements in low- and moderate-income communities	Acquire, construct, replace, or renovate city-owned facilities and infrastructure to benefit low- and moderate-income communities	Goal	0	0	1	0	0	1	200 persons will benefit from new or improved city-owned facilities or infrastructure
			Funded	0	0	--	--	--	0	
			Underway	--	--	--	--	--	0	
			Completed	--	--	--	--	--	0	
ED1	Chinatown, enterprise zones, low- and moderate-income neighborhoods and other areas must be preserved and assisted as economic centers	Provide rehabilitation loans to Chinatown businesses and businesses in other eligible neighborhoods.	Goal	0	1	0	1	0	2	2 businesses will receive rehabilitation loans
			Funded	0	0	--	--	--	0	
			Underway	--	--	--	--	--	0	
			Completed	--	--	--	--	--	0	

COMMUNITY DEVELOPMENT GOALS

OBJ CODE	PROBLEM/NEED	ACTIVITY	OUTPUT	2011	2012	2013	2014	2015	TOTAL	COMMUNITY BENEFIT INDICATORS
		Provide Section 108 Loan Guarantees and Float Loans to CDBG eligible areas to assist and promote business, business expansion, job creation or retention, development and redevelopment, housing streetscapes environmental remediation and other special economic development activities.	Goal Funded Underway Completed	0 0 -- --	0 0 -- --	-- -- -- --	1 -- -- --	1 -- -- --	2 0 0 0	2 businesses will receive Section 108 or Float Loans
		Preserve and stimulate economic development projects.	Goal Funded Underway Completed	1 0 -- --	1 0 -- --	1 -- -- --	1 -- -- --	1 -- -- --	5 0 0 0	5 projects assisted.
		Support the development of NRSAs in Chinatown / Kaihi, Wahiaawa, the Leeward Coast, Waipahu and other eligible areas.	Goal Funded Underway Completed	0 1 -- --	0 0 -- --	1 -- -- --	0 -- -- --	1 -- -- --	2 1 0 0	2 NRSAs approved by HUD
		Provide microenterprise assistance.	Goal Funded Underway Completed	50 80 -- --	50 0 -- --	50 -- -- --	50 -- -- --	50 -- -- --	250 80 0 0	250 low- and moderate-income persons provided microenterprise assistance
PS1	Inadequate support services for seniors and persons with a disability	Provide additional services to seniors or persons with a disability to maintain independent living in the community.	Goal Funded Underway Completed	1,000 45 -- --	1,000 0 -- --	1,000 -- -- --	1,000 -- -- --	1,000 -- -- --	5,000 45 0 0	5,000 seniors or persons with a disability will benefit from new or expanded services

COMMUNITY DEVELOPMENT GOALS

OBJ CODE	PROBLEM/NEED	ACTIVITY	OUTPUT	2011	2012	2013	2014	2015	TOTAL	COMMUNITY BENEFIT INDICATORS
PS2	Inadequate early education and youth services for youth and families in low- and moderate-income communities	Provide support services to address emotional, social and cognitive development of young children; and life skills, remedial education, and occupational skills needs of older youth.	Goal Funded Underway Completed	300 675 -- --	300 130 -- --	300 -- -- --	300 -- -- --	300 -- -- --	1,500 805 0 0	1,500 predominately low- and moderate-income youth will benefit from new or expanded services
PS3	Inadequate services to assist victims of domestic violence	Provide additional services to benefit victims of domestic violence.	Goal Funded Underway Completed	250 516 -- --	250 125 -- --	250 -- -- --	250 -- -- --	250 -- -- --	1,250 641 0 0	1,250 persons will be served through these services
PS4	Lack of health care services including primary care, mental health, and substance abuse treatment	Provide additional services to benefit predominantly low and moderate income persons needing health care.	Goal Funded Underway Completed	250 0 -- --	250 60 -- --	250 -- -- --	250 -- -- --	250 -- -- --	1,250 60 0 0	1,250 persons will be served through these services
PS5	Inadequate support services for low- and moderate-income persons and communities to achieve greater economic self sufficiency and social well being	Provide additional services in the following areas: food; outreach; case management; life skills, remedial and employment training and job development, creation and retention; legal counseling and assistance; literacy; budgeting, financial literacy and asset building; language access and limited English proficiency services; parenting and family strengthening; anger management; housing counseling and eviction prevention; foster family services; services to ex-offenders; transportation; transportation oriented development; micro-enterprise loans and other applicable services.	Goal Funded Underway Completed	1,500 1515 -- --	1,500 1,140 -- --	1,500 -- -- --	1,500 -- -- --	1,500 -- -- --	7,500 2,655 0 0	7,500 persons and other communities will be served through these services

COMMUNITY DEVELOPMENT GOALS

OBJ CODE	PROBLEM/NEED	ACTIVITY	OUTPUT	2011	2012	2013	2014	2015	TOTAL	COMMUNITY BENEFIT INDICATORS
PS6	Inadequate transportation vehicles to serve low- and moderate-income communities and persons.	Provide transportation services for low- and moderate-income persons, including: shuttle services for persons experiencing homelessness from homeless facilities to service providers, bus stops and schools; provide transportation to persons with other special needs.	Goal	100	100	100	100	100	500	500 persons will be served through these services
			Funded	0	0	--	--	--	0	
			Underway	--	--	--	--	--	0	
			Completed	--	--	--	--	--	0	
AD1	Program Planning and Administration	Complete: Analysis of Impediments to Fair Housing; Hawaii Housing Policy Study; Homeless Point-In-Time; Homeless Needs Assessment Study; Revision of Affordable Housing Rules	Goal	1	2	1	1	1	6	
			Funded	2	2	--	--	--	4	
			Underway	--	--	--	--	--	0	
			Completed	--	--	--	--	--	0	
		Administer the CDBG, HOME, ESG, HOPWA Programs	Goal	4	4	4	4	4	20	
			Funded	4	4	--	--	--	8	
			Underway	--	--	--	--	--	0	
			Completed	--	--	--	--	--	0	

APPENDIX B

**APPLICATION FOR FEDERAL
ASSISTANCE**

**APPLICATION FOR
FEDERAL ASSISTANCE**

Version 9/03

1. TYPE OF SUBMISSION: Application <input checked="" type="checkbox"/> Construction <input type="checkbox"/> Non-Construction		Pre-application <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction	2. DATE SUBMITTED	Applicant Identifier
			3. DATE RECEIVED BY STATE	State Application Identifier
			4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier
5. APPLICANT INFORMATION				
Legal Name: City and County of Honolulu			Organizational Unit: Department: Department of Budget and Fiscal Services	
Organizational DUNS: 077701647			Division: Fiscal/CIP Administration	
Address: Street: 530 South King Street			Name and telephone number of person to be contacted on matters involving this application (give area code)	
City: Honolulu			Prefix: Ms.	First Name: Holly
County:			Middle Name	
State: Hawaii			Last Name: Kawano	
Zip Code: 96813	Suffix:			
Country:			Email: hkawano@honolulu.gov	
6. EMPLOYER IDENTIFICATION NUMBER (EIN): 99-6001257			Phone Number (give area code): (808) 768-3930	Fax Number (give area code): (808) 768-3294
8. TYPE OF APPLICATION: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.) Other (specify): <input type="checkbox"/> <input type="checkbox"/>			7. TYPE OF APPLICANT: (See back of form for Application Types) Other (specify): City and County	
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: 14-218 TITLE (Name of Program): Community Development Block Grant			9. NAME OF FEDERAL AGENCY: U.S. Department of Housing and Urban Development	
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): City and County of Honolulu			11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Seventeenth (17 th) Year Action Plan	
13. PROPOSED PROJECT Start Date: 07/01/11			14. CONGRESSIONAL DISTRICTS OF: a. Applicant: First and Second	
Ending Date: 06/30/12			b. Project: First and Second	
15. ESTIMATED FUNDING:			16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?	
a. Federal	\$ 8,785,662. ⁰⁰		a. Yes. <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON	
b. Applicant			DATE:	
c. State			b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372	
d. Local			<input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW	
e. Other	\$ 1,212,594. ⁰⁰		17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?	
f. Program Income	\$ 550,000. ⁰⁰		<input type="checkbox"/> Yes if "Yes" attach an explanation. <input checked="" type="checkbox"/> No	
g. TOTAL	\$ 10,548,256. ⁰⁰			
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES.				
a. Authorized Representative				
Prefix: Mr.	First Name: Michael		Middle Name: R.	
Last Name: Hansen			Suffix: N/A	
b. Title: Director, Department of Budget and Fiscal Services			c. Telephone Number (give area code): (808) 768-3901	
Email: mhansen@honolulu.gov			Fax Number (give area code): (808) 768-3179	
d. Signature of Authorized Representative 			e. Date Signed JUN 29 2011	

**APPLICATION FOR
FEDERAL ASSISTANCE**

Version 9/03

1. TYPE OF SUBMISSION: Application <input checked="" type="checkbox"/> Construction <input type="checkbox"/> Non-Construction		Pre-application <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction	2. DATE SUBMITTED	Applicant Identifier
			3. DATE RECEIVED BY STATE	State Application Identifier
			4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier
5. APPLICANT INFORMATION				
Legal Name: City and County of Honolulu			Organizational Unit: Department: Department of Budget and Fiscal Services	
Organizational DUNS: 077701647			Division: Fiscal/CIP Administration	
Address: Street: 530 South King Street			Name and telephone number of person to be contacted on matters involving this application (give area code) Prefix: Ms. First Name: Holly	
City: Honolulu			Middle Name	
County:			Last Name: Kawano	
State: Hawaii		Zip Code: 96813	Suffix:	
Country:			Email: hkawano@honolulu.gov	
6. EMPLOYER IDENTIFICATION NUMBER (EIN): 99-6001257			Phone Number (give area code): (808) 768-3930	Fax Number (give area code): (808) 768-3294
8. TYPE OF APPLICATION: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.) Other (specify):			7. TYPE OF APPLICANT: (See back of form for Application Types) Other (specify): City and County	
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: 14-239 TITLE (Name of Program): HOME Investment Partnerships Program			9. NAME OF FEDERAL AGENCY: U.S. Department of Housing and Urban Development	
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): City and County of Honolulu			11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Seventeenth (17 th) Year Action Plan	
13. PROPOSED PROJECT Start Date: 07/01/11 Ending Date: 06/30/12			14. CONGRESSIONAL DISTRICTS OF: a. Applicant: First and Second b. Project: First and Second	
15. ESTIMATED FUNDING:			16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?	
a. Federal		\$ 4,032,678. ⁰⁰	a. Yes. <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON	
b. Applicant		⁰⁰	DATE:	
c. State		⁰⁰	b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372	
d. Local		⁰⁰	<input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW	
e. Other		\$ 1,800,000. ⁰⁰	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?	
f. Program Income		\$ 550,000. ⁰⁰	<input type="checkbox"/> Yes if "Yes" attach an explanation. <input checked="" type="checkbox"/> No	
g. TOTAL		\$ 6,382,678. ⁰⁰		
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES.				
a. Authorized Representative				
Prefix: Mr.		First Name: Michael		Middle Name: R.
Last Name: Hansen			Suffix: N/A	
b. Title: Director, Department of Budget and Fiscal Services			c. Telephone Number (give area code): (808) 768-3901	
Email: mhansen@honolulu.gov			Fax Number (give area code): (808) 768-3179	
d. Signature of Authorized Representative 			e. Date Signed JUN 29 2011	

**APPLICATION FOR
FEDERAL ASSISTANCE**

Version 9/03

1. TYPE OF SUBMISSION: Application <input checked="" type="checkbox"/> Construction <input type="checkbox"/> Non-Construction		Pre-application <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction	2. DATE SUBMITTED	Applicant Identifier
			3. DATE RECEIVED BY STATE	State Application Identifier
			4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier
5. APPLICANT INFORMATION				
Legal Name: City and County of Honolulu			Organizational Unit: Department: Department of Budget and Fiscal Services	
Organizational DUNS: 077701647			Division: Fiscal/CIP Administration	
Address: Street: 530 South King Street			Name and telephone number of person to be contacted on matters involving this application (give area code) Prefix: Ms. First Name: Holly	
City: Honolulu			Middle Name	
County:			Last Name: Kawano	
State: Hawaii		Zip Code: 96813	Suffix:	
Country:			Email: hkawano@honolulu.gov	
6. EMPLOYER IDENTIFICATION NUMBER (EIN): 99-6001257			Phone Number (give area code): (808) 768-3930	Fax Number (give area code): (808) 768-3294
8. TYPE OF APPLICATION: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.) Other (specify): <input type="checkbox"/> <input type="checkbox"/>			7. TYPE OF APPLICANT: (See back of form for Application Types) Other (specify): City and County	
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: 14-231 TITLE (Name of Program): Emergency Shelter Grants Program			9. NAME OF FEDERAL AGENCY: U.S. Department of Housing and Urban Development	
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): City and County of Honolulu			11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Seventeenth (17 th) Year Action Plan	
13. PROPOSED PROJECT Start Date: 07/01/11 Ending Date: 06/30/12			14. CONGRESSIONAL DISTRICTS OF: a. Applicant: First and Second b. Project: First and Second	
15. ESTIMATED FUNDING:			16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?	
a. Federal		\$ 427,023. ⁰⁰	a. Yes. <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE:	
b. Applicant		⁰⁰	b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372	
c. State		⁰⁰	<input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW	
d. Local		⁰⁰	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?	
e. Other		\$ 18,191. ⁰⁰	<input type="checkbox"/> Yes If "Yes" attach an explanation. <input checked="" type="checkbox"/> No	
f. Program Income		⁰⁰		
g. TOTAL		\$ 445,214. ⁰⁰		
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES.				
a. Authorized Representative				
Prefix: Mr.		First Name: Michael	Middle Name: R.	
Last Name: Hansen			Suffix: N/A	
b. Title: Director, Department of Budget and Fiscal Services			c. Telephone Number (give area code): (808) 768-3901	
Email: mhansen@honolulu.gov			Fax Number (give area code): (808) 768-3179	
d. Signature of Authorized Representative 			e. Date Signed JUN 29 2011	

**APPLICATION FOR
FEDERAL ASSISTANCE**

Version 9/03

1. TYPE OF SUBMISSION: Application <input checked="" type="checkbox"/> Construction <input type="checkbox"/> Non-Construction		2. DATE SUBMITTED	Applicant Identifier
<input type="checkbox"/> Pre-application <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction	3. DATE RECEIVED BY STATE		State Application Identifier
		4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier

5. APPLICANT INFORMATION Legal Name: City and County of Honolulu		Organizational Unit: Department: Department of Budget and Fiscal Services	
Organizational DUNS: 077701647		Division: Fiscal/CIP Administration	
Address: Street: 530 South King Street		Name and telephone number of person to be contacted on matters involving this application (give area code) Prefix: Ms. First Name: Holly	
City: Honolulu		Middle Name	
County:		Last Name: Kawano	
State: Hawaii	Zip Code: 96813	Suffix:	
Country:		Email: hkawano@honolulu.gov	

6. EMPLOYER IDENTIFICATION NUMBER (EIN): 99-6001257	Phone Number (give area code): (808) 768-3930	Fax Number (give area code): (808) 768-3294
--	---	---

8. TYPE OF APPLICATION: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.) Other (specify):	7. TYPE OF APPLICANT: (See back of form for Application Types) Other (specify): City and County
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10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: 14-241 TITLE (Name of Program): Housing Opportunities for Persons With AIDS	9. NAME OF FEDERAL AGENCY: U.S. Department of Housing and Urban Development
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): City and County of Honolulu	11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Seventeenth (17 th) Year Action Plan

13. PROPOSED PROJECT Start Date: 07/01/11 Ending Date: 06/30/12	14. CONGRESSIONAL DISTRICTS OF: a. Applicant: First and Second b. Project: First and Second
---	---

15. ESTIMATED FUNDING:	16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?
a. Federal \$ 472,726. ⁰⁰	a. Yes. <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE:
b. Applicant . ⁰⁰	b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372
c. State . ⁰⁰	<input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW
d. Local . ⁰⁰	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?
e. Other . ⁰⁰	<input type="checkbox"/> Yes If "Yes" attach an explanation. <input checked="" type="checkbox"/> No
f. Program Income . ⁰⁰	
g. TOTAL \$ 472,726. ⁰⁰	

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES.

a. Authorized Representative Prefix: Mr. First Name: Michael Middle Name: R.	
Last Name: Hansen Suffix: N/A	
b. Title: Director, Department of Budget and Fiscal Services	
c. Telephone Number (give area code): (808) 768-3901	
Email: mhansen@honolulu.gov Fax Number (give area code): (808) 768-3179	
d. Signature of Authorized Representative 	e. Date Signed MAY 15 2011

CDBG

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Service Needs

Project Title

The Alcoholic Rehabilitation Services of Hawaii, Inc. - dba Hina Mauka - Residential Substance Abuse and Co-Occurring Disorders Treatment for Homeless Persons (PS)

Project Description

Provision of funds to provide adult residential alcohol and other treatment for co-occurring disorders for homeless persons. This is a new project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

Island-wide

Objective Number PS4	Project ID 1
HUD Matrix Code 05F	CDBG Citation §570.201(e)
Type of Recipient Nonprofit	CDBG National Objective §570.208(a)(2)
Start Date (mm/dd/yyyy) 08/01/2011	Completion Date (mm/dd/yyyy) 07/31/2012
Performance Indicator Persons	Annual Units
Local ID	Units Upon Completion 60

Funding Sources:

CDBG	200,000
ESG	-
HOME	-
HOPWA	-
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	200,000

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Administration

Project Title

Department of Budget and Fiscal Services - Program Administration

Project Description

Provision of funds for administrative costs associated with the City's CDBG Program, and oversight of the ESG and HOPWA Programs. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

Not Applicable

Objective Number AD1	Project ID 2
HUD Matrix Code 21A	CDBG Citation §570.206
Type of Recipient Local Government	CDBG National Objective §570.208(d)(4)
Start Date (mm/dd/yyyy) 07/01/2011	Completion Date (mm/dd/yyyy) 06/30/2012
Performance Indicator NA	Annual Units
Local ID	Units Upon Completion Not Applicable

Funding Sources:

CDBG	544,090
ESG	-
HOME	-
HOPWA	-
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	544,090

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Administration

Project Title

Department of Budget and Fiscal Services - Fiscal Support

Project Description

Provision of funds for fiscal support of HUD-funded programs. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

Not Applicable

Objective Number AD1	Project ID 3
HUD Matrix Code 21A	CDBG Citation §570.206
Type of Recipient Local Government	CDBG National Objective §570.208(d)(4)
Start Date (mm/dd/yyyy) 07/01/2011	Completion Date (mm/dd/yyyy) 06/30/2012
Performance Indicator NA	Annual Units
Local ID	Units Upon Completion Not Applicable

Funding Sources:

CDBG	166,824
ESG	-
HOME	-
HOPWA	-
Total Formula	-
Prior Year Funds	-
Assisted Housing	-
PHA	-
Other Funding	-
Total	166,824

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Administration

Project Title

Department of Community Services - Community-Based Development Division, Special Projects and Community Assistance Division

Project Description

Provision of funds for administrative support of the DCS Office of Special Projects, Community-Based Development Division and Community Assistance Division. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

Not Applicable

Objective Number AD1	Project ID 4
HUD Matrix Code 21A	CDBG Citation §570.206
Type of Recipient Local Government	CDBG National Objective §570.208(d)(4)
Start Date (mm/dd/yyyy) 07/01/2011	Completion Date (mm/dd/yyyy) 06/30/2012
Performance Indicator NA	Annual Units
Local ID	Units Upon Completion Not Applicable

Funding Sources:

CDBG	1,112,793
ESG	-
HOME	-
HOPWA	-
Total Formula	-
Prior Year Funds	-
Assisted Housing	-
PHA	-
Other Funding	-
Total	1,112,793

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Service Needs

Project Title

Department of Community Services - Work Readiness Program for People Experiencing Homelessness (PS)

Project Description

Provision of funds for an employment and work readiness program for persons experiencing and at-risk of homelessness. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

Island-wide

Objective Number PS5	Project ID 5
HUD Matrix Code 05H	CDBG Citation §570.201(e)
Type of Recipient Local Government	CDBG National Objective §570.208(a)(2)
Start Date (mm/dd/yyyy) 07/01/2011	Completion Date (mm/dd/yyyy) 06/30/2012
Performance Indicator Persons	Annual Units
Local ID	Units Upon Completion 100

Funding Sources:

CDBG	218,036
ESG	-
HOME	-
HOPWA	-
Total Formula	-
Prior Year Funds	-
Assisted Housing	-
PHA	-
Other Funding	-
Total	218,036

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Community/Economic Development

Project Title

Department of Community Services - Rehabilitation Loan Program

Project Description

The Rehabilitation Loan Program provides financing assistance to income qualified homeowners in order to meet basic housing quality standards and for energy efficiency and accessibility improvements. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

Island-wide

Objective Number HO2	Project ID 6
HUD Matrix Code 14A	CDBG Citation §570.202
Type of Recipient Local Government	CDBG National Objective §570.208(a)(3)
Start Date (mm/dd/yyyy) 07/01/2011	Completion Date (mm/dd/yyyy) 06/30/2012
Performance Indicator Households	Annual Units
Local ID	Units Upon Completion 36

Funding Sources:

CDBG	2,112,278
ESG	-
HOME	-
HOPWA	-
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	2,112,278

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Facilities

Project Title

Easter Seals Hawaii - Renton Road Homes Rehabilitation and Renovation

Project Description

Provision of funds for the rehabilitation and renovation of two daycare centers for disabled adults. This is a new project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

91-1251 & 91-1255 Renton Road, Ewa Beach, Hawaii 96706

Objective Number PF1	Project ID 7
HUD Matrix Code 03B	CDBG Citation §570.201(c)
Type of Recipient Nonprofit	CDBG National Objective §570.208(a)(2)
Start Date (mm/dd/yyyy) 09/15/2011	Completion Date (mm/dd/yyyy) 03/15/2012
Performance Indicator Public Facility	Annual Units
Local ID	Units Upon Completion 2

Funding Sources:

CDBG	500,000
ESG	-
HOME	-
HOPWA	-
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	500,000

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Facilities

Project Title

Hale Kipa, Inc. - The Hale Kipa Services Center, Residential Shelters and Educational Facility Complex

Project Description

Provision of funds to build the Hale Kipa Services Center, consisting of three residential shelters and an educational facility complex on 4.26 acres in Honouliuli, Ewa. This is a new project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

TMK: 9-1-017: 082, Ewa Beach, HI 96706

Objective Number PF1	Project ID 9
HUD Matrix Code 03D	CDBG Citation §570.201(c)
Type of Recipient Nonprofit	CDBG National Objective §570.208(a)(2)
Start Date (mm/dd/yyyy) 09/15/2011	Completion Date (mm/dd/yyyy) 03/15/2012
Performance Indicator Public Facility	Annual Units
Local ID	Units Upon Completion 1

Funding Sources:

CDBG	850,000
ESG	-
HOME	-
HOPWA	-
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	850,000

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Service Needs

Project Title

Hawaii Literacy, Inc. - Family Literacy Program (PS)

Project Description

Provision of funds for staffing and project costs for the Family Literacy Program in Kuhio Park Terrace and Mayor Wright Housing in Kalihi. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

Kalihi-Palama Public Housing Projects

Objective Number PF1	Project ID 10
HUD Matrix Code 05	CDBG Citation §570.201(e)
Type of Recipient Nonprofit	CDBG National Objective §570.208(a)(2)
Start Date (mm/dd/yyyy) 08/01/2011	Completion Date (mm/dd/yyyy) 07/31/2012
Performance Indicator Persons	Annual Units
Local ID	Units Upon Completion 520

Funding Sources:

CDBG	113,500
ESG	-
HOME	-
HOPWA	-
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	113,500

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Facilities

Project Title

The Institute for Human Services - Capital Improvements for Safety, Preservation and Modernization

Project Description

Provision of funds for rehabilitation costs related to its two shelters. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

350 Sumner Street and 546 Kaaahi Street, Honolulu, HI 96817

Objective Number PF1	Project ID 11
HUD Matrix Code 03C	CDBG Citation §570.201(c)
Type of Recipient Nonprofit	CDBG National Objective §570.208(a)(2)
Start Date (mm/dd/yyyy) 09/15/2011	Completion Date (mm/dd/yyyy) 03/15/2012
Performance Indicator Public Facility	Annual Units
Local ID	Units Upon Completion 2

Funding Sources:

CDBG	698,497
ESG	-
HOME	-
HOPWA	-
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	698,497

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Facilities

Project Title

Sutter Health Pacific (Kahi Mohala Hospital) - Lehua Adult Acute Care Inpatient Renovation Phase II

Project Description

Provision of funds for the Lehua Adult Acute Care Inpatient Renovation Project - Phase II. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

91-2301 Old Fort Weaver Road, Ewa Beach, HI 96706

Objective Number PF1	Project ID 12
HUD Matrix Code 03P	CDBG Citation §570.201(c)
Type of Recipient Nonprofit	CDBG National Objective §570.208(a)(2)
Start Date (mm/dd/yyyy) 09/15/2011	Completion Date (mm/dd/yyyy) 03/15/2012
Performance Indicator Public Facility	Annual Units
Local ID	Units Upon Completion 1

Funding Sources:

CDBG	550,000
ESG	-
HOME	-
HOPWA	-
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	550,000

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Service Needs

Project Title

Legal Aid Society of Hawaii - Home Preservation Project III (PS)

Project Description

Provision of funds to establish a Home Preservation Project on Oahu to provide legal services to prevent homelessness. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

924 Bethel Street, Honolulu, HI 96813; 85-670 Farrington Highway, Waianae, HI 96792; and 45-773 Kamehameha Highway, Kaneohe, HI 96744

Objective Number PS5	Project ID 14
HUD Matrix Code 05C	CDBG Citation §570.201(e)
Type of Recipient Nonprofit	CDBG National Objective §570.208(a)(2)
Start Date (mm/dd/yyyy) 08/01/2011	Completion Date (mm/dd/yyyy) 07/31/2012
Performance Indicator Persons	Annual Units
Local ID	Units Upon Completion 510

Funding Sources:

CDBG	100,000
ESG	-
HOME	-
HOPWA	-
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	100,000

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Housing

Project Title

Mutual Housing Association of Hawaii - 2012 Palolo Homes Renovation

Project Description

Provision of funds for the Palolo Homes Renovation Project. This is a new project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

2108 Ahe Street, Honolulu, Hawaii, 96816

Objective Number SN1	Project ID 16
HUD Matrix Code 14A	CDBG Citation §570.202
Type of Recipient Nonprofit	CDBG National Objective §570.208(a)(3)
Start Date (mm/dd/yyyy) 09/15/2011	Completion Date (mm/dd/yyyy) 03/15/2012
Performance Indicator Housing Units	Annual Units
Local ID	Units Upon Completion 63

Funding Sources:

CDBG	570,000
ESG	-
HOME	-
HOPWA	-
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	570,000

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Facilities

Project Title

Nanakuli Hawaiian Homestead Community Association - Agnes K. Cope Hawaiian Cultural Center

Project Description

Provision of funds to construct a community center for the residents of Nanakuli. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

89-102 Farrington Highway, Waianae, HI 96792

Objective Number PF1	Project ID 17
HUD Matrix Code 03	CDBG Citation §570.201(c)
Type of Recipient Nonprofit	CDBG National Objective §570.208(a)(1)
Start Date (mm/dd/yyyy) 09/15/2011	Completion Date (mm/dd/yyyy) 03/15/2012
Performance Indicator Public Facility	Annual Units
Local ID	Units Upon Completion 1

Funding Sources:

CDBG	1,000,000
ESG	-
HOME	-
HOPWA	-
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	1,000,000

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Facilities

Project Title

St. Francis Healthcare Foundation of Hawaii - Residential Care Community - St. Francis Intergenerational Learning Environment

Project Description

Provision of funds for an adult day care facility. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

TMK: 9-1-126: 009, Ewa Beach, HI 96706

Objective Number PF1	Project ID 18
HUD Matrix Code 03A	CDBG Citation §570.201(c)
Type of Recipient Nonprofit	CDBG National Objective §570.208(a)(2)
Start Date (mm/dd/yyyy) 09/15/2011	Completion Date (mm/dd/yyyy) 03/15/2012
Performance Indicator Public Facility	Annual Units
Local ID	Units Upon Completion 1

Funding Sources:

CDBG	1,000,000
ESG	-
HOME	-
HOPWA	-
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	1,000,000

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Service Needs

Project Title

Susannah Wesley Community Center - Kalihi-Palama Drug and Crime Reduction Community Partnership (PS)

Project Description

Provision of funds to Susannah Wesley Community Center in partnership with the Palama Settlement and Kalihi YMCA to deliver substance abuse treatment and prevention services to youths and their families in the low-moderate income Kalihi-Palama community. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

1117 Kaili Street, Honolulu, HI 96819

Objective Number PS2	Project ID 19
HUD Matrix Code 05D	CDBG Citation §570.201(e)
Type of Recipient Nonprofit	CDBG National Objective §570.208(a)(2)
Start Date (mm/dd/yyyy) 08/01/2011	Completion Date (mm/dd/yyyy) 07/31/2012
Performance Indicator Families	Annual Units
Local ID	Units Upon Completion 200

Funding Sources:

CDBG	200,000
ESG	-
HOME	-
HOPWA	-
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	200,000

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Service Needs

Project Title

Windward Spouse Abuse Shelter - Saving One Life at a Time (PS)

Project Description

Provision of funds for staffing and overhead for an emergency shelter for victims of domestic violence and the homeless. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

Suppressed

Objective Number PS3	Project ID 20
HUD Matrix Code 05G	CDBG Citation §570.201(e)
Type of Recipient Nonprofit	CDBG National Objective §570.208(a)(2)
Start Date (mm/dd/yyyy) 08/01/2011	Completion Date (mm/dd/yyyy) 07/31/2012
Performance Indicator Persons	Annual Units
Local ID	Units Upon Completion 84

Funding Sources:

CDBG	183,515
ESG	-
HOME	-
HOPWA	-
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	183,515

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

CDBG – Alternate Projects

CDBG – Alternate Projects

Public Service

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Service Needs

Project Title

Moiiliili Community Center - Senior Support Program (PS)

Project Description

Provision of funds to provide services for the elderly. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

2535 South King Street, Honolulu, HI 96826

Funding up to (to the extent not funded as a final selected project):

Funding Sources:

CDBG	117,091
ESG	-
HOME	-
HOPWA	-
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	117,091

Objective Number PS1	Project ID 15
HUD Matrix Code 05A	CDBG Citation §570.201(e)
Type of Recipient Nonprofit	CDBG National Objective §570.208(a)(2)
Start Date (mm/dd/yyyy) 08/01/2011	Completion Date (mm/dd/yyyy) 07/31/2012
Performance Indicator Persons	Annual Units
Local ID	Units Upon Completion 27

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Service Needs

Project Title

Filipino Community Center - Smart Seniors Program 2012 (PS)

Project Description

Provision of funds for The Smart Seniors Program 2012 which will provide information services, skills training, and interaction opportunities for the elderly. This is a new project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

94-428 Mokulua Street, Waipahu, HI 96797

Funding up to (to the extent not funded as a final selected project):

Funding Sources:

CDBG	70,000
ESG	-
HOME	-
HOPWA	-
Total Formula	-
Prior Year Funds	-
Assisted Housing	-
PHA	-
Other Funding	-
Total	70,000

Objective Number PS1	Project ID 8
HUD Matrix Code 05A	CDBG Citation §570.201(e)
Type of Recipient Nonprofit	CDBG National Objective §570.208(a)(2)
Start Date (mm/dd/yyyy) 08/01/2011	Completion Date (mm/dd/yyyy) 07/31/2012
Performance Indicator Persons	Annual Units
Local ID	Units Upon Completion 400

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Service Needs

Project Title

Domestic Violence Action Center - Crucial Direct Services to Victims (PS)

Project Description

Provision of essential services and resources for victims of domestic violence transitioning from abuse to safety by a triad of professions comprised of an Advocate Case Manager, Attorney, and Paralegal. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

Suppressed

Funding up to (to the extent not funded as a final selected project):

Objective Number PS3	Project ID 1
HUD Matrix Code 05G	CDBG Citation §570.201(e)
Type of Recipient Nonprofit	CDBG National Objective §570.208(a)(2)
Start Date (mm/dd/yyyy) 08/01/2011	Completion Date (mm/dd/yyyy) 07/31/2012
Performance Indicator Persons	Annual Units
Local ID	Units Upon Completion 40

Funding Sources:

CDBG	100,000
ESG	-
HOME	-
HOPWA	-
Total Formula	-
Prior Year Funds	-
Assisted Housing	-
PHA	-
Other Funding	-
Total	100,000

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Service Needs

Project Title

Parents and Children Together - Family Peace Center Victim and Children's Services (PS)

Project Description

Provision of funds to continue and expand comprehensive crisis response care and support for victims of domestic violence. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

Island-wide

Funding up to (to the extent not funded as a final selected project):

Objective Number PS3	Project ID 2
HUD Matrix Code 05G	CDBG Citation §570.201(e)
Type of Recipient Nonprofit	CDBG National Objective §570.208(a)(2)
Start Date (mm/dd/yyyy) 08/01/2011	Completion Date (mm/dd/yyyy) 07/31/2012
Performance Indicator Persons	Annual Units
Local ID	Units Upon Completion 480

Funding Sources:

CDBG	100,000
ESG	-
HOME	-
HOPWA	-
Total Formula	-
Prior Year Funds	-
Assisted Housing	-
PHA	-
Other Funding	-
Total	100,000

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Service Needs

Project Title

Volunteer Legal Services Hawaii - Housing Support Legal Program (PS)

Project Description

Provision of funds for staffing and workshops to provide legal support for people in emergency and transitional shelters and neighborhood legal clinics for low- and moderate-income persons. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

Island-wide

Funding up to (to the extent not funded as a final selected project):

Funding Sources:

CDBG	100,000
ESG	-
HOME	-
HOPWA	-
Total Formula	-
Prior Year Funds	-
Assisted Housing	-
PHA	-
Other Funding	-
Total	100,000

Objective Number PS5	Project ID 3
HUD Matrix Code 05C	CDBG Citation §570.201(e)
Type of Recipient Nonprofit	CDBG National Objective §570.208(a)(2)
Start Date (mm/dd/yyyy) 08/01/2011	Completion Date (mm/dd/yyyy) 07/31/2012
Performance Indicator Persons	Annual Units
Local ID	Units Upon Completion 1200

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Service Needs

Project Title

Susannah Wesley Community Center - Kalihi-Palama Drug and Crime Reduction Community Partnership (PS)

Project Description

Provision of funds to Susannah Wesley Community Center in partnership with the Palama Settlement and Kalihi YMCA to deliver substance abuse treatment and prevention services to youths and their families in the low- and moderate-income Kalihi-Palama community. Services include substance abuse treatment for adolescents, family counseling, outreach, parenting classes, and an array of prevention and support services. The partners will leverage their current programs and resources on behalf of the youth and their families ensuring a comprehensive continuum of care for the Kalihi- Palama Community. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

1117 Kaili Street, Honolulu, HI 96819

Funding up to (to the extent not funded as a final selected project):

Funding Sources:

CDBG	110,842
ESG	-
HOME	-
HOPWA	-
Total Formula	-
Prior Year Funds	-
Assisted Housing	-
PHA	-
Other Funding	-
Total	110,842

Objective Number PS2	Project ID 4
HUD Matrix Code 05D	CDBG Citation §570.201(e)
Type of Recipient Nonprofit	CDBG National Objective §570.208(a)(2)
Start Date (mm/dd/yyyy) 08/01/2011	Completion Date (mm/dd/yyyy) 07/31/2012
Performance Indicator Families	Annual Units
Local ID	Units Upon Completion 200

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Service Needs

Project Title

The Alcoholic Rehabilitation Services of Hawaii, Inc. - dba Hina Mauka - Residential Substance Abuse and Co-Occurring Disorders Treatment for Homeless Persons (PS)

Project Description

Provision of funds to provide adult residential alcohol and other treatment for co-occurring disorders for homeless persons. This is a new project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

Island-wide

Funding up to (to the extent not funded as a final selected project):

Funding Sources:

CDBG	12,045
ESG	-
HOME	-
HOPWA	-
Total Formula	-
Prior Year Funds	-
Assisted Housing	-
PHA	-
Other Funding	-
Total	12,045

Objective Number PS4	Project ID 5
HUD Matrix Code 05F	CDBG Citation §570.201(e)
Type of Recipient Nonprofit	CDBG National Objective §570.208(a)(2)
Start Date (mm/dd/yyyy) 08/01/2011	Completion Date (mm/dd/yyyy) 07/31/2012
Performance Indicator Persons	Annual Units
Local ID	Units Upon Completion 60

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

CDBG – Alternate Projects

Other

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Facilities

Project Title

Alternative Structures International - Renovation and Improvements for Ohana Ola and Ulu Ke Kukui Transitional Housing Programs

Project Description

Provision of funds for a capital improvement/renovation project focused on the Kahumana Community Center. This is a new project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

86-660 Lualualei Homestead Road, Waianae, HI 96792

Funding up to (to the extent not funded as a final selected project):

Funding Sources:

CDBG	132,880
ESG	-
HOME	-
HOPWA	-
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	132,880

Objective Number PF1	Project ID 1
HUD Matrix Code 03C	CDBG Citation §570.201(c)
Type of Recipient Nonprofit	CDBG National Objective §570.208(a)(2)
Start Date (mm/dd/yyyy) 09/15/2011	Completion Date (mm/dd/yyyy) 03/15/2012
Performance Indicator Public Facility	Annual Units
Local ID	Units Upon Completion 1

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Facilities

Project Title

Waianae Coast Community Mental Health Center - Pahoa Renovation - Kitchen/Dining Area & Electrical Infrastructure

Project Description

Renovation of the Hui Hana Pono Clubhouse-Pahoa Building to provide a program home for the severely mentally ill population in Waianae. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

85-888 Farrington Highway, Waianae, HI 96792

Funding up to (to the extent not funded as a final selected project):

Funding Sources:

CDBG	871,000
ESG	-
HOME	-
HOPWA	-
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	871,000

Objective Number PF1	Project ID 2
HUD Matrix Code 03	CDBG Citation §570.201(c)
Type of Recipient Nonprofit	CDBG National Objective §570.208(a)(2)
Start Date (mm/dd/yyyy) 09/15/2011	Completion Date (mm/dd/yyyy) 03/15/2012
Performance Indicator Public Facility	Annual Units
Local ID	Units Upon Completion 1

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Facilities

Project Title

St. Francis Healthcare Foundation of Hawaii - Residential Care Community - St. Francis Intergenerational Learning Environment

Project Description

Provision of funds for an adult day care facility. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

TMK: 9-1-126: 009, Ewa Beach, HI 96706

Funding up to (to the extent not funded as a final selected project):

Objective Number PF1	Project ID 3
HUD Matrix Code 03A	CDBG Citation §570.201(c)
Type of Recipient Nonprofit	CDBG National Objective §570.208(a)(2)
Start Date (mm/dd/yyyy) 09/15/2011	Completion Date (mm/dd/yyyy) 03/15/2012
Performance Indicator Public Facility	Annual Units
Local ID	Units Upon Completion 1

Funding Sources:

CDBG	1,000,000
ESG	-
HOME	-
HOPWA	-
Total Formula	-
Prior Year Funds	-
Assisted Housing	-
PHA	-
Other Funding	-
Total	1,000,000

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Facilities

Project Title

Hawaii Housing Development Corporation - Hale Wai Vista - Multi-Purpose Facility

Project Description

Provision of funds for a multi-purpose facility located within the Hale Wai Vista project, a 215-unit low income rental housing project located in Waianae. Phase I comprised of 83 units, was completed in 2009 and is 100% occupied as of the date of this application. Phase II is currently under construction. The multipurpose facility is for tenant use and may also accommodate Oahu Head Start, a federally funded program for qualifying preschoolers. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

86-084 Farrington Highway, Waianae, HI 96792

Funding up to (to the extent not funded as a final selected project):

Funding Sources:

CDBG	2,000,000
ESG	-
HOME	-
HOPWA	-
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	2,000,000

Objective Number PF1	Project ID 4
HUD Matrix Code 03E	CDBG Citation §570.201(c)
Type of Recipient Nonprofit	CDBG National Objective §570.208(a)(2)
Start Date (mm/dd/yyyy) 09/15/2011	Completion Date (mm/dd/yyyy) 03/15/2012
Performance Indicator Public Facility	Annual Units
Local ID	Units Upon Completion 1

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Housing

Project Title

Catholic Charities Housing Development Corporation (CCHDC) - Meheula Vista Land Acquisition

Project Description

Provision of funds for the acquisition of a portion of 9.024 acres in Mililani Mauka to develop low-income elderly rental housing. This is a new project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

95-1080 Lehiwa Drive, Mililani, HI 96789

Funding up to (to the extent not funded as a final selected project):

Funding Sources:

CDBG	6,800,000
ESG	-
HOME	-
HOPWA	-
Total Formula	_____
Prior Year Funds	_____
Assisted Housing	_____
PHA	_____
Other Funding	_____
Total	6,800,000

Objective Number RH1	Project ID 5
HUD Matrix Code 01	CDBG Citation §570.201(a)
Type of Recipient Nonprofit	CDBG National Objective §570.208(a)(3)
Start Date (mm/dd/yyyy) 09/15/2011	Completion Date (mm/dd/yyyy) 03/15/2012
Performance Indicator Housing Units	Annual Units
Local ID	Units Upon Completion 300

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

HOME

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Housing

Project Title

Hawaii Home Ownership Center (HHOC) Mortgage - Down Payment Assistance Loan Program

Project Description

Provision of funds to provide loan guarantee assistance to first-time, low- and moderate-income homebuyers. This is a new project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

Island-wide

Objective Number HO1	Project ID 1
HUD Matrix Code 13	CDBG Citation Not Applicable
Type of Recipient Nonprofit	CDBG National Objective Not Applicable
Start Date (mm/dd/yyyy) 08/01/2011	Completion Date (mm/dd/yyyy) 07/31/2012
Performance Indicator Households	Annual Units
Local ID	Units Upon Completion 40-50

Funding Sources:

CDBG	-
ESG	-
HOME	100,000
HOPWA	-
Total Formula	-
Prior Year Funds	-
Assisted Housing	-
PHA	-
Other Funding	-
Total	100,000

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Housing

Project Title

Coalition for Specialized Housing - Hale Mohalu II

Project Description

Provision of funds for onsite improvements for Hale Mohalu II an affordable rental housing project. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

787 Kamehameha Highway, Pearl City, HI 96782

Objective Number RH1	Project ID 2
HUD Matrix Code 12	CDBG Citation Not Applicable
Type of Recipient Nonprofit	CDBG National Objective Not Applicable
Start Date (mm/dd/yyyy) 09/15/2011	Completion Date (mm/dd/yyyy) 03/15/2012
Performance Indicator Housing Units	Annual Units
Local ID	Units Upon Completion 163

Funding Sources:

CDBG	-
ESG	-
HOME	3,329,586
HOPWA	-
Total Formula	-
Prior Year Funds	-
Assisted Housing	-
PHA	-
Other Funding	-
Total	3,329,586

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Administration

Project Title

Department of Budget and Fiscal Services - Program Administration

Project Description

Provision of funds for the administration and coordination of the City's HOME Program. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

Not Applicable

Objective Number AD1	Project ID 3
HUD Matrix Code 21A	CDBG Citation Not Applicable
Type of Recipient Local Government	CDBG National Objective Not Applicable
Start Date (mm/dd/yyyy) 07/01/2011	Completion Date (mm/dd/yyyy) 06/30/2012
Performance Indicator NA	Annual Units
Local ID	Units Upon Completion NA

Funding Sources:

CDBG	-
ESG	-
HOME	211,349
HOPWA	-
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	211,349

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Housing

Project Title

Department of Community Services - Downpayment Loan Program

Project Description

Provision of funds to assist low- and moderate-income, first-time homebuyers. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

Island-wide

Objective Number HO1	Project ID 4
HUD Matrix Code 13	CDBG Citation Not Applicable
Type of Recipient Local Government	CDBG National Objective Not Applicable
Start Date (mm/dd/yyyy) 07/01/2011	Completion Date (mm/dd/yyyy) 06/30/2012
Performance Indicator Households	Annual Units
Local ID	Units Upon Completion 50

Funding Sources:

CDBG	-
ESG	-
HOME	1,500,000
HOPWA	-
Total Formula	-
Prior Year Funds	-
Assisted Housing	-
PHA	-
Other Funding	-
Total	1,500,000

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Administration

Project Title

Department of Community Services - Tenant Based Rental Assistance (TBRA) Program - Program Administration

Project Description

Provision of funds for the administration and coordination of the City's TBRA Program. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

Not Applicable

Objective Number AD1	Project ID 6
HUD Matrix Code 21A	CDBG Citation Not Applicable
Type of Recipient Local Government	CDBG National Objective Not Applicable
Start Date (mm/dd/yyyy) 07/01/2011	Completion Date (mm/dd/yyyy) 06/30/2012
Performance Indicator NA	Annual Units
Local ID	Units Upon Completion NA

Funding Sources:

CDBG	-
ESG	-
HOME	191,919
HOPWA	-
Total Formula	-
Prior Year Funds	-
Assisted Housing	-
PHA	-
Other Funding	-
Total	191,919

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Housing

Project Title

Department of Community Services - Tenant Based Rental Assistance (TBRA) Program

Project Description

Provision of funds to expand rental assistance services to eligible low- and moderate-income persons. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

Island-wide

Objective Number HP5	Project ID 5
HUD Matrix Code 05S	CDBG Citation Not Applicable
Type of Recipient Local Government	CDBG National Objective Not Applicable
Start Date (mm/dd/yyyy) 07/01/2011	Completion Date (mm/dd/yyyy) 06/30/2012
Performance Indicator Households	Annual Units
Local ID	Units Upon Completion 112

Funding Sources:

CDBG	-
ESG	-
HOME	1,049,824
HOPWA	-
Total Formula	-
Prior Year Funds	-
Assisted Housing	-
PHA	-
Other Funding	-
Total	1,049,824

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

HOME – Alternate Projects

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Housing

Project Title

Coalition for Specialized Housing - Hale Mohalu II

Project Description

Provision of funds for onsite improvements for Hale Mohalu II an affordable rental housing project. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

787 Kamehameha Highway, Pearl City, HI 96782

Funding up to (to the extent not funded as a final selected project):

Funding Sources:

CDBG	-
ESG	-
HOME	1,491,286
HOPWA	-
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	1,491,286

Objective Number RH1	Project ID 1
HUD Matrix Code 12	CDBG Citation Not Applicable
Type of Recipient Nonprofit	CDBG National Objective Not Applicable
Start Date (mm/dd/yyyy) 09/15/2011	Completion Date (mm/dd/yyyy) 03/15/2012
Performance Indicator Housing Units	Annual Units
Local ID	Units Upon Completion 163

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

ESG

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Service Needs

Project Title

ESGP 11 - Catholic Charities Hawaii - Maililand Transitional Shelter

Project Description

Provision of funds for operating expenses related to a family transitional shelter. No ESG funds will be used for operating staff costs. The activities funded under this program will be subject to the regulations of the new Emergency Solutions Grant program. A reprogramming of funds in accordance with the new regulations may occur. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

87-190 & 87-191 Maliona St., Waianae, HI 96792

Objective Number HP1	Project ID 1
HUD Matrix Code 03T	CDBG Citation Not Applicable
Type of Recipient Nonprofit	CDBG National Objective Not Applicable
Start Date (mm/dd/yyyy) 08/01/2011	Completion Date (mm/dd/yyyy) 07/31/2012
Performance Indicator Persons	Annual Units
Local ID	Units Upon Completion 260

Funding Sources:

CDBG	-
ESG	76,491
HOME	-
HOPWA	-
Total Formula	-
Prior Year Funds	-
Assisted Housing	-
PHA	-
Other Funding	-
Total	76,491

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Service Needs

Project Title

ESGP 11 - Child and Family Service - Domestic Abuse Shelters

Project Description

Provision of funds for operating expenses related to domestic abuse shelters. No ESG funds will be used for operating staff costs. The activities funded under this program will be subject to the regulations of the new Emergency Solutions Grant program. A reprogramming of funds in accordance with the new regulations may occur. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

Suppressed/Island-wide

Objective Number HP1	Project ID 2
HUD Matrix Code 03T	CDBG Citation Not Applicable
Type of Recipient Nonprofit	CDBG National Objective Not Applicable
Start Date (mm/dd/yyyy) 08/01/2011	Completion Date (mm/dd/yyyy) 07/31/2012
Performance Indicator Persons	Annual Units
Local ID	Units Upon Completion 468

Funding Sources:

CDBG	-
ESG	50,000
HOME	-
HOPWA	-
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	50,000

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Service Needs

Project Title

ESGP 11 - Department of Community Services - Program Administration - Senior Clerk Typist

Project Description

Provision of funds for staff costs related to the ESG program. The activities funded under this program will be subject to the regulations of the new Emergency Solutions Grant program. A reprogramming of funds in accordance with the new regulations may occur. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

Not Applicable

Objective Number AD1	Project ID 3
HUD Matrix Code 21A	CDBG Citation §570.206
Type of Recipient Local Government	CDBG National Objective §570.208(d)(4)
Start Date (mm/dd/yyyy) 07/01/2011	Completion Date (mm/dd/yyyy) 06/30/2012
Performance Indicator Local Government	Annual Units
Local ID	Units Upon Completion N/A

Funding Sources:

CDBG	43,425
ESG	-
HOME	-
HOPWA	-
Total Formula	-
Prior Year Funds	-
Assisted Housing	-
PHA	-
Other Funding	-
Total	43,425

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Service Needs

Project Title

ESGP 11 - Hale Kipa, Inc. - Transitional Living Program

Project Description

Provision of funds for operating expenses and essential services related to a transitional living program. The activities funded under this program will be subject to the regulations of the new Emergency Solutions Grant program. A reprogramming of funds in accordance with the new regulations may occur. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

91-1015 Maka'aloa Drive, Ewa Beach, HI 96706, 91-1045 A'awa Drive, Ewa Beach, HI 96706 and 91-1002 A'apa Street, Ewa Beach, HI 96706

Objective Number HP1, HP-2	Project ID 4
HUD Matrix Code 03T	CDBG Citation §570.201(e)
Type of Recipient Nonprofit	CDBG National Objective §570.208(a)(2)
Start Date (mm/dd/yyyy) 08/01/2011	Completion Date (mm/dd/yyyy) 07/31/2012
Performance Indicator Persons	Annual Units
Local ID	Units Upon Completion 14

Funding Sources:

CDBG	60,000
ESG	-
HOME	-
HOPWA	-
Total Formula	-
Prior Year Funds	-
Assisted Housing	-
PHA	-
Other Funding	-
Total	60,000

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Service Needs

Project Title

ESGP 11 - Ho'omau Ke Ola - Homeless Substance Abuse Program

Project Description

Provision of funds for essential services related to homeless substance abusers on the Waianae Coast. The activities funded under this program will be subject to the regulations of the new Emergency Solutions Grant program. A reprogramming of funds in accordance with the new regulations may occur. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

84-1006 A & B Farrington Hwy., Waianae, HI 96792

Objective Number HP2	Project ID 5
HUD Matrix Code 05	CDBG Citation §570.201(e)
Type of Recipient Nonprofit	CDBG National Objective §570.208(a)(2)
Start Date (mm/dd/yyyy) 08/01/2011	Completion Date (mm/dd/yyyy) 07/31/2012
Performance Indicator Persons	Annual Units
Local ID	Units Upon Completion 21

Funding Sources:

CDBG	33,185
ESG	-
HOME	-
HOPWA	-
Total Formula	-
Prior Year Funds	-
Assisted Housing	-
PHA	-
Other Funding	-
Total	33,185

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Service Needs

Project Title

ESGP 11 - Housing Solutions, Inc. - Loliana Transitional Shelter

Project Description

Provision of funds for operating expenses and essential services related to the Loliana facility, a transitional shelter. The activities funded under this program will be subject to the regulations of the new Emergency Solutions Grant program. A reprogramming of funds in accordance with the new regulations may occur. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

565 Quinn Lane, Honolulu, HI 96813

Objective Number HP1, HP-2	Project ID 6
HUD Matrix Code 03T	CDBG Citation Not Applicable
Type of Recipient Nonprofit	CDBG National Objective Not Applicable
Start Date (mm/dd/yyyy) 08/01/2011	Completion Date (mm/dd/yyyy) 07/31/2012
Performance Indicator Persons	Annual Units
Local ID	Units Upon Completion 200

Funding Sources:

CDBG	-
ESG	74,000
HOME	-
HOPWA	-
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	74,000

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Service Needs

Project Title

ESGP 11 - Housing Solutions, Inc. - Vancouver House Transitional Shelter

Project Description

Provision of funds for essential services related to the Vancouver facility, a transitional shelter. No ESG funds will be used for operating staff costs. The activities funded under this program will be subject to the regulations of the new Emergency Solutions Grant program. A reprogramming of funds in accordance with the new regulations may occur. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

2019 Vancouver Dr. Honolulu, HI 96822

Objective Number HP2	Project ID 7
HUD Matrix Code 05	CDBG Citation Not Applicable
Type of Recipient Nonprofit	CDBG National Objective Not Applicable
Start Date (mm/dd/yyyy) 08/01/2011	Completion Date (mm/dd/yyyy) 07/31/2012
Performance Indicator Persons	Annual Units
Local ID	Units Upon Completion 55

Funding Sources:

CDBG	-
ESG	20,000
HOME	-
HOPWA	-
Total Formula	-
Prior Year Funds	-
Assisted Housing	-
PHA	-
Other Funding	-
Total	20,000

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Service Needs

Project Title

ESGP 11 - Institute for Human Services - Sumner Street Emergency Shelter

Project Description

Provision of funds for operating expenses related to the Sumner Street facility, an emergency shelter for men. No ESG funds will be used for operating staff costs. The activities funded under this program will be subject to the regulations of the new Emergency Solutions Grant program. A reprogramming of funds in accordance with the new regulations may occur. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

350 Sumner Street, Honolulu, HI 96817

Objective Number HP1	Project ID 8
HUD Matrix Code 03T	CDBG Citation §570.201(e)
Type of Recipient Nonprofit	CDBG National Objective §570.208(a)(2)
Start Date (mm/dd/yyyy) 08/01/2011	Completion Date (mm/dd/yyyy) 07/31/2012
Performance Indicator Persons	Annual Units
Local ID	Units Upon Completion 850

Funding Sources:

CDBG	92,113
ESG	121,538
HOME	-
HOPWA	-
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	213,651

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Service Needs

Project Title

ESGP 11 - Kalihi-Palama Health Center - IHS Health Clinics

Project Description

Provision of funds for essential services related to health clinic services at the IHS Women and Families Shelter. The activities funded under this program will be subject to the regulations of the new Emergency Solutions Grant program. A reprogramming of funds in accordance with the new regulations may occur. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

546 Ka'aahi Street, Honolulu, HI 96817

Objective Number HP2	Project ID 9
HUD Matrix Code 05	CDBG Citation Not Applicable
Type of Recipient Nonprofit	CDBG National Objective Not Applicable
Start Date (mm/dd/yyyy) 08/01/2011	Completion Date (mm/dd/yyyy) 07/31/2012
Performance Indicator Persons	Annual Units
Local ID	Units Upon Completion 175

Funding Sources:

CDBG	-
ESG	75,000
HOME	-
HOPWA	-
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	75,000

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Service Needs

Project Title

ESGP 11 - Mental Health Kokua - Safe Haven

Project Description

Provision of funds for essential services related to the Safe Haven transitional shelter. The activities funded under this program will be subject to the regulations of the new Emergency Solutions Grant program. A reprogramming of funds in accordance with the new regulations may occur. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

41 South Beretania Street, Honolulu, HI 96813

Objective Number HP2	Project ID 10
HUD Matrix Code 05	CDBG Citation §570.201(e)
Type of Recipient Nonprofit	CDBG National Objective §570.208(a)(2)
Start Date (mm/dd/yyyy) 08/01/2011	Completion Date (mm/dd/yyyy) 07/31/2012
Performance Indicator Persons	Annual Units
Local ID	Units Upon Completion 20

Funding Sources:

CDBG	200,000
ESG	-
HOME	-
HOPWA	-
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	200,000

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Service Needs

Project Title

ESGP 11 - Windward Spouse Abuse Center - Domestic Violence Shelter

Project Description

Provision of funds for operating expenses related to a domestic violence shelter. No ESG funds will be used for operating staff costs. The activities funded under this program will be subject to the regulations of the new Emergency Solutions Grant program. A reprogramming of funds in accordance with the new regulations may occur. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

Suppressed

Objective Number HP1	Project ID 11
HUD Matrix Code 03T	CDBG Citation Not Applicable
Type of Recipient Nonprofit	CDBG National Objective Not Applicable
Start Date (mm/dd/yyyy) 08/01/2011	Completion Date (mm/dd/yyyy) 07/31/2012
Performance Indicator Persons	Annual Units
Local ID	Units Upon Completion 84

Funding Sources:

CDBG	-
ESG	28,185
HOME	-
HOPWA	-
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	28,185

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

HOPWA

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Administration

Project Title

HOPWA 11 - Department of Community Services - Program Administration

Project Description

Provision of funds for administrative support of the HOPWA program. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

Not Applicable

Objective Number AD1	Project ID 1
HUD Matrix Code 31B	CDBG Citation Not Applicable
Type of Recipient Local Government	CDBG National Objective Not Applicable
Start Date (mm/dd/yyyy) 07/01/2011	Completion Date (mm/dd/yyyy) 06/30/2012
Performance Indicator NA	Annual Units
Local ID	Units Upon Completion N/A

Funding Sources:

CDBG	-
ESG	-
HOME	-
HOPWA	13,489
Total Formula	-
Prior Year Funds	-
Assisted Housing	-
PHA	-
Other Funding	-
Total	13,489

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Service Needs

Project Title

HOPWA 11 - Gregory House Programs - Financial Assistance and Support Services for Persons with HIV/AIDS

Project Description

Provision of funds for tenant-based rental assistance, short-term rent/mortgage/utility assistance, supportive services and administrative expenses to support persons with HIV/AIDS. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

Island-wide

Objective Number SN2	Project ID 2
HUD Matrix Code 31C	CDBG Citation Not Applicable
Type of Recipient Nonprofit	CDBG National Objective Not Applicable
Start Date (mm/dd/yyyy) 08/01/2011	Completion Date (mm/dd/yyyy) 07/31/2012
Performance Indicator Persons	Annual Units
Local ID	Units Upon Completion 35

Funding Sources:

CDBG	-
ESG	-
HOME	-
HOPWA	389,862
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	389,862

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

TABLE 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City & County of Honolulu

Priority Need

Public Service Needs

Project Title

HOPWA 11 - Life Foundation - Support Services for Persons with HIV/AIDS

Project Description

Provision of funds for supportive services to support persons with HIV/AIDS. This is a continuation project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

Island-wide

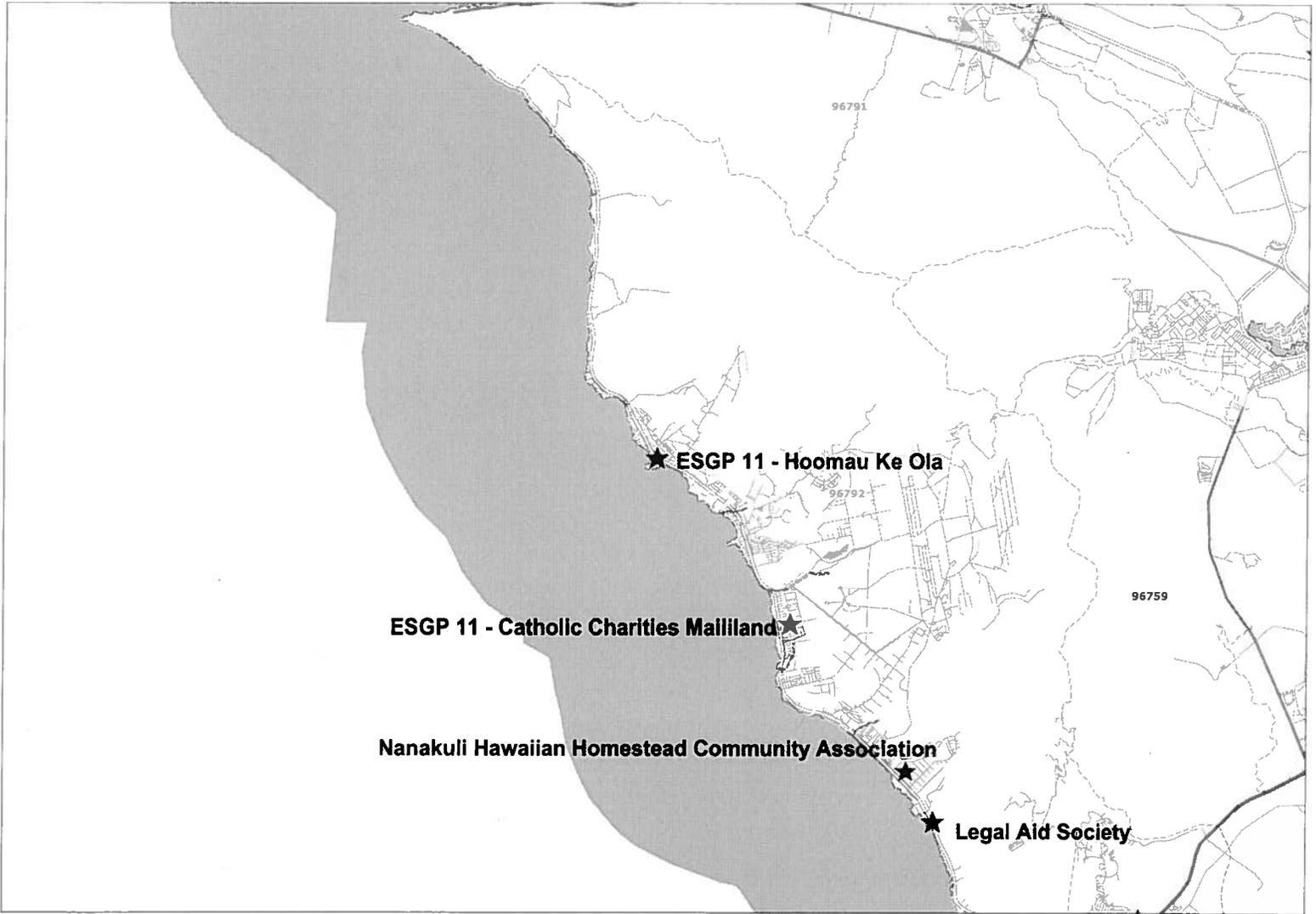
Objective Number SN2	Project ID 3
HUD Matrix Code 31C	CDBG Citation Not Applicable
Type of Recipient Nonprofit	CDBG National Objective Not Applicable
Start Date (mm/dd/yyyy) 08/01/2011	Completion Date (mm/dd/yyyy) 07/31/2012
Performance Indicator Persons	Annual Units
Local ID	Units Upon Completion 150

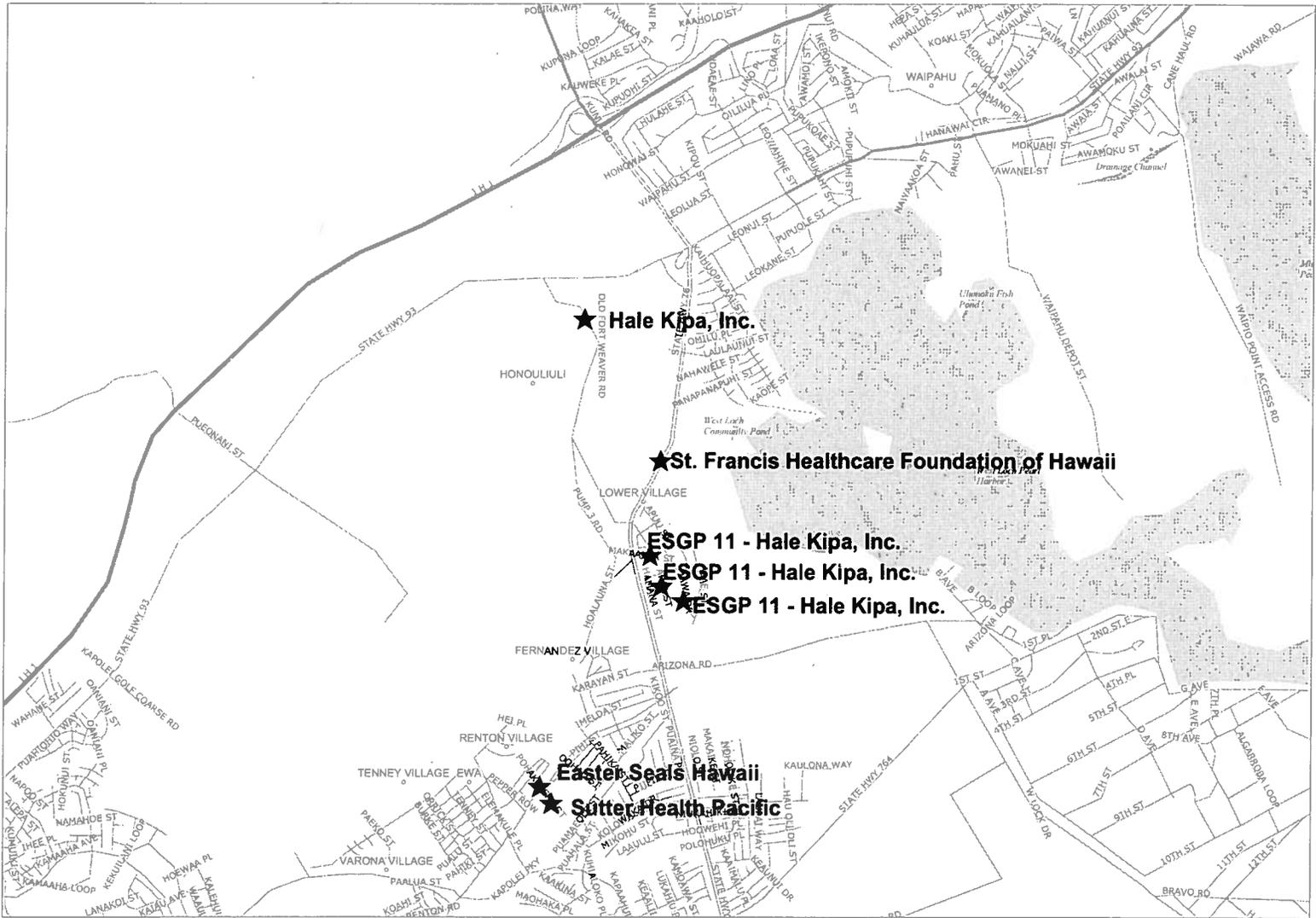
Funding Sources:

CDBG	-
ESG	-
HOME	-
HOPWA	69,375
Total Formula	
Prior Year Funds	
Assisted Housing	
PHA	
Other Funding	
Total	69,375

Primary purpose of the project is to help: Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

MAPS





★ Hale Kipa, Inc.

★ St. Francis Healthcare Foundation of Hawaii

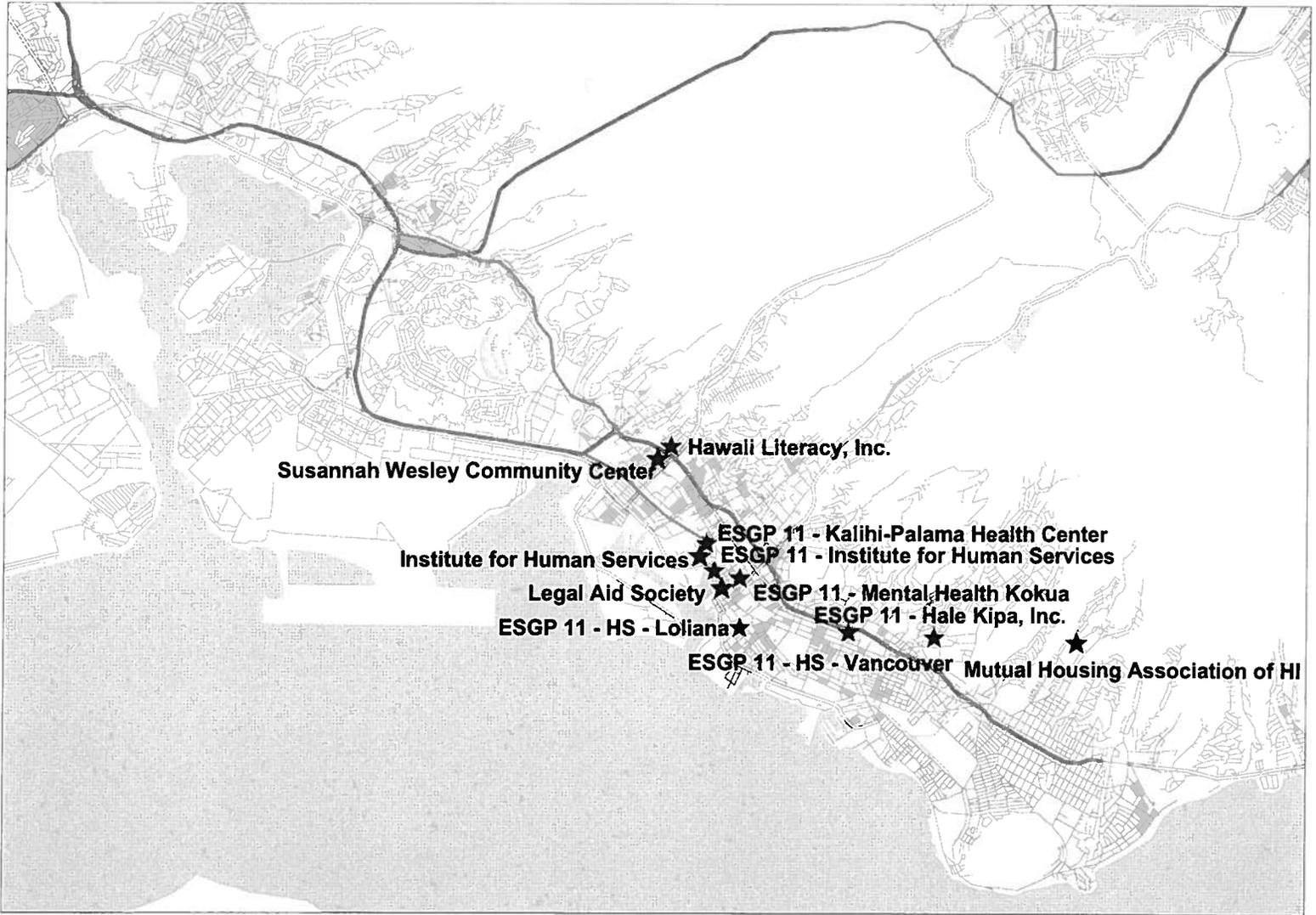
★ ESGP 11 - Hale Kipa, Inc.

★ ESGP 11 - Hale Kipa, Inc.

★ ESGP 11 - Hale Kipa, Inc.

★ Easter Seals Hawaii

★ Sutter Health Pacific



Susannah Wesley Community Center

★ Hawali Literacy, Inc.

Institute for Human Services

★ ESGP 11 - Kalihi-Palama Health Center

★ ESGP 11 - Institute for Human Services

Legal Aid Society

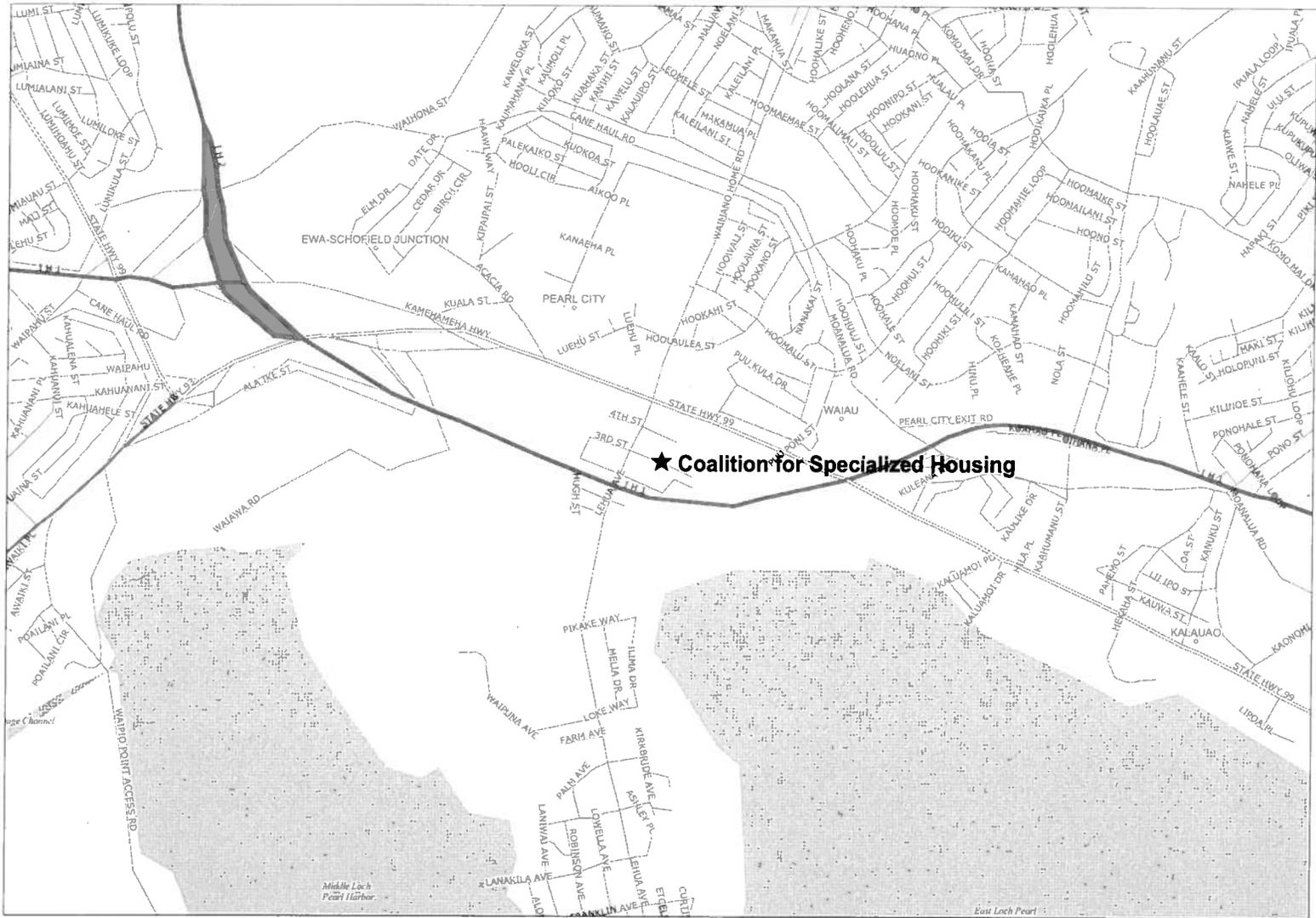
★ ESGP 11 - Mental Health Kokua

ESGP 11 - HS - Loliana

★ ESGP 11 - Hale Kipa, Inc.

★ ESGP 11 - HS - Vancouver

★ Mutual Housing Association of HI



★ Coalition for Specialized Housing

APPENDIX C

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
 - (a) The dangers of drug abuse in the workplace;
 - (b) The grantee's policy of maintaining a drug-free workplace;
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
 - (a) Abide by the terms of the statement; and
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -
 - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

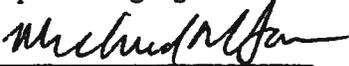
Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.


Signature/Authorized Official

MAY 16 2011
Date

Director, Department of Budget and Fiscal Services, City and County of Honolulu
Title

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation – It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. **Overall Benefit.** The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2009, 2010 & 2011 (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements. However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its notification, inspection, testing and abatement procedures concerning lead-based paint will comply with the requirements of 24 CFR §570.608;

Compliance with Laws -- It will comply with applicable laws.



Signature/Authorized Official

MAY 16 2011

Date

Director, Department of Budget and Fiscal Services, City and County of Honolulu
Title

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in 92.214.

Appropriate Financial Assistance -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;



Signature/Authorized Official

MAY 16 2011

Date

Director, Department of Budget and Fiscal Services, City and County of Honolulu
Title

LOCAL GOVERNMENT GRANTEE

FY 2011 EMERGENCY SHELTER GRANTS PROGRAM

CERTIFICATIONS BY THE CHIEF EXECUTIVE OFFICER

I, Peter B. Carlisle, Chief Executive Officer of the City and County of Honolulu, certify that the local government will ensure the provision of the matching supplemental funds required by the regulation at 24 *CFR* 576.51. I have attached to this certification a description of the sources and amounts of such supplemental funds.

I further certify that the local government will comply with:

- (1) The requirements of 24 *CFR* 576.53 concerning the continued use of buildings for which Emergency Shelter Grants are used for rehabilitation or conversion of buildings for use as emergency shelters for the homeless; or when funds are used solely for operating costs or essential services.
- (2) The building standards requirement of 24 *CFR* 576.55.
- (3) The requirements of 24 *CFR* 576.56, concerning assurances on services and other assistance to the homeless.
- (4) The requirements of 24 *CFR* 576.57, other appropriate provisions of 24 *CFR* Part 576, and other applicable federal laws concerning nondiscrimination and equal opportunity.
- (5) The requirements of 24 *CFR* 576.59(b) concerning the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970.
- (6) The requirement of 24 *CFR* 576.59 concerning minimizing the displacement of persons as a result of a project assisted with these funds.
- (7) The requirements of 24 *CFR* Part 24 concerning the Drug Free Workplace Act of 1988.
- (8) The requirements of 24 *CFR* 576.56(a) and 576.65(b) that grantees develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted with ESG funds and that the address or location of any family violence shelter project will not be made public, except with written authorization of the person or persons responsible for the operation of such shelter.
- (9) The requirement that recipients involve themselves, to the maximum extent practicable and where appropriate, homeless individuals and families in policymaking, renovating, maintaining, and operating facilities assisted under the ESG program, and in providing services for occupants of these facilities as provided by 24 *CFR* 76.56.
- (10) The requirements of 24 *CFR* 576.57(e) dealing with the provisions of, and regulations and procedures applicable with respect to the environmental review responsibilities under the National Environmental Policy Act of 1969 and related authorities as specified in 24 *CFR* Part 58.

(11) The requirements of 24 CFR 576.21(a)(4) providing that the funding of homeless prevention activities for families that have received eviction notices or notices of termination of utility services will meet the requirements that: (A) the inability of the family to make the required payments must be the result of a sudden reduction in income; (B) the assistance must be necessary to avoid eviction of the family or termination of the services to the family; (C) there must be a reasonable prospect that the family will be able to resume payments within a reasonable period of time; and (D) the assistance must not supplant funding for preexisting homeless prevention activities from any other source.

(12) The new requirement of the McKinney-Vento Act (42 USC 11362) to develop and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons. I further understand that state and local governments are primarily responsible for the care of these individuals, and that ESG funds are not to be used to assist such persons in place of state and local resources.

(13) HUD's standards for participation in a local Homeless Management Information System (HMIS) and the collection and reporting of client-level information.

I further certify that the submission of a completed and approved Consolidated Plan with its certifications, which act as the application for an Emergency Shelter Grant, is authorized under state and/or local law, and that the local government possesses legal authority to carry out grant activities in accordance with the applicable laws and regulations of the U. S. Department of Housing and Urban Development.

By:


Signature of Director of the Department of Budget and Fiscal Services
for Chief Executive Officer and Date

MAY 16 2011

Michael R. Hansen
Typed Name of Signatory

Director, Department of Budget and Fiscal Services, City and County of Honolulu
Title

HOPWA Certifications

The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the plan:

1. For at least 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility.
2. For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.



Signature/Authorized Official

MAY 16 2011

Date

Director, Department of Budget and Fiscal Services, City and County of Honolulu
Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Department of Budget and Fiscal Services
530 South King Street, Basement, 1st, 2nd & 3rd Floors
Honolulu, HI 96813

Department of Budget and Fiscal Services
650 South King Street, 4th Floor
Honolulu, HI 96813

Department of Community Services
715 South King Street, 2nd & 3rd Floors
Honolulu, HI 96813

Department of Community Services
711 Kapiolani Blvd., #1422
Honolulu, HI 96813

Department of Community Services
51 Merchant Street
Honolulu, HI 96813

Department of Community Services
1000 Ulu Ohia Street #204
Kapolei, HI 96707

Department of Design and Construction
650 South King Street, 11th Floor
Honolulu, HI 96813

Check ___ if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or

State criminal drug statutes;

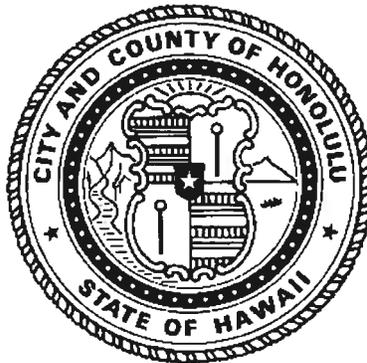
"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

APPENDIX D

**AMENDED AND RESTATED CITIZEN
PARTICIPATION PLAN**

AMENDED AND RESTATED CITIZEN PARTICIPATION PLAN (May 2011)



Community Development Block Grant (CDBG)
HOME Investment Partnerships (HOME)
Emergency Shelter Grants (ESG)
Housing for Persons with AIDS (HOPWA)
Section 108 Loan Guarantee (Section 108)
Programs

CITY AND COUNTY OF HONOLULU AMENDED AND RESTATED CITIZEN PARTICIPATION PLAN

As a condition to receiving federal funds, the U.S. Department of Housing and Urban Development (HUD) requires the City and County of Honolulu (City) to develop a Citizen Participation Plan (Plan) to encourage the community's participation in the development, implementation and assessment of the following programs which are administered by HUD's Community Planning and Development (CPD) division:

- Community Development Block Grant (CDBG) – a program developed to provide decent housing, a suitable living environment, and expand economic opportunities, principally for persons of low- and moderate-income.
- HOME Investment Partnerships Program (HOME) – a program developed to fund activities to build, buy and/or rehabilitate affordable housing for rent or homeownership or provide direct rental assistance to low-income persons.
- Emergency Shelter Grants Program (ESG) – a program developed to provide persons experiencing homelessness with basic shelter and essential supportive services.
- Housing Opportunities for Persons with AIDS (HOPWA) – a program developed to provide housing and related supportive services to persons with HIV/AIDS.

The administration of these programs is guided by the Consolidated Plan ("ConPlan"), a 5-year needs assessment and strategic plan; the annual Action Plan which details the activities to be undertaken for the upcoming year; and the Consolidated Annual Performance and Evaluation Report (CAPER), a year end performance review. The process under which these documents are developed will hereinafter be referred to as the CPD planning process.

In addition, this document also covers citizen participation requirements for a Section 108 Loan Guarantee (Section 108) program. Section 108 is a HUD program which provides funds for economic development, housing rehabilitation, and large-scale capital improvement projects. Section 108 loans are guaranteed by the City's current and future CDBG allocations. The Section 108 citizen participation requirements contained herein will only become applicable if the City implements a Section 108 program.

The first Plan was adopted by the City in 1995 and amended in 2005. This amendment and restatement reflects the City's commitment to improving all aspects of its CPD programs through periodic review and revision. This Plan is being amended to: 1) allow the thirty-day public review and comment period on the City's Action Plan to coincide with the City Council's consideration of the same matter; 2) include community

participation guidelines for a Section 108 program; 3) clarify the actions that constitute a substantial amendment to the ConPlan; and 4) simplify the Plan to make it easier to understand and implement.

ENCOURAGEMENT OF CITIZEN PARTICIPATION

The City will encourage the involvement of all community members, but in particular low- and moderate-income persons, persons experiencing homelessness, minorities, non-English speaking persons, persons with disabilities, recipients of government housing assistance, and residents of low- and moderate-income neighborhoods, Neighborhood Revitalization Strategy Areas (NRSAs) and other targeted areas. For purposes of this Plan, the City defines low- and moderate-income neighborhoods as areas in which at least 51% of the households have incomes at or below 80% of the area median income.

In addition, the City will encourage the participation of local and regional community organizations, nonprofits, faith-based organizations and developers whose programs and projects focus on the needs of low- and moderate-income persons.

The City will use a variety of outreach techniques to encourage community involvement through all stages of the CPD and Section 108 planning processes. Depending on the target audience, the City may use newspaper advertisements, press releases, websites and/or mass distributions of regular and e-mail notices. In all situations, the City will focus on providing timely and appropriate information.

The City will provide assistance to allow individuals with disabilities the opportunity to participate at all levels of planning and assessing the City's programs. Public hearings will be held at locations accessible to persons with disabilities, closed captioning will be provided for City Council hearings, and the City will maintain telecommunication devices for deaf persons including text telephones in the Departments of Customer Services (768-3489) and Budget and Fiscal Services (768-3933). Upon request, the City will also provide draft and final documents in a format accessible to those with visual impairments.

PRIMARY METHODS OF KEEPING PUBLIC INFORMED

1. PUBLIC NOTICES

Throughout the year, the City will publish, in a newspaper of general circulation, notices to alert the public to significant events in the CPD and Section 108 planning process and, if appropriate, solicit public comment.

TABLE 1 – LIST OF PUBLIC NOTICES

TITLE	CONTENTS	FREQUENCY	PUBLIC COMMENT PERIOD
Draft Consolidated Plan (ConPlan)	<ul style="list-style-type: none"> • Summary of purpose and contents • Notice of availability for review and comment 	Every 5 years	30 days
Final ConPlan	<ul style="list-style-type: none"> • Notice of acceptance • Notice of availability 	Every 5 years	None
Info on Funding Opportunities / Request for Proposals	<ul style="list-style-type: none"> • Program summary including fund objectives; amount of funds available; and range of eligible and ineligible activities • Information on application process 	HOME / CDBG: Annual ESG / HOPWA: Biannual Section 108: as needed	None
Draft Action Plan	<ul style="list-style-type: none"> • Summary of projects recommended for funding • Notice of availability for review and comment 	Annual	30 days
Final Action Plan	<ul style="list-style-type: none"> • Notice of acceptance • Notice of availability 	Annual	None
Draft Section 108 Application	<ul style="list-style-type: none"> • Summary of projects recommended for funding • Notice of availability for review and comment 	As needed	30 days
Draft Consolidated Annual Performance and Evaluation Report (CAPER)	<ul style="list-style-type: none"> • Summary of contents and purpose • Notice of availability for review and comment 	Annual	15 days
Annual Community Assessment / Final CAPER	<ul style="list-style-type: none"> • Notice of availability 	Annual	None
Substantial Amendments to the ConPlan / Action Plan / Citizen Participation Plan (CPP)/ Section 108 Application	<ul style="list-style-type: none"> • Summary of proposed changes 	As needed	30 days
Non-Substantial Amendments to the ConPlan / Action Plan / CPP / Section 108 App	<ul style="list-style-type: none"> • Summary of changes (notice will also include substantial amendments that were implemented during the plan year) 	Annual (end of plan year)	None

TITLE	CONTENTS	FREQUENCY	PUBLIC COMMENT PERIOD
Conversion / Demolition of Low-Income Dwellings	<ul style="list-style-type: none"> • Project summary – activity description, location, completion schedule • Replacement units summary – project description, funding source, completion schedule 	As needed	7 days

The following changes are considered amendments that will require public and HUD notification, but no public comment period:

- change in purpose, location, scope, or beneficiary of an activity;
- reallocation of funds previously described in a public notice (i.e. lapsing of funds for one activity and the awarding of funds to an alternate);
- change in response to an urgent need, such as a disaster;
- non-substantive, stylistic or housekeeping amendments; and
- change to appendices or exhibits.

The following changes will be considered substantial amendments that will also require a public comment period:

- change in allocation priorities including changes to the Problems/Needs, Activities or Outcomes of the Logic Model (ConPlan);
- change in the method of distribution of funds (ConPlan);
- addition of an activity not previously described in the Action Plan or Section 108 application;
- increase or decrease in an activity's award amount by the greater of \$75,000 or 30% of original award (Action Plan or Section 108 application); or
- change in the designated provider of an activity (Action Plan or Section 108 application).

2. PUBLIC HEARINGS / CONSULTATION MEETINGS

Throughout the year, the City will hold public hearings / consultation meetings to solicit citizens' comments and opinions on the City's housing and community development needs and the City's plans and progress towards meeting those needs.

Hearings / Meetings will be held at times and sites convenient and accessible to the public, including those with disabilities. Arrangements will be made for any individual requiring special services, including interpreters for non-English speakers, provided the City is notified of the need for special arrangements at least five working days prior to the scheduled event.

Notices for each hearing / meeting will be published in a newspaper of general circulation, approximately 10 working days before the meeting to allow the public ample time to prepare for the meeting. Those unable to attend the hearing / meeting, will be encouraged to submit written testimony prior to the meeting.

TABLE 2 – LIST OF PUBLIC HEARINGS

TITLE	TOPICS	# OF MEETINGS	FREQ.
Consolidated Plan / Community Needs Consultation Meetings	<ul style="list-style-type: none"> • Overview of CPD programs and planning process • Community development and housing needs for next 5 years • Funding priorities 	At least one, preferably two	Once every 5 years
Action Plan Hearings / City Council Meetings	<ul style="list-style-type: none"> • Activities proposed for funding for upcoming year • Current community needs 	At least two: 1) budget committee; and 2) full Council	Annual
CAPER / Community Needs	<ul style="list-style-type: none"> • City's performance for the preceding year (including Section 108 projects, if applicable) • Current community development and housing needs 	One	Annual
Orientation / Informational Meetings for RFPs	<ul style="list-style-type: none"> • Introduction to Section 108 or CPD funding program including objectives; amount of funds available; and range of eligible and ineligible activities • Technical assistance 	At least one, preferably two	CDBG / HOME: Annual ESG / HOPWA / Section 108: As needed
Consultation Meetings	<ul style="list-style-type: none"> • Community Needs 	As needed	As needed
Section 108 Hearing / City Council Meetings	<ul style="list-style-type: none"> • Projects included on a Section 108 application 	At least two: 1) budget committee; and 2) full Council	As needed If possible, combine with Action Plan hearings

ADDRESSING COMMUNITY CONCERNS

1. PUBLIC ACCESS TO RESOURCE DOCUMENTS AND PROGRAM RECORDS

The City will make the following documents available to the public via hard copies available at the City's Department of Budget and Fiscal Services and electronically through the City's website:

- HUD Approved Consolidated Plan (current)
- HUD Approved Action Plan (past five years)
- Final CAPER (most recent)
- Annual Community Assessment (most recent)
- Final Section 108 Applications (on-going projects)

The City will also provide free copies to interested parties upon request, although the City reserves the right to limit the number of free copies to a reasonable amount.

Upon written request, citizens will also be provided access to information and records regarding the City's preparation of the ConPlan and the use of CPD and Section 108 funds. The content and scope of the City's response to such requests may be restricted due to State and local laws regarding privacy and obligations of confidentiality.

2. COMMENTS AND COMPLAINTS

The City will provide responses to written questions, complaints and grievances within fifteen working days. An interim written response will be provided should the nature of the query, complaint or grievance not permit a definitive response within the specified fifteen days.

All comments or opinions received will be considered when preparing the ConPlan, the Action Plan, a Section 108 application, any substantial amendments, or performance report. A summary of comments, including those not acted upon with the reason for the non-action, will be attached to the appropriate document.

3. TECHNICAL ASSISTANCE

City staff is available year round to answer questions and provide technical assistance to any group or person interested in developing proposals and applying for funds under either Section 108 or a CPD program. The City will determine the type and level of help, but in no instance will assistance guarantee funding. All applicants will be expected to go through the City's regular Request for Proposals (RFP) process. If appropriate and interest warrants it, the City will hold an orientation / informational meeting during the RFP process to provide help in a group setting.

4. DISPLACEMENT

It is City policy to minimize displacement of persons and entities as a result of activities assisted with CPD or Section 108 funds and to assist persons displaced as a result of such activities. Due to the City's criteria for evaluating projects for funding, it is rare for CPD funded projects to involve relocation or displacement. For those projects which do, the City has relocation specialists who work closely with the affected parties to ensure that the relocation requirements of 24 CFR Part 42 and 24 CFR 570.606(c) are met.

**EMERGENCY SOLUTIONS GRANTS (ESG) PROGRAM
FY12 ANNUAL ACTION PLAN AMENDMENT**

Purpose

The attached amendment to the City and County of Honolulu's (City's) FY12 Annual Action Plan addresses the second allocation of funding in the amount of \$240,200 for the Emergency Solutions Grant (ESG) program.

The attached amendment is organized into the following categories:

- Summary of the consultation process;
- Summary of the citizen participation process;
- Matching funds;
- Proposed activities for the second allocation and eligible funding/budget;
- Written standards for the provision of ESG assistance;
- The process for the second allocation of ESG funding;
- Homeless participation requirement; and
- Required performance standards.

1. **SF424**

The SF424 has been completed and is attached as Appendix A.

2. **Summary of the Consultation Process**

The Department of Community Services (DCS) which implements the ESG program for the City, consulted with the Partners In Care (PIC) Planning Committee, which is the City's Continuum of Care (CoC) decision-making body for the island of Oahu. PIC consists of government agencies, nonprofit service providers, faith-based groups, other community agencies, and consumers that coordinate the CoC planning process. The PIC network was utilized to coordinate additional meetings to solicit additional input from the public.

The consultation group determined that the second allocation of FY12 ESG funds should focus on the following eligible activities; HMIS, homelessness prevention, rapid re-housing, administration and outreach services.

- a. Emergency Shelter (ES) operations and essential services - continuation of currently funded ESG shelter operations and essential costs.
- b. HMIS activities – To improve HMIS data quality to meet HUD requirements.
- c. Homelessness Prevention (HP) – To assist households experiencing economic hardship, temporarily reducing their income and putting housing situation in jeopardy.
- d. Rapid Re-Housing (RRH) – Provide assistance to newly homeless.
- e. Administration activities – Provide assistance to subgrantees as requested.

- f. Outreach services – The jurisdiction prioritized this activity below the other activities because some providers shared that there are already adequate local resources available for outreach.

The PIC, Honolulu's CoC was recently awarded funding for HMIS services and the City has consistently provided funding for maintenance of the HMIS. The State of Hawaii's HMIS is a shared homeless database utilized by the Counties of Honolulu, Hawaii, Kauai, and Maui's Continuum of Care. Over the last few months, policy and funding strategy discussions have occurred between the PIC, the State Homeless Program Office (HPO) Administrator and representatives from the City. The group is currently in the process of developing written funding, policy and procedures for the operation and administration of the HMIS.

3. Summary of Citizen Participation Process

The PIC was notified of the consultation process at its regular planning meeting held on February 16, 2012. The PIC email network was utilized to solicit additional input at meetings held at two different locations in the community on March 7, 2012 and March 9, 2012.

Approximately 25 individuals representing various organizations attended and participated in the consultation process.

All comments received as a result of the consultation process were addressed and summarized as noted in 2. above.

A public notice was published on Tuesday, April 10, 2012, in the Honolulu Star Advertiser, a newspaper of general circulation and also posted on the City's website at <http://www1.honolulu.gov/budget/cdbg.htm>. The public notice invited interested parties to comment within thirty days on the proposed amendment to the City's FY12 Annual Action Plan and no comments were received as of May 10, 2012.

4. Match

For the FY12 second allocation of ESG funds, the City will utilize the CDBG funded Institute for Human Services, Inc. (IHS) emergency shelter renovation activity to meet the ESG match requirement. The activity will be subject to the ESG interim rule requirements.

5. Proposed Activities and Overall Budget

The Table 3C's and spreadsheet which provide details regarding the proposed FY12 ESG activities and budgets are attached as Appendix B.

- a. Proposed Activities - See attached Table 3C's for each activity.

- b. Discussion of Funding Priorities - See explanation in 2. Summary of Consultation Process.

The HP, RRH, and Emergency Shelter services selected in #2 support the following themes and objectives from Opening Doors: Federal Strategic Plan to Prevent and End Homelessness:

Theme: Increase Economic Security; Objective 6: Improve access to mainstream programs and services to reduce people's financial vulnerability to homelessness.

All of the proposed services include case management that will assist participants in applying for mainstream programs and work with participants to reduce their financial vulnerability.

Theme: Retool The Homeless Crisis Response System; Objective 10: Transform homeless services to crisis response systems that prevent homelessness and rapidly return people who experience homelessness to stable housing.

The HP services will strengthen the CoC's ability to prevent homelessness and the ES and RRH services will focus on rapidly returning people to stable housing. In the coming year, the jurisdiction will work with PIC to clarify ESG priorities and adjust allocations to those priorities if necessary.

- c. Detailed Budget - See attached Table 3C's and spreadsheet for each activity.

6. **Written Standards for Provision of ESG Assistance**

In accordance with 24 CFR § 576.400, and as a result of a collaborative effort between the City and PIC, a draft of its written standards for providing ESG assistance is provided below.

- a. Standard policies and procedures for evaluating individuals' and families' eligibility for assistance under ESG.

The following table provides: an overview of eligible participants, local priorities that further define the eligible participants, and a reference to acceptable forms of documentation to support eligibility. A complete list of eligible participants can be found in 24CFR 576.2. At a minimum, all participants applying for ESG assistance must meet the HUD criteria and local priorities below to be eligible for the associated activity.

Local Priorities

The local priorities were gathered from participants in the local Continuum of Care (CoC). These priorities, which may change depending upon HUD approval, must also be factored into determining participant eligibility.

	Emergency Shelter	Rapid Re-Housing (RRH)	Homelessness Prevention (HP)
Overview of Eligible Participants	Literally homeless individuals and families currently living in an emergency shelter or place not meant for human habitation.	Literally homeless individuals and families currently living in an emergency shelter or place not meant for human habitation.	Extremely low income individuals and families (household income below 30% of family AMI) at risk of becoming homeless and moving into an emergency shelter or place not meant for human habitation.
Local Priorities	No local priority established	In addition to meeting the criteria above, the following criteria are local priorities that must also be met for participants to qualify for services. 1) Participant must be homeless for no more than 6 months before the application date. 2) Participant must demonstrate Hawaii residency for a minimum of one year through either a state tax return, pay stubs, Hawaii driver's license, or a birth certificate.	In addition to meeting the criteria above, the following criteria is a local priority that must also be met for participants to qualify for services. 1) Participants experienced a recent economic hardship, such as death of primary provider, job loss, health crisis, or other similar circumstances.
Required Eligibility Documentation	See details in 24 CFR 576.500 (b)	See details in 24 CFR 576.500 (b)	See details in 24 CFR 576.500 (c)

Step 1 – Screening for client eligibility to meet housing status, income, and local priority criteria.

Subgrantees will screen participants to determine if they meet the following ESG eligibility criteria:

Meeting HUD Criteria

Housing Status

Subgrantees will screen participants to determine if they meet the criteria found in 24CFR 576.2 related to the definition of being either homeless or at risk of homelessness. If participants meet the definition of at risk of homelessness, subgrantees must also determine participant income to meet homelessness prevention eligibility criteria. Participants meeting the homeless definition may also be questioned about income to determine the most appropriate services. Subgrantees will use documentation criteria from 24 CFR 576.500 (b).

Income

Subgrantees will screen participants to determine their income using criteria from 24 CFR 576.500 (e). If the participant's income is less than

30% of the Average Median Income, they will qualify for homelessness prevention services.

Meeting Local Priorities

In addition to meeting the HUD eligibility requirements above, subgrantees must also test for the local priorities as listed above to determine eligibility for homelessness prevention and rapid re-housing services.

Step 2 – Subgrantees will consult with eligible participants to determine the most appropriate service(s), ESG or other, to assist client in obtaining or stabilizing housing.

Upon meeting eligibility requirements, ESG funded service providers will work with participants to create a housing plan that will: assess participant strengths, assess participant challenges, and inventory the participant's current services and benefits.

Based on participant strengths, challenges, and current services and benefits, the housing plan will map out the best available ESG services and if necessary, recommend services available from other homeless assistance and mainstream providers. A case manager will work with the participant to implement the housing plan, through meetings with the participant and providing referrals and other forms of support. The case manager is key to coordinating resources for participants.

- b. Policies and procedures for coordination among ES, HP, RRH and other homeless assistance providers, and mainstream service and housing providers.

PIC already engages service providers in coordination of services through agency participation in monthly general, planning committee, data committee, advocacy, and awareness committee meetings. General meetings give providers an opportunity to share available services, including a monthly count of shelter plus care and adult mental health bed vacancies.

Most of the homeless service providers participate in PIC, and agency staff frequently partner with each other to facilitate inter-agency coordination. One geographically specific group within PIC, the Leeward Housing Coalition, coordinates monthly meetings amongst all area shelter and outreach providers to ensure services are coordinated.

- c. Policies and procedures for determining and prioritizing which participants will receive homelessness prevention and which will receive rapid re-housing assistance.

Each ESG funded agency will use the following procedure for determining and prioritizing HP and RRH assistance.

The eligibility criteria for HP and RRH will be the first criteria for determining which type of assistance participants receive; participants that are literally homeless will receive RRH services and participants that are at risk of homelessness will receive HP services.

The second criteria for prioritizing which participant receives assistance will be the order in which the participants complete their applications including meeting eligibility documentation requirements.

The third criteria, if several participants complete their applications at the same time, will be based on the time sensitivity of the situation. For example, if participants A and B are both seeking HP assistance and complete their applications at the same time, but participant A will be evicted in two days and participant B will be evicted in four days, participant A will receive assistance before participant B.

In the coming year, the jurisdiction will work with PIC to coordinate additional prioritization criteria according to a locally developed risk matrix like the sample provided below.

RISK MATRIX

	Yes	No
Literally Homeless		
Currently in Condemned or Sub-Standard Housing	Yes	No
Significant Sudden Loss of Income	Yes	No
Severe overcrowding in doubled-up situation	Yes	No
50% or more of income spent on housing	Yes	No
Secondary Risk Factors / Assets (answer all items):		
Prior Episodes of Homelessness	Yes	No
Number of times homeless		
Prior Eviction History	Yes	No
Number of Prior Evictions:		
Moved 2 or more times in the last year	Yes	No
Unemployment - Long Term (more than 12 months)	Yes	No
Unstable Employment History/Frequent Job Changes	Yes	No
Lack of Transportation that impacts employment stability	Yes	No
Lack of High School Diploma or GED	Yes	No
Foster Care History	Yes	No
Physical Disabilities & other Chronic Health Issues	Yes	No
Medical Crisis that impacts employment	Yes	No
Substance Abuse condition that impacts housing	Yes	No
Mental Health condition the impacts housing	Yes	No
Unresolved Legal Issues	Yes	No
Family paying for hotel itself	Yes	No
Other Risk Factors / Assets (answer all items):		
Violent Misdemeanor	Yes	No
Violent felony	Yes	No
Criminal Sexual Conduct felony- Registered Sex Offender	Yes	No

Add up the risk factors and place in the grid below.

	# secondary risk factors	Total:			
--	--------------------------	--------	--	--	--

- d. Standards for determining share of rent and utilities costs that each program participant must pay, if any, while receiving HP or RRH assistance.
- e. Standards for determining how long a participant will be provided with rental assistance and whether and how the amount of that assistance will be adjusted over time.
- f. Standards for determining the type, amount, and duration of Housing Relocation and Stabilization Services.

The consultation group recommended that the limits for standards d., e. and f. above not be released to the public to prevent participants from assuming their entitlement to the maximum amount, instead of receiving “just enough” assistance to stabilize housing as HUD intended.

7. Process for making subawards

In alignment with the priorities discussed in collaboration with the group, the process for making the subawards was determined as follows:

- a. The jurisdiction continued funding nonprofit agencies currently receiving ESG funds for shelter operations and essential services;
- b. The jurisdiction worked with the HMIS lead agency and the HMIS vendor to determine necessary activities that can be funded through the ESG program;
- c. The jurisdiction issued a Request for Proposals (RFP) for nonprofit organizations to provide homelessness prevention and rapid re-housing services; and
- d. The jurisdiction will make a fixed percentage of administration funds available to all nonprofit organizations receiving funding through the ESG program.

8. Homeless Participation Requirement

The jurisdiction works with Partners In Care (PIC), the Honolulu CoC's decision making body that consists of government agencies, nonprofit service providers, faith-based groups, other community agencies, and consumers. Formerly homeless individuals participated in one of the consultation meetings. In the coming year, PIC will develop an advisory committee of persons experiencing homelessness or persons that were formerly homeless that will provide input on policies and decisions related to services across the CoC.

9. Performance Standards

The jurisdiction recommended grant management performance indicators and the consultation groups drew from the Continuum of Care (CoC) HEARTH

performance indicators to develop the following draft performance standards to ensure alignment between the ESG and CoC programs.

- a. Grants Management Performance Standards applicable to all subgrantees
 - i. Subgrantees will drawdown funds according to the schedule submitted upon execution of subgrantee agreement.
 - ii. Subgrantees will submit quarterly performance reports within 30 days of the end of the quarter.
- b. HMIS Activities Performance Standard
 - i. By June 30, 2013, the HMIS vendor in conjunction with CoC, will implement changes to the HMIS to be in compliance with HUD ESG related requirements.
- c. HP Performance Standard
 - i. Over the grant period, the jurisdiction will reduce the number of households experiencing first time homelessness by 120 households with homelessness prevention services.
- d. RRH Performance Standard
 - i. Over the grant period, the jurisdiction will reduce the number of overall households experiencing homelessness by 45 households with rapid re-housing services.
- e. Emergency Shelter Performance Standards
 - i. Over the grant period, the jurisdiction will provide emergency shelter to 1,500 people.
 - ii. Over the grant period, the jurisdiction will offer support services to 750 persons experiencing homelessness to help them transition to appropriate permanent housing.

10. Certifications

The certifications have been completed and are attached as Appendix C.

Requirements for Recipients Who Plan To Use the Risk Factor Under Paragraph (1)(iii)(G) of the "At Risk of Homelessness" Definition.

The City will use the following risk factor under paragraph (1)(iii)(G) of the "At Risk of Homelessness" definition: "Participants experienced a recent economic hardship, such as death of primary provider, job loss, health crisis, or other similar circumstances."

Why not other risk factors?

In the City's consultation with the PIC, the group viewed several of the at-risk categories, including living in the home of another or living in overcrowded situations, as "the norm" for people in Honolulu and did not seem appropriate to define program eligibility. Because of Hawaii's prominence as a tourist destination, lodging costs are quite high, and hotels or motels are not an option for people struggling with housing.

Because of Honolulu's high housing costs, other risk factors like moving two or more times during the previous 60 days or exiting publicly funded institutions or systems of care, were viewed as housing barriers that would require intensive assistance and services, thereby limiting the number of people that could be served with the ESG's limited resources.

The National Low Income Housing Coalition's 2012 report rates Hawaii as the most expensive state for rentals, with Honolulu as the third most expensive metropolitan area. Hawaii Business' 2011 Quality of Life report notes that Hawaii's rentals are twice the national average and almost 25% of renters in Hawaii receive some form of public assistance.

Balancing these facts with the homelessness prevention's program of providing "just enough assistance" to decrease the number of people experiencing homelessness for the first time, the group described the best fit for the program in Honolulu as people that had stable income and housing, but experienced an economic hardship, which temporarily reduced their income below 30% AMI and put their housing in jeopardy.

Although this may result in meeting the risk factor: receiving written notification in that their right to occupy their current housing or living situation will be terminated within 21 days after the date of application for assistance, the group felt that traumatic event should be prevented if possible.

Proposed risk factor

PIC members recommended the following risk factor, modified from one issued in the HPRP NOFA, be used as a local priority for eligibility:

From HPRP NOFA	PIC modified recommendation
Recent traumatic life event, such as death of a spouse or primary care provider, or recent health crisis that prevented the household from meeting its financial responsibilities	A recent economic hardship, such as death of primary provider, job loss, health crisis, or other similar circumstances that prevented the household from meeting its financial responsibilities.

The City, in agreement with the consultation group, recognizes that this risk factor is sufficiently linked with instability and increased risk of homelessness, and proposes this risk factor as another criteria to be added to the list of risk

factors as allowed in CFR 24 576.2 under paragraph (1)(iii)(G) of the "At Risk of Homelessness" definition.

APPENDIX A

**APPLICATION FOR
FEDERAL ASSISTANCE**

Version 9/03

1. TYPE OF SUBMISSION: Application <input checked="" type="checkbox"/> Construction <input type="checkbox"/> Non-Construction <input type="checkbox"/> Pre-application <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction	2. DATE SUBMITTED Applicant Identifier
	3. DATE RECEIVED BY STATE State Application Identifier
	4. DATE RECEIVED BY FEDERAL AGENCY Federal Identifier

5. APPLICANT INFORMATION

Legal Name: City and County of Honolulu	Organizational Unit: Department: Department of Budget and Fiscal Services
Organizational DUNS: 077701647	Division: Fiscal/CIP Administration
Address: Street: 530 South King Street	Name and telephone number of person to be contacted on matters involving this application (give area code) Prefix: Ms First Name: Holly
City: Honolulu	Middle Name
County:	Last Name: Kawano
State: Hawaii Zip Code: 96813	Suffix:
Country:	Email: hkawano@honolulu.gov

6. EMPLOYER IDENTIFICATION NUMBER (EIN): 99-6001257

Phone Number (give area code): (808) 768-3930	Fax Number (give area code): (808) 768-3294
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8. TYPE OF APPLICATION:
 New Continuation Revision
 If Revision, enter appropriate letter(s) in box(es)
 (See back of form for description of letters.)
 Other (specify):

7. TYPE OF APPLICANT: (See back of form for Application Types)
 Other (specify): City and County

9. NAME OF FEDERAL AGENCY:
U.S. Department of Housing and Urban Development

10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER:
14-231

11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:
Seventeenth (17th) Year Action Plan

12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.):
City and County of Honolulu

13. PROPOSED PROJECT
 Start Date: 07/01/12 Ending Date: 06/30/13

14. CONGRESSIONAL DISTRICTS OF:
 a. Applicant: First and Second b. Project: First and Second

15. ESTIMATED FUNDING:

a. Federal	\$ 240,200. ⁰⁰
b. Applicant	⁰⁰
c. State	⁰⁰
d. Local	⁰⁰
e. Other	⁰⁰
f. Program Income	⁰⁰
g. TOTAL	\$ 240,200. ⁰⁰

16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?
 a. Yes. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE:
 b. No. PROGRAM IS NOT COVERED BY E. O. 12372
 OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW

17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?
 Yes If "Yes" attach an explanation. No

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES.

a. Authorized Representative

Prefix: Mr.	First Name: Michael	Middle Name: R.
Last Name: Hansen	Suffix: N/A	
b. Title: Director, Department of Budget and Fiscal Services	c. Telephone Number (give area code): (808) 768-3901	
Email: mhansen@honolulu.gov	Fax Number (give area code): (808) 768-3179	
d. Signature of Authorized Representative 	e. Date Signed MAY 15 2012	

APPENDIX B

Table 3C
Consolidated Plan Listing of Projects

Jurisdiction's Name: City and County of Honolulu

Priority Need
Public Service Needs

Project Title
ESGP 11 – Department of Community Services – Housing Management Information System and Administrative Costs

Description
Provision of funds for Housing Information Management System (HMIS) reporting and technical assistance, and administrative support. This is a new project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

Not applicable

Objective Number AD2	Project ID 3
HUD Matrix Code 03T	CDBG Citation N/A
Type of Recipient Nonprofit	CDBG National Objective N/A
Start Date (mm/dd/yyyy) 08/01/2012	Completion Date (mm/dd/yyyy) 07/31/2013
Performance Indicator Local Government	Annual Units
Local ID	Units Upon Completion

Funding Sources:

CDBG
ESG 18,032
HOME
HOPWA
Total Formula
Prior Year Funds
Assisted Housing
PHA
Other Funding
Total 18,032

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

**Table 3C
Consolidated Plan Listing of Projects**

Jurisdiction's Name: City and County of Honolulu

Priority Need

Public Service Needs

Project Title

ESGP 11 – Waianae Coast Comprehensive Health Center – Homelessness Prevention and Rapid Re-Housing

Description

Provision of funds for homelessness prevention and rapid re-housing financial assistance and housing relocation and stabilization services. This is a new project.

Objective category: Suitable Living Environment Decent Housing Economic Opportunity
Outcome category: Availability/Accessibility Affordability Sustainability

Location/Target Area

86-260 Farrington Hwy, Waianae, HI 96792-3199

Objective Number HP3, HP5	Project ID 14
HUD Matrix Code 05	CDBG Citation Not applicable
Type of Recipient Nonprofit	CDBG National Objective Not applicable
Start Date (mm/dd/yyyy) 08/01/2012	Completion Date (mm/dd/yyyy) 07/31/2013
Performance Indicator Persons	Annual Units
Local ID	Units Upon Completion 55

Funding Sources:

CDBG
ESG	222,168
HOME
HOPWA
Total Formula
Prior Year Funds
Assisted Housing
PHA
Other Funding
Total	222,168

The primary purpose of the project is to help: the Homeless Persons with HIV/AIDS Persons with Disabilities Public Housing Needs

APPENDIX C

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
 - (a) The dangers of drug abuse in the workplace;
 - (b) The grantee's policy of maintaining a drug-free workplace;
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
 - (a) Abide by the terms of the statement; and
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -
 - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.



Signature/Authorized Official

MAY 15 2012

Date

Director, Department of Budget and Fiscal Services, City and County of Honolulu
Title

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. Overall Benefit. The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2009, 2010 & 2011 (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements. However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its notification, inspection, testing and abatement procedures concerning lead-based paint will comply with the requirements of 24 CFR §570.608;

Compliance with Laws -- It will comply with applicable laws.



Signature/Authorized Official

MAY 15 2012

Date

Director, Department of Budget and Fiscal Services, City and County of Honolulu
Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Department of Budget and Fiscal Services
530 South King Street, Basement, 1st, 2nd & 3rd Floors
Honolulu, HI 96813

Department of Budget and Fiscal Services
650 South King Street, 4th Floor
Honolulu, HI 96813

Department of Community Services
715 South King Street, 2nd & 3rd Floors
Honolulu, HI 96813

Department of Community Services
51 Merchant Street
Honolulu, HI 96813

Department of Community Services
1000 Ulu Ohia Street #204
Kapolei, HI 96707

Department of Design and Construction
650 South King Street, 11th Floor
Honolulu, HI 96813

Check ___ if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15):

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of

State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

ESG Certifications

The Emergency Solutions Grants Program Recipient certifies that:

Major rehabilitation/conversion – If an emergency shelter’s rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for such individuals.

Matching Funds – The jurisdiction will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction’s consolidated plan.

Discharge Policy – The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from

publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.



Signature/Authorized Official

06/29/2012

Date

Director, Department of Budget and Fiscal Services
Title

LOCAL GOVERNMENT GRANTEE
FY 2012 EMERGENCY SHELTER GRANTS PROGRAM
CERTIFICATIONS BY THE CHIEF EXECUTIVE OFFICER

I, Peter B. Carlisle, Chief Executive Officer of the City and County of Honolulu, certify that the local government will ensure the provision of the matching supplemental funds required by the regulation at 24 *CFR* 576.51. I have attached to this certification a description of the sources and amounts of such supplemental funds.

I further certify that the local government will comply with:

- (1) The requirements of 24 *CFR* 576.53 concerning the continued use of buildings for which Emergency Shelter Grants are used for rehabilitation or conversion of buildings for use as emergency shelters for the homeless; or when funds are used solely for operating costs or essential services.
- (2) The building standards requirement of 24 *CFR* 576.55.
- (3) The requirements of 24 *CFR* 576.56, concerning assurances on services and other assistance to the homeless.
- (4) The requirements of 24 *CFR* 576.57, other appropriate provisions of 24 *CFR* Part 576, and other applicable federal laws concerning nondiscrimination and equal opportunity.
- (5) The requirements of 24 *CFR* 576.59(b) concerning the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970.
- (6) The requirement of 24 *CFR* 576.59 concerning minimizing the displacement of persons as a result of a project assisted with these funds.
- (7) The requirements of 24 *CFR* Part 24 concerning the Drug Free Workplace Act of 1988.
- (8) The requirements of 24 *CFR* 576.56(a) and 576.65(b) that grantees develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted with ESG funds and that the address or location of any family violence shelter project will not be made public, except with written authorization of the person or persons responsible for the operation of such shelter.
- (9) The requirement that recipients involve themselves, to the maximum extent practicable and where appropriate, homeless individuals and families in policymaking, renovating, maintaining, and operating facilities assisted under the ESG program, and in providing services for occupants of these facilities as provided by 24 *CFR* 76.56.
- (10) The requirements of 24 *CFR* 576.57(e) dealing with the provisions of, and regulations and procedures applicable with respect to the environmental review responsibilities under the National Environmental Policy Act of 1969 and related authorities as specified in 24 *CFR* Part 58.

(11) The requirements of 24 *CFR* 576.21(a)(4) providing that the funding of homeless prevention activities for families that have received eviction notices or notices of termination of utility services will meet the requirements that: (A) the inability of the family to make the required payments must be the result of a sudden reduction in income; (B) the assistance must be necessary to avoid eviction of the family or termination of the services to the family; (C) there must be a reasonable prospect that the family will be able to resume payments within a reasonable period of time; and (D) the assistance must not supplant funding for preexisting homeless prevention activities from any other source.

(12) The new requirement of the Homeless Assistance and Rapid Transition to Housing (HEARTH) Act (42 *USC* 11362) to develop and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons. I further understand that state and local governments are primarily responsible for the care of these individuals, and that ESG funds are not to be used to assist such persons in place of state and local resources.

(13) HUD's standards for participation in a local Homeless Management Information System (HMIS) and the collection and reporting of client-level information.

I further certify that the submission of an amended and approved Consolidated Plan with its certifications, which act as the application for an Emergency Solutions Grant, is authorized under state and/or local law, and that the local government possesses legal authority to carry out grant activities in accordance with the applicable laws and regulations of the U. S. Department of Housing and Urban Development.

By:



MAY 15 2012

Signature of Director of the Department of Budget and Fiscal Services
for Chief Executive Officer and Date

Michael R. Hansen

Typed Name of Signatory

Director, Department of Budget and Fiscal Services, City and County of Honolulu

Title

