



# Status of the City's Finances

2020

OCS

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# I. Introduction

We are pleased to transmit to the Honolulu City Council the *Status of the City's Finances* for 2020. Each year, the Office of Council Services (OCS) prepares this brief to aid Councilmembers during the City's annual budget cycle. The 2020 report presents the following: (1) details about the status of the operating budget for the prior fiscal year; and (2) the status of capital projects for the most recently ended appropriation period.

We hope that you find the information provided useful during the FY 2021 budget cycle.

## II. Operating Budgets

This is a comparison of actual versus budgeted revenues and expenditures for the executive operating budget. This review covers all of FY 2019 (ending on June 30, 2019) and is based on the information in the FY 2020 Executive Program and Budget documents, the executive and legislative operating budget ordinances (Ordinance 18-22 for FY 2019 and Ordinance 18-23 for FY 2019), unaudited financial statements for FY 2019, and the December 2019 accounting reports for FY 2020 from the Department of Budget and Fiscal Services. The review of expenditures includes all fund sources, while the review of revenues only covers the general fund.

### Overview:

- In FY 2019, the largest general fund revenue variance (that was more than \$1 million and five percent between actual and budgeted revenue amounts) was because \$4.8 million was not collected in Public Service Company Taxes.
- Also in FY 2019, the largest appropriation lapse was over \$40.4 million lapsing from the \$522.3 million adjusted appropriation for City and County Bonds.

## A. REVIEW OF FY 2019

### GENERAL FUND OVERVIEW

Overall, for FY 2019, actual general fund revenues were \$92 million more than the \$1.75 billion estimated in the budget (these figures include revenues, other financing sources (e.g. sale of assets), transfers in, and unreserved fund balance from prior year). Actual general fund expenditures for executive and legislative departments, miscellaneous expenses and debt service totaled \$99.6 million lower than the \$1.71 billion budgeted (these figures include expenditures from departments, miscellaneous expenditures and debt service; other financing uses; and transfers out). The actual unreserved and undesignated fund balance at the end of FY 2019 totaled \$200.3 million more than the budgeted fund balance of \$1.6 million. The \$201 million ending fund balance computes to a favorable ratio of 12.5 percent compared to FY 2019 expenditures.<sup>1</sup>

### SIGNIFICANT REVENUE VARIANCES FOR GENERAL FUND

The following table shows general fund revenue sources with a variance of both \$1 million and five percent or more between actual and budgeted revenue amounts for FY 2019. Negative amounts mean actual revenues were below the amounts budgeted.

**Table II-1. FY 2019 Major  
General Fund Revenue Variances**

General Fund Revenue Source	Variance From Budget	Percent of Budgeted Amount
Building Permits	(\$1,545,000)	-7.0%
Emergency Ambulance Services Reimbursements	(\$3,204,000)	-7.2%
Public Service Company Tax	(\$4,825,000)	-10.5%
Sundry Refunds	\$4,381,000	468.1%

<sup>1</sup> The Government Finance Officers Association, a standard-setting professional association, recommends an unreserved fund balance in the general fund of no less than five to 15 percent of operating revenues.

## DETAILED EXPENDITURE RESULTS BY ACTIVITY

Table II-2 displays expenditure results of activities in the FY 2019 executive operating budget ordinance, listed by agency. Table II-3 displays expenditure results of activities in the FY 2019 legislative budget ordinance, listed by agency. In addition, if accounting reports show that an activity received state or federal funds that were not appropriated, that funding is shown in the "Other Grants" line-item for the appropriate agency. For each activity, the amounts appropriated, expended/encumbered, and lapsed in the fiscal year are shown. Included is the percentage of the activity's appropriation that the lapsed amount represents. Activities where the lapsed amount equaled or exceeded both \$1 million and five percent of the adjusted appropriation are highlighted, but only if the lapsed amount and percentage continue to meet the criteria after excluding grant funds from any source.<sup>2</sup> For each activity, the following information is provided regarding its status at the end of the fiscal year:

**"Appropriation"** - Total appropriated amount as shown in the budget ordinance.

**"Adjusted Appropriation"** - The total appropriated amount as it may have been adjusted by any transfers and grants, from either State or federal sources. The adjusted amount may be higher than the initial appropriation if a transfer or grant added to the amount appropriated. Alternatively, the adjusted amount may be lower than the initial appropriation if a transfer reduced the amount appropriated to that activity or if a grant to the City was less than budgeted.

**"Expended/Encumbered"** - The amount of the adjusted appropriation that was expended or encumbered during the fiscal year.

**"Lapsed"** - The amount that lapsed at the end of the fiscal year and its percentage of the adjusted appropriation.

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<sup>2</sup> Unbudgeted grant and special projects funds are excluded.

**Table II-2**  
**EXECUTIVE OPERATING BUDGET**  
**Appropriations for FY 2019, All Funds**

<b>Budgeted Activity</b>	<b>Appropriation</b>	<b>Adjusted Appropriation</b>	<b>Expended/ Encumbered</b>	<b>Lapsed</b>	<b>Percent Lapsed</b>
<b>Mayor</b>					
Administration	731,438	740,438	717,299	23,139	3.1%
Contingency	30,000	30,000	16,676	13,324	44.4%
Other Grants*	-	10,000	-	10,000	100.0%
<b>Managing Director</b>					
City Management	2,137,873	2,092,377	2,010,371	82,006	3.9%
Culture and the Arts	485,550	540,812	481,959	58,853	10.9%
Neighborhood Commission	893,405	902,537	872,715	29,822	3.3%
Office of Housing	182,924	198,356	182,339	16,017	8.1%
Office of Climate Change, Sustainability and Resiliency	1,148,764	951,028	922,867	28,161	3.0%
Royal Hawaiian Band	2,363,826	2,460,842	2,415,776	45,066	1.8%
Other Grants*	-	935,926	787,942	147,984	15.8%
<b>Department of Customer Services</b>					
Administration	749,075	772,075	763,947	8,128	1.1%
Public Communication	1,446,164	1,481,724	1,406,190	75,534	5.1%
Satellite City Hall	4,570,970	4,547,970	4,322,399	225,571	5.0%
Motor Vehicle, Licensing and Permits	18,122,957	18,652,243	17,797,510	854,733	4.6%
Other Grants*	-	47,144	-	47,144	100.0%
<b>Department of Budget and Fiscal Services</b>					
Administration	2,347,936	2,377,724	1,479,747	897,977	37.8%
Internal Control	543,342	543,342	496,695	46,647	8.6%
Fiscal/CIP Administration	1,717,422	1,717,422	1,543,458	173,964	10.1%
Budgetary Administration	969,796	969,796	888,287	81,509	8.4%
Accounting and Fiscal Services	4,854,216	4,951,740	4,273,663	678,077	13.7%
Purchasing and General Services	2,026,230	2,026,230	1,812,654	213,576	10.5%
Real Property	6,382,656	6,382,656	6,083,626	299,030	4.7%
Treasury	3,125,903	3,125,903	2,916,993	208,910	6.7%
Liquor Commission	4,399,504	4,399,504	3,491,502	908,002	20.6%
Other Grants*	-	15,000	15,000	0	0.0%
<b>Department of Information Technology</b>					
Administration	9,953,374	9,953,374	9,919,319	34,055	0.3%
Applications	2,257,902	2,481,530	2,478,583	2,947	0.1%
Technical Support	1,211,103	1,407,003	1,393,412	13,591	1.0%
Operations	1,477,854	1,517,496	1,413,761	103,735	6.8%
ERP-CSR	2,561,965	2,648,861	2,538,730	110,131	4.2%
Communications and Network	1,242,091	1,287,379	1,286,038	1,341	0.1%
Other Grants*	-	2,270,881	2,161,532	109,349	4.8%
<b>Department of the Corporation Counsel</b>					
Legal Services	9,093,336	9,093,336	8,561,746	531,590	5.8%
Ethics Commission	451,352	451,352	378,954	72,398	16.0%

**Table II-2 (continued)**  
**EXECUTIVE OPERATING BUDGET**  
**Appropriations for FY 2019, All Funds**

<b>Budgeted Activity</b>	<b>Appropriation</b>	<b>Adjusted Appropriation</b>	<b>Expended/ Encumbered</b>	<b>Lapsed</b>	<b>Percent Lapsed</b>
Department of the Prosecuting Attorney					
Administration	6,910,102	6,996,824	6,457,823	539,001	7.7%
Prosecution	14,657,454	15,214,330	14,492,807	721,523	4.7%
Victim/Witness Assistance	2,161,042	3,157,701	2,886,011	271,690	8.6%
Department of Human Resources					
Administration	1,386,091	1,361,128	1,344,178	16,949	1.2%
Employment and Personnel Services	2,090,066	2,106,029	1,993,522	112,508	5.3%
Classification and Pay	781,813	790,813	787,373	3,440	0.4%
Industrial Safety and Workers' Compensation	1,366,115	1,426,550	1,421,072	5,478	0.4%
Relations and Training	1,471,885	1,491,885	1,360,619	131,266	8.8%
Department of Planning and Permitting					
Administration	6,227,045	6,337,509	5,310,063	1,027,446	16.2%
Site Development	4,282,889	4,389,732	3,710,211	679,521	15.5%
Land Use Permits	1,596,512	1,649,468	1,595,813	53,655	3.3%
Planning	2,690,858	2,891,074	2,615,351	275,723	9.5%
Customer Service Office	4,162,802	4,370,197	3,505,391	864,806	19.8%
Building	6,083,357	6,283,616	5,903,077	380,539	6.1%
Other Grants*	-	313,977	270,000	43,977	14.0%
Department of Facility Maintenance					
Administration	11,131,249	11,409,700	10,980,683	429,017	3.8%
Road Maintenance	28,868,331	30,945,156	26,234,802	4,710,354	15.2%
Public Building and Electrical Maintenance	33,799,561	34,771,535	32,139,175	2,632,360	7.6%
Automotive Equipment Services	16,869,187	17,900,328	17,627,412	272,916	1.5%
Other Grants*	-	28,900	28,900	-	0.0%
Department of Design and Construction					
Administration	2,388,557	2,443,239	2,289,674	153,565	6.3%
Project and Construction Mgmt	11,846,643	12,544,814	10,908,839	1,635,975	13.0%
Land Services	2,906,649	3,029,045	2,820,315	208,730	6.9%
East Oahu Floods-State of Hawaii	-	10,000,000	10,000,000	-	0.0%
Department of Land Management					
Administration	1,810,077	2,040,021	1,407,131	632,890	31.0%

**Table II-2 (continued)**  
**EXECUTIVE OPERATING BUDGET**  
**Appropriations for FY 2019, All Funds**

<b>Budgeted Activity</b>	<b>Appropriation</b>	<b>Adjusted Appropriation</b>	<b>Expended/ Encumbered</b>	<b>Lapsed</b>	<b>Percent Lapsed</b>
<b>Police Department</b>					
Police Commission	556,780	556,780	473,174	83,606	15.0%
Office of the Chief of Police	8,044,249	8,544,249	8,202,728	341,521	4.0%
Patrol	157,984,603	167,184,603	164,104,492	3,080,111	1.8%
Special Field Operations	14,715,742	14,065,742	13,549,857	515,885	3.7%
Investigations	43,518,050	43,928,050	41,386,011	2,542,039	5.8%
Support Services	40,444,391	37,824,391	34,229,627	3,594,764	9.5%
Administrative Services	32,123,107	25,283,107	24,090,242	1,192,865	4.7%
Other Grants*	-	6,624,610	5,787,011	837,599	12.6%
<b>Fire Department</b>					
Fire Commission	20,508	20,508	3,293	17,215	83.9%
Administration	4,035,695	4,035,695	3,917,020	118,675	2.9%
Fire Communication Center	3,708,422	3,708,422	3,579,798	128,624	3.5%
Fire Prevention	5,307,476	5,282,476	5,213,908	68,568	1.3%
Mechanic Shop	2,859,517	2,859,517	2,845,401	14,116	0.5%
Training and Research	3,592,287	3,617,287	3,027,014	590,273	16.3%
Radio Shop	428,648	437,648	382,303	55,345	12.6%
Fire Operations	116,545,975	116,563,225	110,578,287	5,984,938	5.1%
City Radio System	305,800	305,800	297,146	8,654	2.8%
Other Grants*	-	1,929,906	650,449	1,279,457	66.3%
<b>Department of Emergency Services</b>					
Administration	735,564	735,564	725,728	9,836	1.3%
Emergency Medical Services	38,122,582	38,122,582	31,694,300	6,428,282	16.9%
Ocean Safety	15,370,825	16,361,117	14,991,509	1,369,608	8.4%
Health Services	1,031,599	1,095,425	964,512	130,913	12.0%
Other Grants*	-	47,477	1,101	46,376	97.7%
<b>Department of Emergency Management</b>					
Emergency Management Coordination	1,813,240	11,586,003	2,714,412	8,871,591	76.6%
Other Grants*	-	136,250	10,248	126,002	92.5%
<b>Department of the Medical Examiner</b>					
Investigation of Deaths	2,197,036	2,347,228	2,198,586	148,641.86	6.3%
Other Grants*	-	125,700	1,605	124,095.00	98.7%

**Table II-2 (continued)**  
**EXECUTIVE OPERATING BUDGET**  
**Appropriations for FY 2019, All Funds**

<b>Budgeted Activity</b>	<b>Appropriation</b>	<b>Adjusted Appropriation</b>	<b>Expended/ Encumbered</b>	<b>Lapsed</b>	<b>Percent Lapsed</b>
<b>Department of Community Services</b>					
Administration	561,850	685,358	680,515	4,843	0.7%
Office of Grants Management	9,197,927	9,232,644	8,125,393	1,107,251	12.0%
Elderly Services	15,972,146	16,139,648	12,896,067	3,243,581	20.1%
WorkHawaii	11,535,865	12,943,209	5,744,496	7,198,713	55.6%
Community Assistance	57,996,430	64,334,430	62,608,913	1,725,517	2.7%
Community Based Development	19,707,439	20,680,609	11,549,549	9,131,060	44.2%
Other Grants*	-	52,882	-	52,882	100.0%
<b>Department of Parks and Recreation</b>					
Administration	2,386,428	2,640,012	2,460,207	179,805	6.8%
Urban Forestry	10,939,659	11,468,293	10,914,717	553,576	4.8%
Maintenance Support Services	8,295,022	8,961,215	8,423,996	537,219	6.0%
Recreation Services	23,662,754	24,551,503	23,212,870	1,338,633	5.5%
Grounds Maintenance	35,055,348	36,833,545	35,416,831	1,416,714	3.8%
Other Grants*	-	764,325	109,054	655,271	85.7%
<b>Department of Enterprise Services</b>					
Administration	812,440	927,264	916,849	10,415	1.1%
Auditoriums	6,190,554	6,326,452	6,035,170	291,282	4.6%
Honolulu Zoo	7,398,147	7,398,147	7,137,696	260,451	3.5%
Golf Courses	10,553,380	10,717,251	10,224,762	492,489	4.6%
Other Grants*	-	113,585	12,712	100,873	88.8%
<b>Department of Transportation Services</b>					
Administration	812,572	830,334	715,795	114,539	13.8%
Transportation Planning	1,801,571	1,965,493	1,749,586	215,907	11.0%
Traffic Engineering	3,909,280	4,056,699	3,198,142	858,557	21.2%
Traffic Signals and Technology	4,662,637	4,849,248	3,921,432	927,816	19.1%
Public Transit	267,002,371	270,333,663	268,736,981	1,596,682	0.6%
Other Grants*	-	3,365,368	3,349,463	15,905	0.5%
<b>Department of Environmental Services</b>					
Administration	11,392,861	11,392,861	8,139,435	3,253,426	28.6%
Refuse Collection and Disposal	164,908,533	166,754,662	158,246,253	8,508,409	5.1%
Environmental Quality	9,603,085	9,603,085	5,613,937	3,989,148	41.5%
Collection System Maintenance	26,544,832	26,544,832	18,008,536	8,536,296	32.2%
Wastewater Engineering and Collection	2,314,291	2,314,291	1,302,105	1,012,186	43.7%
Treatment and Disposal	75,834,113	75,834,113	66,866,043	8,968,070	11.8%

**Table II-2 (continued)**  
**EXECUTIVE OPERATING BUDGET**  
**Appropriations for FY 2019, All Funds**

Budgeted Activity	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
Debt Service and Miscellaneous					
City and County Bonds	522,295,000	522,295,000	481,805,414	40,489,586	7.8%
County Pension	10,000	10,000	4,271	5,729	57.3%
Retirement System	190,125,000.00	190,125,000	171,465,872	18,659,128	9.8%
FICA	34,624,000	34,624,000	29,636,347	4,987,653	14.4%
Hawaii Employer-Union Health Benefits Trust Fund	66,805,000	66,805,000	51,579,257	15,225,743	22.8%
Workers' Compensation	18,960,000	18,960,000	16,332,087	2,627,913	13.9%
Unemployment Compensation	800,000	800,000	33,653	766,347	95.8%
Provision for Other Post-Employment Benefits	177,331,000	177,331,000	177,331,000	-	0.0%
Salary Adjustments and Accrued Vacation Pay**	9,197,500	9,117,065	-	9,117,065	99.1%
Provision for Vacant Positions**	25,659,921	9,702,613	-	9,702,613	37.8%
Provision for Grants, Partnerships and Security**	1,500,000	424,742	-	424,742	28.3%
Provision for Judgments, Settlements and Losses	14,200,000	14,200,000	6,630,764	7,569,236	53.3%
Risk Management	10,000,000	10,000,000	9,895,531	104,469	1.0%
Provision for Energy Costs**	3,600,000	1,871,000	-	1,871,000	52.0%
<b>TOTAL EXECUTIVE APPROPRIATIONS</b>	<b>2,610,588,265</b>	<b>2,658,087,373</b>	<b>2,420,573,393</b>	<b>237,513,980</b>	<b>8.9%</b>

\* Other Grants reflects single purpose monies received from the state and federal governments. Although they are allocated for specific activities within the department, they are broken out into the Other Grants separate line item if those activities did not have any state or federal grant appropriations in Ordinance 18-23.

\*\* Unlike other activities, for these line items the difference between the original appropriation and the adjusted appropriation is treated as the amount expended because it is the amount transferred to other line items for expenditure. The lapsed amount, then, is the adjusted appropriation. The percent lapsed is computed based on the budgeted appropriation, rather than on the adjusted appropriation.

**Table II-3  
LEGISLATIVE BUDGET  
Appropriations for FY 2019, All Funds**

<b>Budgeted Activity</b>	<b>Appropriation</b>	<b>Adjusted Appropriation</b>	<b>Expended/ Encumbered</b>	<b>Lapsed</b>	<b>Percent Lapsed</b>
<b>City Council</b>					
Administration	6,173,844	6,173,844	5,221,136	952,708	15.4%
Council Allotment	220,000	220,000	199,628	20,372	9.3%
<b>Office of Council Services</b>					
Administration	954,210	941,710	813,591	128,119	13.6%
Legal Assistance	773,038	694,213	529,235	164,978	23.8%
Organized Research and Analysis	640,706	699,531	699,531	-	0.0%
Revisor and References	125,332	157,832	142,323	15,509	9.8%
<b>City Clerk</b>					
Administration	404,622	404,622	374,678	29,944	7.4%
Support Services	343,622	343,622	297,725	45,897	13.4%
Council Assistance	953,732	953,732	734,774	218,958	23.0%
Elections	2,507,018	2,507,018	2,125,360	381,658	15.2%
<b>City Auditor</b>					
Administration	613,955	622,428	537,989	84,439	13.6%
Audit	789,188	789,646	716,053	73,593	9.3%
Financial Audit	430,000	430,000	430,000	-	0.0%
<b>Miscellaneous</b>					
Retirement System	2,200,000	2,200,000	2,177,592	22,408	1.0%
FICA	850,000	850,000	570,009	279,991	32.9%
EUTF	1,970,000	1,970,000	1,970,000	-	0.0%
Accumulated Vacation Leave**	500,000	491,069	-	491,069	98.2%
Unemployment Compensation	100,000	100,000	3,924	96,076	96.1%
<b>TOTAL LEGISLATIVE APPROPRIATIONS</b>	<b>20,549,267</b>	<b>20,549,267</b>	<b>17,543,548</b>	<b>3,005,719</b>	<b>14.6%</b>
<b>TOTAL CITY APPROPRIATIONS</b>	<b>2,631,137,532</b>	<b>2,678,636,640</b>	<b>2,438,116,942</b>	<b>240,519,698</b>	<b>9.0%</b>

\*\* Unlike other activities, for these line items the difference between the original appropriation and the adjusted appropriation is treated as the amount expended because it is the amount transferred to other line items for expenditure. The lapsed amount, then, is the adjusted appropriation. The percent lapsed is computed based on the budgeted appropriation, rather than on the adjusted appropriation.

## MAJOR APPROPRIATION LAPSES BY FUND

Table II-4 displays the amount appropriated, expended/encumbered, and lapsed by fund source. The major fund lapses for FY 2019 that were both at least \$5 million and at least ten percent of adjusted appropriations have been highlighted.<sup>3</sup>

Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
General Fund	1,509,000,960	1,509,000,960	1,369,955,957	139,045,003	9.2%
Highway Fund	133,947,543	133,947,543	122,436,788	11,510,755	8.6%
Sewer Fund	350,631,944	350,631,944	314,901,992	35,729,952	10.2%
Bus Transportation Fund	248,240,774	248,240,774	246,456,772	1,784,002	0.7%
Liquor Commission Fund	6,761,400	6,761,400	5,726,700	1,034,700	15.3%
Bikeway Fund	854,657	854,657	753,107	101,550	11.9%
Highway Beautification and Disposal	7,551,214	7,551,214	6,544,588	1,006,626	13.3%
Special Events Fund	11,653,531	11,653,531	10,396,683	1,256,848	10.8%
Honolulu Zoo Fund	11,186,655	11,186,655	10,248,278	938,377	8.4%
Golf Fund	15,577,430	15,577,430	14,544,948	1,032,482	6.6%
Solid Waste Special Fund	197,984,929	197,984,929	187,686,722	10,298,207	5.2%
Hanauma Bay Nature Preserve Fund	6,777,716	6,777,716	5,498,336	1,279,380	18.9%
Rental Assistance Fund	233,000	233,000	130,547	102,453	44.0%
Housing Development Special Fund	211,000	211,000	57,761	153,239	72.6%
Clean Water and Natural Lands Fund	164,476	164,476	-	164,476	100.0%
Affordable Housing Fund	250,048	250,048	135,424	114,624	45.8%
Patsy T. Mink CORP Fund	209,800	209,800	3,327	206,473	98.4%
Waipio Peninsula Soccer Park Fund	112,100	112,100	20,353	91,747	81.8%
Transit Construction Mitigation Fund	750,000	750,000	-	750,000	100.0%
Grants in Aid Fund	9,003,108	9,003,108	7,908,740	1,094,369	12.2%
Community Development Fund	1,712,050	1,712,050	1,310,567	401,483	23.5%
Housing and Community Development Rehabilitation Loan Fund	2,500,000	2,500,000	1,084,250	1,415,750	56.6%
Section 8 Contract Fund	54,191,623	60,551,623	60,327,549	224,074	0.4%
Federal Grants Fund	48,277,890	69,856,810	44,038,460	25,818,350	37.0%
Special Projects Fund	13,353,684	32,913,872	27,949,092	4,964,780	15.1%
<b>TOTAL</b>	<b>2,631,137,532</b>	<b>2,678,636,640</b>	<b>2,438,116,942</b>	<b>240,519,698</b>	<b>9.0%</b>

<sup>3</sup> Grant and special projects funds are excluded from this analysis.

### III. Capital Budget

This is a comparison of actual versus budgeted revenues and expenditures for the executive capital budget over the 24-month effective appropriation period for the FY 2018 capital budget that ran from July 1, 2017 through June 30, 2019, beyond which date appropriations that were not yet encumbered or expended lapsed pursuant to charter.<sup>4</sup> The review is based on information in the executive capital budget ordinance for the most recent appropriation period (Ordinance 17-33) and an accounting report from the Department of Budget and Fiscal Services for the period ending June 30, 2019.

#### Overview:

- In FY 2018, the largest appropriation lapse was from the Dowsett Highlands Relief Sewer project where over \$32.6 million of the \$85.7 million appropriation lapsed.
- The budget function that experienced the highest rate of appropriation lapses, excluding federal funds, was Utilities or Other Enterprises where 60 percent of City funds lapsed.
- Among significant fund sources, the General Improvement Bond Fund had the largest lapsing rate at 29.9 percent.

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<sup>4</sup> Federal grant funds are excluded from this review of lapses because federal appropriations do not lapse when city funds lapse.

## A. MAJOR PROJECT LAPSES AND DETAILED RESULTS BY FUNCTION

Table III-1 displays all of the projects in the FY 2018 executive capital budget ordinance. Those projects with an asterisk are Council added projects in the FY 2018 executive capital budget ordinance. For each project, the following information is provided regarding its status at the end of the 24-month period:

**"Appropriation"** - Total appropriated amount as shown in the budget ordinance.

**"Adjusted Appropriation"** - The total appropriated amount as it may have been adjusted by any applicable grants, from either State or federal sources, or by transfers to or from other projects. The adjusted amount may be higher than the initial appropriation if a transfer or grant added to the amount appropriated. Alternatively, the adjusted amount may be lower than the initial appropriation if a transfer reduced the amount appropriated to that activity or if a grant to the City was less than budgeted.

**"Expended/Encumbered"** - The amount of the adjusted appropriation that was expended or encumbered during the 24-month period.

**"Lapsed"** - The amount that lapsed at the end of the 24-month period and its percentage of the adjusted appropriation.

- Projects having adjusted appropriations of \$1 million or more from non-State and non-federal funds; and
- Lapses of 60 percent or more of that adjusted appropriation incurred at the end of the 24-month period.

The major project lapses for FY 2018 have been highlighted based on the following:

<b>Table III-1</b> <b>EXECUTIVE CAPITAL BUDGET FOR FY 2018</b> <b>24 Month Period Ending June 30, 2019</b>							
Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
<b><u>GENERAL GOVERNMENT</u></b>							
<b>STAFF AGENCIES</b>							
1998602	Procurement of Major Equipment	GI	4,129,155	4,129,155	3,639,401	489,754	11.9%
1998602	Procurement of Major Equipment	HI	2,251,000	2,251,000	2,053,179	197,821	8.8%
1998602	Procurement of Major Equipment	WB	7,841,228	7,841,228	6,867,411	973,817	12.4%
1979110	Project Adjustments Account	GI	1,000	50,982	-	50,982	100.0%
1979110	Project Adjustments Account	HI	1,000	1,000	-	1,000	100.0%
<b>PUBLIC FACILITIES--ADDITIONS AND IMPROVEMENTS</b>							
2017083	925 Dillingham Boulevard Acquisitions-Kapalama Hale	GI	27,500,000	27,500,000	27,500,000	-	0.0%
1996611	Art In Public Facilities	CP	200,000	200,000	200,000	-	0.0%
1996007	Civic Center Improvements	GI	2,500,000	2,600,000	2,389,339	210,661	8.1%
1998007	Energy Conservation Improvements	GI	500,000	522,300	520,417	1,883	0.4%
	*Hauula Civic Center Improvements	GI	500,000	500,000	-	500,000	100.0%
	*Kahaluu Master Plan	GI	200,000	200,000	-	200,000	100.0%
	*Kahuku Community Center Improvements	GI	300,000	300,000	-	300,000	100.0%
2016086	Kaimuki Municipal Parking Lot Improvements	GI	3,010,000	2,702,280	2,315,500	386,780	14.3%
2015091	Kapalama Canal Catalytic Project	GI	100,000	100,000	100,000	-	0.0%
1995006	Kapolei Consolidated Corporation Yard	GI	3,200,000	3,200,000	509,165	2,690,835	84.1%
	*Koolau Loa Community Center	GI	300,000	300,000	-	300,000	100.0%
2017072	Laie Corporation Yard Improvements	GI	200,000	200,000	200,000	-	0.0%
2004050	NPDES Modification for Corp Yard	HI	250,000	250,000	250,000	-	0.0%
1994015	Pearl City Corporation Yard Renovations	GI	625,000	625,000	625,000	-	0.0%
2015092	Pearlridge Bus Transfer Center and Plaza	GI	1,260,000	1,260,000	700,000	560,000	44.4%
1987042	Public Building Facilities Improvements	GI	3,000,000	3,025,770	2,850,602	175,168	5.8%
	*Renovate Na Pueo Mini Park Former Alzheimer's Facility	GI	775,000	775,000	-	775,000	100.0%
<b>PUBLIC FACILITIES-IMPROVEMENTS--LAND ACQUISITIONS</b>							
1971153	Land Expenses	GI	225,000	225,000	57,259	167,741	74.6%
	General Improvement Bond Fund	GI	48,325,155	48,215,487	17,327,435	6,808,803	14.1%
	Highway Improvement Bond Fund	HI	2,502,000	2,502,000	3,839,401	198,821	7.9%
	Solid Waste Improvement Bond Fund	WB	7,841,228	7,841,228	6,867,411	973,817	12.4%
	Capital Improvement Funds	CP	200,000	200,000	200,000	-	0.0%
<b>TOTAL GENERAL GOVERNMENT</b>			<b>58,868,383</b>	<b>58,758,715</b>	<b>28,234,247</b>	<b>7,981,441</b>	<b>13.6%</b>

Table III-1 (continued)							
EXECUTIVE CAPITAL BUDGET FOR FY 2018							
24 Month Period Ending June 30, 2019							
Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/Encumbered	Lapsed	Percent Lapsed
<b><u>PUBLIC SAFETY</u></b>							
<b>POLICE STATIONS AND BUILDINGS</b>							
2007020	Honolulu Police Department NPDES Small MS4 Permit Program	GI	100,000	100,000	-	100,000	100.0%
2015082	HPD Headquarters Improvements	GI	1,500,000	1,500,000	1,451,020	48,980	3.3%
2009034	Microwave Radio Spur Equipment and Facilities Upgrade	GI	2,250,000	2,250,000	34,304	2,215,696	98.5%
2002025	Police Stations Buildings Improvements	GI	2,500,000	2,594,900	2,129,734	465,166	17.9%
2006034	Upgrade Security Camera Systems at Various Police Facilities	GI	560,000	560,000	440,607	119,393	21.3%
<b>POLICE</b>							
2005028	Honolulu Police Department Equipment Acquisition	GI	4,300,000	4,300,000	4,009,132	290,868	6.8%
<b>FIRE STATIONS AND BUILDINGS</b>							
1998021	Fire Station Buildings Improvements	GI	2,000,000	2,000,000	1,936,204	63,796	3.2%
2007012	Honolulu Fire Department NPDES Small MS4 Permit Program	GI	2,300,000	2,300,000	2,300,000	-	0.0%
2017076	Pearl City Fire Station Relocation	GI	200,000	200,000	200,000	-	0.0%
<b>FIRE</b>							
2005201	Honolulu Fire Department Equipment Acquisition	GI	3,353,990	3,353,990	3,340,716	13,274	0.4%
<b>TRAFFIC IMPROVEMENTS</b>							
1999311	Computerized Traffic Control System	HI	980,000	980,000	6,000	974,000	99.4%
1999311	Computerized Traffic Control System	FG	3,620,000	3,620,000	-	3,620,000	100.0%
2010030	Traffic Engineering Devices at Various Locations	HI	500,000	500,000	400,000	100,000	20.0%
1996306	Traffic Improvements at Various Locations	HI	770,000	770,000	769,998	2	0.0%
1996306	Traffic Improvements at Various Locations	FG	430,000	430,000	-	430,000	100.0%
1999312	Traffic Signals at Various Locations	HI	500,000	500,000	-	500,000	100.0%
1999312	Traffic Signals at Various Locations	FG	3,300,000	3,300,000	80,000	3,220,000	97.6%
	Safe Routes to School	FG	-	82,500	7,500	75,000	90.9%
	Safe Routes to School	CP	-	100,000	95,000	5,000	5.0%
<b>FLOOD CONTROL</b>							
2000101	Flood Control Improvements at Various Locations	GI	2,010,000	2,010,000	2,008,900	1,100	0.1%
<b>OTHER PROTECTION</b>							
2017075	Ocean Safety Lifeguard Towers Replacement	GI	355,000	355,000	353,635	1,365	0.4%
<b>OTHER PROTECTION - MISCELLANEOUS</b>							
2005002	Drainage Outfall Improvements	GI	815,000	815,000	779,103	35,897	4.4%
2001154	Rock Slide Potential Inspections and Mitigative Improvements	HI	1,000,000	1,000,000	989,887	10,113	1.0%
2002080	Telecommunications Facilities Upgrade	GI	4,970,000	4,970,000	4,953,786	16,214	0.3%
	General Improvement Bond Fund	GI	27,213,990	27,308,890	23,937,140	3,371,750	12.3%
	Highway Improvement Bond Fund	HI	3,750,000	3,750,000	2,165,884	1,584,116	42.2%
	Federal Grants Fund	FG	7,350,000	7,432,500	87,500	7,345,000	98.8%
	Capital Projects Fund	CP	-	100,000	95,000	5,000	5.0%
<b>TOTAL PUBLIC SAFETY</b>			<b>38,313,990</b>	<b>38,591,390</b>	<b>26,285,524</b>	<b>12,305,866</b>	<b>31.9%</b>

Table III-1 (continued) EXECUTIVE CAPITAL BUDGET FOR FY 2018 24 Month Period Ending June 30, 2019							
Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
<b>HIGHWAYS AND STREETS</b>							
<b>BIKEWAYS AND BIKEPATHS</b>							
1979063	Bikeway Improvements	FG	1,840,000	1,843,200	3,200	1,840,000	99.8%
1979063	Bikeway Improvements	CP	920,000	920,000	20,800	899,200	97.7%
<b>HIGHWAYS, STREETS AND ROADWAYS</b>							
1988001	Curb Ramps at Various Locations, Oahu	HI	2,275,000	2,275,000	2,250,000	25,000	1.1%
2011089	Farrington Highway Improvements	HI	1,000,000	1,000,000	-	1,000,000	100.0%
1998515	Guardrail Improvements	HI	820,000	820,000	819,999	1	0.0%
	Haleiwa Walkway Improvements	HI	200,000	200,000	-	200,000	100.0%
2004015	Highway Structure Improvements	HI	250,000	250,000	250,000	-	0.0%
	*Papipi Road Complete Streets Improvements	GI	5,300,000	5,300,000	-	5,300,000	100.0%
1997502	Rehabilitation of Streets	HI	30,000,000	30,000,000	29,990,117	9,883	0.0%
1989123	Salt Lake Boulevard Widening	HI	510,000	510,000	300,000	210,000	41.2%
1991064	Utility Share Expenses	CP	100,000	100,000	-	100,000	100.0%
1995515	Waikiki Improvements	GI	4,000,000	4,000,000	435,000	3,565,000	89.1%
<b>FACILITIES MAINTENANCE</b>							
2018087	Reconstruction of Sidewalks	HI	3,650,000	3,650,000	3,650,000	-	0.0%
2018087	Reconstruction of Sidewalks	GI	1,500,000	1,500,000	1,500,000	-	0.0%
<b>TRANSPORTATION SERVICES</b>							
2015040	Kuakini Street Extension	HI	100,000	100,000	-	100,000	100.0%
2005025	Makakilo Drive Extension	HI	1,500,000	1,500,000	-	1,500,000	100.0%
2018026	Rail Station Connectivity - Transit Oriented Development	GI	4,000,000	4,000,000	3,052,753	947,247	23.7%
<b>BRIDGES, VIADUCTS, AND GRADE SEPARATION</b>							
1998520	Bridge Rehabilitation at Various Locations	HI	2,500,000	2,500,000	2,492,437	7,563	0.3%
<b>STORM DRAINAGE</b>							
2000052	Drainage Improvements at Various Locations	HI	2,500,000	2,500,000	2,500,000	-	0.0%
2017074	Erosion Control Improvements	HI	800,000	800,000	800,000	-	0.0%
<b>FACILITIES MAINTENANCE</b>							
2010051	NPDES MS4 Erosion Prone Area Improvements	HI	1,650,000	1,650,000	1,263,594	386,406	23.4%
2018072	NPDES MS4 Retrofit Structural BMP Improvements Program	HI	950,000	950,000	950,000	-	0.0%
2018073	NPDES MS4 Structural BMPs for City Industrial Facilities	HI	1,350,000	1,350,000	787,696	562,304	41.6%
2018074	NPDES MS4 Structural BMPs for Trash Reduction	HI	950,000	950,000	950,000	-	0.0%
2018075	NPDES MS4 TMDL Structural BMP Improvements Program	HI	900,000	900,000	900,000	-	0.0%
2018076	Storm Drainage Best Management Practices, Downtown-Chinatown	HI	1,210,000	1,210,000	-	1,210,000	100.0%
2018077	Storm Drainage Best Management Practices in the Salt Lake Drainage System	HI	1,670,000	1,670,000	1,550,000	120,000	7.2%
2018081	Storm Drain Outlets in Waikiki Beach	HI	1,270,000	1,270,000	1,270,000	-	0.0%
<b>STREET LIGHTING</b>							
2007043	Street Light Meter Cabinets, Transformers and Street Lighting Improvements	HI	500,000	500,000	500,000	-	0.0%
	General Improvement Bond Fund	GI	14,800,000	14,800,000	4,987,753	9,812,247	66.3%
	Highway Improvement Bond Fund	HI	56,555,000	56,555,000	51,223,843	5,331,157	9.4%
	Capital Project Funds	CP	1,020,000	1,020,000	20,800	999,200	98.0%
	Federal Grant	FG	1,840,000	1,843,200	3,200	1,840,000	99.8%
<b>TOTAL HIGHWAYS AND STREETS</b>			<b>74,215,000</b>	<b>74,218,200</b>	<b>56,235,596</b>	<b>17,982,604</b>	<b>24.2%</b>

**Table III-1 (continued)**  
**EXECUTIVE CAPITAL BUDGET FOR FY 2018**  
**24 Month Period Ending June 30, 2019**

Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
<b>SANITATION</b>							
<b>WASTE COLLECTION AND DISPOSAL</b>							
2018046	Enhanced Materials Recovery Facility	WB	3,804,000	3,804,000	131,053	3,672,947	96.6%
2017050	Kapaa Transfer Station Renovation	WB	350,000	350,000	346,564	3,436	1.0%
2015046	Keehi Transfer Station - Loadout Modifications	WB	3,250,000	3,250,000	2,929,249	320,751	9.9%
2014055	Refuse Facilities Energy Savings Project	WB	2,301,000	2,301,000	2,294,516	6,484	0.3%
2010054	Refuse Facilities Improvements at Various Locations	WB	1,350,000	1,350,000	620,061	729,939	54.1%
2014063	Solid Waste to Energy Facility (H-Power) - Access Improvements	WB	551,000	551,000	551,000	-	0.0%
2018050	Solid Waste to Energy Facility (H-Power) Conversion Technologies	WB	121,000	121,000	-	121,000	100.0%
2014065	Solid Waste to Energy Facility (H-Power) Improvements	WB	2,142,000	2,142,000	2,142,000	-	0.0%
2018049	Solid Waste to Energy Facility (H-Power) Reconstruction of Ash Building	WB	6,121,000	6,121,000	6,121,000	-	0.0%
2002008	Waipahu Incinerator Site Closure - Area Cleanup and Improvements	WB	2,751,000	2,751,000	2,444,633	306,368	11.1%

Table III-1 (continued) EXECUTIVE CAPITAL BUDGET FOR FY 2018 24 Month Period Ending June 30, 2019							
Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
<b>SANITATION</b>							
<b>SEWAGE COLLECTION AND DISPOSAL</b>							
2015049	Ala Moana-Kakaako Trunk Sewer	CP	1,001,000	1,001,000	-	1,001,000	100.0%
2015050	Ala Moana Wastewater Pump Station No. 3	SR	1,002,000	1,002,000	-	1,002,000	100.0%
2013048	Ala Moana Wastewater Pump Station Upgrade	CP	22,002,000	22,002,000	17,150,082	4,851,918	22.1%
2011046	Awa Street Wastewater Pump Station, Force Main and Sewer System Improvements	SR	42,001,000	42,001,000	15,927,358	26,073,642	62.1%
2016046	Beachwalk Wastewater Pump Station Force Main System	CP	800,000	800,000	800,000	-	0.0%
2017059	Beretania Street Sewer Improvements	CP	251,000	251,000	251,000	-	0.0%
2009099	Dowsett Highlands Relief Sewer	SR	85,701,000	85,701,000	53,124,862	32,576,138	38.0%
2017053	ENV Support Facilities at Honouliuli WWTP	CP	601,000	601,000	601,000	-	0.0%
2012056	Ewa Beach Wastewater Pump Station Force Main System Improvements	CP	402,000	402,000	-	402,000	100.0%
2017054	Hart Street WWPS Force Main Improvements - Phase 3	CP	401,000	401,000	401,000	-	0.0%
2012058	Honouliuli Wastewater Treatment Plant Secondary Treatment	SR	277,001,000	277,001,000	277,001,000	-	0.0%
2010053	Honouliuli Wastewater Treatment Plant Upgrade - Phase 2	CP	1,001,000	1,001,000	1,001,000	-	0.0%
2013054	Kahala Wastewater Pump Station and Force Main System Improvements	CP	600,000	600,000	600,000	-	0.0%
2017055	Kailua Wastewater Treatment Plant - Solids Handling System Improvements	CP	351,000	351,000	-	351,000	100.0%
2013056	Kailua Wastewater Treatment Plant - Tunnel Influent Pump Station and Headworks Facility	SR	102,000	102,000	-	102,000	100.0%
2016050	Kailua Wastewater Treatment Plant Upgrade - Phase 2	SR	301,000	301,000	301,000	-	0.0%
2012049	Kamehameha Highway Wastewater Pump Station Force Main System Improvements	SR	10,500,000	10,500,000	10,419,500	80,500	0.8%
2010060	Kamehameha Highway Wastewater Pump Station Upgrade Mapunapuna	SR	252,000	252,000	250,000	2,000	0.8%
2012055	Kaneohe/Kailua Sewer Tunnel	SR	6,001,000	7,001,000	6,466,207	534,793	7.6%
2012051	Kunia Wastewater Pump Station Force Main System Improvements	SR	2,201,000	2,201,000	1,770,429	430,571	19.6%
2013059	Maunawili Wastewater Pump Stations, Force Mains and Sewer Improvements - Kailua	CP	900,000	900,000	900,000	-	0.0%
1997812	Pacific Palisades WWPS, Force Main and Alternative Diversion Sewer Line	CP	402,000	402,000	401,000	1,000	0.2%
2008078	Palolo Valley Sewer Rehabilitation	SR	1,401,000	1,401,000	1,401,000	-	0.0%
2013060	Pearl City Wastewater Pump Station, Force Main, and Sewer System Alternatives	SR	16,102,000	16,102,000	16,101,000	1,000	0.0%
2001124	Project Management for Wastewater Projects	CP	8,863,000	8,863,000	6,511,690	2,351,310	26.5%
2013061	Punawai Wastewater Pump Station and Force Main System Improvements - Kaneohe	CP	500,000	500,000	500,000	-	0.0%
2015055	Sand Island Wastewater Treatment Plant Return Flow Treatment	CP	8,801,000	8,801,000	1,285,000	7,516,000	85.4%
2012059	Sand Island Wastewater Treatment Plant Secondary Treatment	CP	14,500,000	14,500,000	14,500,000	-	0.0%
2018055	Sand Island WWTP - Relocate Rehabilitation Center	CP	8,000,000	8,000,000	-	8,000,000	100.0%
2007068	Sewer Condition Assessment Program	CP	2,500,000	2,500,000	1,700,000	800,000	32.0%
2013063	Sewer I/I Relief and Rehabilitation Projects - Ala Moana Tributary Basin	SR	17,002,000	17,002,000	13,033,198	3,968,802	23.3%
2013064	Sewer I/I Relief and Rehabilitation Projects - Hart St. Tributary Basin	SR	1,204,000	1,204,000	-	1,204,000	100.0%
2013066	Sewer I/I Relief and Rehabilitation Projects - Windward Area	CP	102,000	102,000	101,000	1,000	1.0%
2000071	Sewer Mainline and Lateral Projects	CP	19,500,000	19,500,000	18,361,043	1,138,957	5.8%
2008079	*Uwahu Wastewater Pump Station Upgrade	CP	4,400,000	4,400,000	300,000	4,100,000	93.2%
2010047	Waianae Wastewater Facilities Plan	CP	300,000	300,000	300,000	-	0.0%
2013067	Waianae Wastewater Treatment Plant Improvements/Upgrade	CP	15,701,000	15,701,000	15,677,168	23,832	0.2%
2000038	Wastewater Equipment	CP	9,600,940	9,600,940	5,616,318	3,984,622	41.5%
1998806	Wastewater Facilities Replacement Reserve	CP	5,000,000	5,000,000	-	5,000,000	100.0%
2003151	Wastewater Planning and Programming	CP	316,000	316,000	166,917	149,084	47.2%
2007073	Wastewater Program Management	CP	2,502,000	2,502,000	-	2,502,000	100.0%
2018052	Wastewater Pump Stations - Electrical and Scada Improvements	CP	20,002,000	20,002,000	18,870,707	1,131,293	5.7%
2001062	Wastewater Treatment Plant, Pump Station, and Force Main Projects	CP	16,600,000	16,600,000	14,737,234	1,862,766	11.2%
2018051	West Beach No.1 & No.2 WWPS and Force Main Systems Improvements	SR	33,601,000	32,601,000	16,068,500	16,532,500	50.7%
	Sewer Revenue Improvement Bond Fund	SR	494,372,000	494,372,000	411,864,054	82,507,946	16.7%
	Solid Waste Improvement Bond Fund	WB	22,741,000	22,741,000	17,580,076	5,160,924	22.7%
	Capital Project Funds	CP	165,899,940	165,899,940	120,732,157	45,167,783	27.2%
<b>TOTAL SANITATION</b>			<b>683,012,940</b>	<b>683,012,940</b>	<b>550,176,288</b>	<b>132,836,652</b>	<b>19.4%</b>

Table III-1 (continued)							
EXECUTIVE CAPITAL BUDGET FOR FY 2018							
24 Month Period Ending June 30, 2019							
Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/Encumbered	Lapsed	Percent Lapsed
<b><u>HUMAN SERVICES</u></b>							
<b>HUMAN SERVICES</b>							
2016001	Affordable Housing Strategic Development Program	CP	5,000,000	5,000,000	4,150,000	850,000	17.0%
2007076	Community Development Block Grant (CDBG) Program	CD	6,248,670	13,546,722	12,254,433	1,292,289	9.5%
2017002	*Community Revitalization Initiative	GI	5,000,000	5,000,000	5,000,000	-	0.0%
1995207	Emergency Solutions Grants (ESG) Program	FG	609,980	2,473,611	1,863,631	609,980	24.7%
2007077	Home Investment Partnerships (HOME) Program	FG	3,617,141	3,617,141	2,761,903	855,238	23.6%
2000119	Housing Opportunities for Persons with AIDs (HOPWA) Program	FG	426,568	912,629	492,634	419,995	46.0%
20188001	Housing Trust Fund (HTF) Program	FG	1,350,000	1,350,000	-	1,350,000	100.0%
	*Affordable Housing With Preference in Transit Oriented Zones Program	GI	50,000,000	50,000,000	28,847,271	21,152,729	42.3%
	General Improvement Bond Fund	GI	55,000,000	55,000,000	33,847,271	21,152,729	38.5%
	Capital Improvement Funds	CP	5,000,000	5,000,000	4,150,000	850,000	17.0%
	Community Development Fund	CD	6,248,670	13,546,722	12,254,433	1,292,289	9.5%
	Federal Grants Fund	FG	6,003,689	8,353,381	5,118,168	3,235,213	38.7%
	<b>TOTAL HUMAN SERVICES</b>		<b>72,252,359</b>	<b>81,900,103</b>	<b>55,369,872</b>	<b>26,530,231</b>	<b>32.4%</b>

Table III-1 (continued)							
EXECUTIVE CAPITAL BUDGET FOR FY 2018							
24 Month Period Ending June 30, 2019							
Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
<b>CULTURE - RECREATION</b>							
<b>PARTICIPANT, SPECTATOR AND OTHER RECREATION</b>							
1998134	Aiea District Park	GI	1,125,000	1,125,000	641,470	483,530	43.0%
2017098	*Aiea Koa Neighborhood Park	GI	220,000	220,000	-	220,000	100.0%
1998107	Ala Moana Regional Park	GI	20,000,000	20,000,000	19,847,479	152,821	0.8%
2013088	*Canoe Halau at Various Parks	GI	1,200,000	1,200,000	-	1,200,000	100.0%
2007054	Department of Parks and Recreation NPDES Small MS4 Permit Program	GI	500,000	500,000	500,000	-	0.0%
2014073	Division of Urban Forestry (DUF) Administration and Arboriculture Relocation	GI	800,000	800,000	-	800,000	100.0%
2010089	Division of Urban Forestry/Horticulture Services Office Replacement	GI	100,000	100,000	-	100,000	100.0%
2014105	Division of Urban Forestry Tree Farm - Patsy T. Mink Central Oahu Regional Park	GI	200,000	200,000	-	200,000	100.0%
	*Dole Community Park Improvements	GI	500,000	500,000	-	500,000	100.0%
	*Downtown Honolulu - Kakaako Fenced Dog Park	GI	150,000	150,000	-	150,000	100.0%
2016074	*Dr. Sun Yat-Sen Memorial Park Improvements	GI	561,000	561,000	513,418	47,582	8.5%
	*Energy Conservation Improvements at Various Parks	GI	500,000	500,000	-	500,000	100.0%
2016101	*Ewa Community Pool	GI	300,000	300,000	-	300,000	100.0%
	*Hahaione Neighborhood Park	GI	250,000	250,000	-	250,000	100.0%
2016094	*Haleiwa Beach Park Refurbishment	GI	250,000	250,000	-	250,000	100.0%
	*Hoa Aloha Neighborhood Park Improvements	GI	390,000	390,000	-	390,000	100.0%
2016096	*John K. Kalili Surf Center Improvements at Haleiwa Beach Park	GI	1,000,000	1,000,000	-	1,000,000	100.0%
	*Kaimuki Community Park	GI	250,000	250,000	-	250,000	100.0%
	*Kalaniana'ole Beach Park	GI	715,000	715,000	-	715,000	100.0%
2014094	*Kalihi Waena Neighborhood Park Improvements	GI	390,000	390,000	-	390,000	100.0%
1973116	Kapolei Regional Park	GI	1,000,000	1,000,000	-	1,000,000	100.0%
1973116	Kapolei Regional Park	CP	150,000	150,000	37,789	112,211	74.8%
	*Koko Head Park Gymnasium Floor Improvements	GI	550,000	550,000	-	550,000	100.0%
2014093	Kualoa Regional Park	GI	50,000	50,000	21,811	28,189	56.4%
2014093	Kualoa Regional Park	CP	549,860	549,860	-	549,860	100.0%
2016072	*Maui Beach Park	GI	40,000	40,000	-	40,000	100.0%
	*Makalapa Neighborhood Park Improvements	GI	390,000	390,000	-	390,000	100.0%
	*Mililani District Park	GI	1,500,000	1,500,000	-	1,500,000	100.0%
	*Mililani Mauka District Park Improvements	GI	250,000	250,000	-	250,000	100.0%
	*Mokauea Street Mini Park Improvements	GI	390,000	390,000	-	390,000	100.0%
1995127	*Oneula Beach Park, Ewa Beach	GI	1,000,000	1,000,000	890,192	109,808	11.0%
2014112	*Patsy T. Mink Central Oahu Regional Park - Dog Park	GI	675,000	739,750	738,554	1,196	0.2%
2014113	*Patsy T. Mink Central Oahu Regional Park - Parking Expansion	GI	2,935,000	2,935,000	2,935,000	-	0.0%
	*Patsy T. Mink Central Oahu Regional Park - Pickleball Courts	GI	100,000	100,000	-	100,000	100.0%
	*Patsy T. Mink Central Oahu Regional Park - Tennis Court Lighting	GI	1,780,000	1,780,000	-	1,780,000	100.0%
2015104	Pearl Harbor Historic Trail	GI	1,100,000	1,100,000	-	1,100,000	100.0%
	*Pokai Bay Beach Park	GI	245,000	245,000	-	245,000	100.0%
2009041	*Preservation and Conservation Lands	CP	10,533,000	10,533,000	-	10,533,000	100.0%
1998105	Reconstruct Wastewater Systems for Parks	GI	3,000,000	3,000,000	2,610,272	389,728	13.0%
1998128	Recreation District No. 1 Improvements	GI	2,000,000	2,000,000	1,997,739	2,261	0.1%
1998129	Recreation District No. 2 Improvements	GI	2,000,000	2,000,000	1,474,898	525,102	26.3%
1998130	Recreation District No. 3 Improvements	GI	2,000,000	1,950,018	1,606,962	343,056	17.6%
1998131	Recreation District No. 4 Improvements	GI	2,100,000	2,100,000	1,675,201	424,799	20.2%
2005117	Recreation District No. 5 Improvements	GI	500,000	500,000	310,000	190,000	38.0%
2002072	Renovate Recreational Facilities	GI	6,000,000	6,000,000	4,745,845	1,254,155	20.9%
2002072	Renovate Recreational Facilities	CP	103,052	103,052	-	103,052	100.0%
2016073	*Salt Lake District Park Swimming Pool Improvements	GI	550,000	550,000	309,650	240,350	43.7%
2014096	Thomas Square	GI	4,700,000	4,700,000	4,699,344	656	0.0%
2017110	*Waialua District Park Lighting Improvements	GI	4,880,000	4,880,000	3,528,470	1,351,530	27.7%
	*Waiau District Park	GI	200,000	200,000	186,552	13,448	6.7%
	*Waiau Neighborhood Park	GI	300,000	300,000	-	300,000	100.0%
1998117	Waikiki War Memorial Complex/Waikiki Beach	GI	500,000	500,000	500,000	-	0.0%
2018085	Waipahu District Park	CP	88,200	88,200	88,200	-	0.0%
<b>SPECIAL RECREATION FACILITIES</b>							
2014076	Blaisdell Center Redevelopment	GI	12,000,000	12,000,000	11,463,665	536,336	4.5%
2007019	Department of Enterprise Services Golf Course National Pollutant Discharge Elimination System Small Permit Prog.	GI	500,000	500,000	-	500,000	100.0%
1999012	Enterprise Services Facilities Improvements	GI	75,000	75,000	60,149	14,851	19.8%
2001053	Golf Course Improvements	GI	300,000	300,000	300,000	-	0.0%
2017079	Honolulu Zoo - Bird Facility Improvements	GI	200,000	200,000	198,397	1,603	0.8%
2017078	Honolulu Zoo - Hawaii Island Exhibit	GI	100,000	100,000	99,635	365	0.4%
2001097	Honolulu Zoo Improvements	GI	4,000,000	4,000,000	3,987,168	12,832	0.3%
2015084	Honolulu Zoo Water System Upgrade	GI	200,000	200,000	200,000	-	0.0%
2007025	Ted Makalena Golf Course - New Cart Paths	GI	1,200,000	1,200,000	822,214	377,786	31.5%
2013081	Waikiki Shell	GI	1,000,000	1,000,000	988,589	11,411	1.1%
	General Improvement Bond Fund	GI	89,711,000	89,725,768	67,852,675	21,873,393	24.4%
	Capital Improvement Funds	CP	11,424,112	11,424,112	125,989	11,298,123	98.9%
<b>TOTAL CULTURE - RECREATION</b>			<b>101,135,112</b>	<b>101,149,880</b>	<b>67,978,664</b>	<b>33,171,516</b>	<b>32.8%</b>

Table III-1 (continued)  
**EXECUTIVE CAPITAL BUDGET FOR FY 2018**  
 24 Month Period Ending June 30, 2019

Project Number	Project Title	Fund	Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
<b><u>UTILITIES OR OTHER ENTERPRISES</u></b>							
<b>MASS TRANSIT</b>							
2006018	Alapai Transportation Management Center	HI	3,000,000	3,000,000	2,916,383	83,617	2.8%
2006018	Alapai Transportation Management Center	FG	0	14,827,822	10,195,000	4,632,822	2.8%
1978005	Bus and Handi-Van Acquisition Program	HI	4,849,000	4,849,000	4,617,147	231,853	4.8%
1978005	Bus and Handi-Van Acquisition Program	FG	16,394,000	16,394,000	15,764,944	629,056	3.8%
2001116	Bus Stop ADA Access and Site Improvements	HI	750,000	750,000	750,000	-	0.0%
2001116	Bus Stop ADA Access and Site Improvements	FG	145,000	145,000	-	145,000	100.0%
	*Electrification of Transportation Program	GI	10,000,000	10,000,000	575,216	9,424,784	94.2%
2016035	Multimodal Transit Fare Collection System	HI	3,465,000	3,465,000	-	3,465,000	100.0%
2008036	Transit Safety and Security Projects	HI	74,000	74,000	-	74,000	100.0%
2008037	Transit Safety and Security Projects	FG	297,000	297,000	-	297,000	100.0%
	General Improvement Bond Fund	GI	10,000,000	10,000,000	575,216	9,424,784	94.2%
	Highway Improvement Bond Fund	HI	12,138,000	12,138,000	8,283,530	3,854,470	31.8%
	Federal Grants Fund	FG	16,836,000	31,663,822	25,959,944	5,703,878	18.0%
<b>TOTAL UTILITIES OR OTHER ENTERPRISES</b>			<b>38,974,000</b>	<b>53,801,822</b>	<b>34,818,690</b>	<b>18,983,132</b>	<b>35.3%</b>

## B. MAJOR PROJECT ADJUSTMENTS

In Table III-2 below, major project adjustments for FY 2018 have been highlighted, based on the detailed information in Table III-1. Adjustments either increase or decrease the authorized appropriation for a project. Authority in the budget ordinance for such adjustments include the Project Adjustments Account (a project contained in the General Government function, Staff Agencies program) and a related proviso which allows the unused portion of a project appropriation to be transferred to another project for which appropriations are less than needed, a proviso relating to limited purpose monies, and a proviso allowing sewer project transfers to protect public health and safety or to meet federal or State requirements.

Projects having an adjustment of \$1,000,000 or more, up or down, to the initial appropriation established by ordinance are included in the following table, comparing the original appropriation to the amount of the adjustment.

**Table III-2. Major Project Adjustments**

Function	Project	Ord 17-33 Appropriation	Adjusted Appropriation
<b>Human Services</b>	Community Development Block Grant (CDBG) program	\$6,248,670	\$13,546,722
<b>Human Services</b>	Emergency Solutions Grants (ESG) program	\$609,980	\$2,473,611
<b>Sanitation</b>	Kaneohe/Kailua Sewer Tunnel	\$6,001,000	\$7,001,000
<b>Utilities or Other Enterprises</b>	Alapai Transportation Management Center	\$0	\$14,827,822

## C. SUMMARY OF RESULTS

In Table III-3 below, the results discussed in the preceding chapters are summarized by budget function (e.g. General Government, Public Safety, etc.) and by fund source. Among other things, this summary reveals which budget functions and fund sources have been subject to large lapses in appropriations.

As shown in the table, among budget function areas, the Utilities or Other Enterprises function had the largest relative lapses at 35.3 percent of adjusted appropriations. That distinction holds (60 percent) with Federal Grants being excluded in the calculation. This is primarily due to the amount of funding that lapsed from the Electrification of Transportation Program, Multimodal Transit Fare Collection System, and the Transit Safety and Security Projects funded from the general improvement bond fund and the highway improvement bond fund, which together lapsed funding totaling \$13 million in appropriations. Among fund sources, the sewer revenue improvement bond fund had the largest total lapse of all the fund sources at \$82 million.

		Appropriation	Adjusted Appropriation	Expended/ Encumbered	Lapsed	Percent Lapsed
<b>General Government</b>						
General Improvement Bond Fund	GI	48,325,155	48,215,487	17,327,435	6,808,803	14.1%
Highway Improvement Bond Fund	HI	2,502,000	2,502,000	3,839,401	198,821	7.9%
Solid Waste Improvement Bond Fund	WB	7,841,228	7,841,228	6,867,411	973,817	12.4%
Capital Improvement Funds	CP	200,000	200,000	200,000	-	0.0%
<b>Total</b>		<b>58,868,383</b>	<b>58,758,715</b>	<b>28,234,247</b>	<b>7,981,441</b>	<b>13.6%</b>
<b>Public Safety</b>						
General Improvement Bond Fund	GI	27,213,990	24,596,650	24,036,929	3,371,750	13.7%
Highway Improvement Bond Fund	HI	3,750,000	3,750,000	2,165,884	1,584,116	42.2%
Federal Grants Fund	FG	7,350,000	7,432,500	87,500	7,345,000	98.8%
Capital Projects Fund	CP	-	100,000	95,000	5,000	5.0%
<b>Total</b>		<b>38,313,990</b>	<b>35,879,150</b>	<b>26,385,313</b>	<b>12,305,866</b>	<b>34.3%</b>
<b>Highways and Streets</b>						
General Improvement Bond Fund	GI	14,800,000	14,800,000	4,987,753	9,812,247	66.3%
Highway Improvement Bond Fund	HI	56,555,000	56,555,000	51,223,943	5,331,157	9.4%
Capital Project Funds	CP	1,020,000	1,020,000	20,800	999,200	98.0%
Federal Grant	FG	1,840,000	1,843,200	3,200	1,840,000	99.8%
<b>Total</b>		<b>74,215,000</b>	<b>74,218,200</b>	<b>56,235,696</b>	<b>17,982,604</b>	<b>24.2%</b>
<b>Sanitation</b>						
Sewer Revenue Improvement Bond Fund	SR	494,372,000	494,372,000	411,864,054	82,507,946	16.7%
Solid Waste Improvement Bond Fund	WB	22,741,000	22,741,000	17,580,076	5,160,924	22.7%
Capital Projects Funds	CP	165,899,940	165,899,940	132,365,157	33,534,783	20.2%
<b>Total</b>		<b>683,012,940</b>	<b>683,012,940</b>	<b>561,809,288</b>	<b>121,203,652</b>	<b>17.7%</b>

**Table III-3 (continued)**  
**Executive Capital Budget FY 2018**  
**Summary of Results by Function and Fund**

		<b>Appropriation</b>	<b>Adjusted Appropriation</b>	<b>Expended/ Encumbered</b>	<b>Lapsed</b>	<b>Percent Lapsed</b>
<b>Human Services</b>						
General Improvement Bond Fund	GI	55,000,000	55,000,000	33,847,271	21,152,729	38.5%
Capital Projects Funds	CP	5,000,000	5,000,000	4,000,800	999,200	38.5%
Community Development Fund	CD	6,248,670	13,546,722	12,254,433	1,292,289	9.5%
Federal Grants Fund	FG	6,003,689	8,353,381	5,118,168	3,235,213	38.7%
<b>Total</b>		<b>72,252,359</b>	<b>81,900,103</b>	<b>55,220,672</b>	<b>26,679,431</b>	<b>32.6%</b>
<b>Culture-Recreation</b>						
General Improvement Bond Fund	GI	89,711,000	89,725,768	67,852,675	21,873,393	24.4%
Capital Projects Funds	CP	11,424,112	11,424,112	125,989	11,298,123	98.9%
<b>Total</b>		<b>101,135,112</b>	<b>101,149,880</b>	<b>67,978,664</b>	<b>33,171,516</b>	<b>32.8%</b>
<b>Utilities or Other Enterprises</b>						
General Improvement Bond Fund	GI	10,000,000	10,000,000	297,900	9,424,784	94.2%
Highway Improvement Bond Fund	HI	12,138,000	12,138,000	13,336,048	3,854,470	31.8%
Federal Grants Fund	FG	16,836,000	31,663,822	26,137,592	5,703,878	18.0%
<b>Total</b>		<b>38,974,000</b>	<b>53,801,822</b>	<b>39,771,540</b>	<b>18,983,132</b>	<b>35.3%</b>
<b>All Functions</b>						
Capital Projects Fund	CP	183,544,052	183,644,052	136,807,747	46,836,305	25.5%
Community Development Fund	CD	6,248,670	13,546,722	12,254,433	1,292,289	9.5%
Federal Grants Fund	FG	32,029,689	49,292,903	31,346,460	18,124,091	36.8%
General Improvement Bond Fund	GI	245,050,145	242,337,905	148,349,963	72,443,706	29.9%
Highway Improvement Bond Fund	HI	74,945,000	74,945,000	70,565,276	10,968,564	14.6%
Sewer Revenue Improvement Bond Fund	SR	494,372,000	494,372,000	411,864,054	82,507,946	16.7%
Solid Waste Improvement Bond Fund	WB	30,582,228	30,582,228	24,447,487	6,134,741	20.1%
<b>Grand Total</b>		<b>1,066,771,784</b>	<b>1,088,720,810</b>	<b>835,635,420</b>	<b>238,307,642</b>	<b>21.9%</b>

## IV. APPENDIX: DATA SOURCES

### Chapter II

General Fund Overview.	General Fund, Schedule of Revenues, Expenditures, and Changes in Fund Balance; General Fund, Schedule of Revenues, Budget and Actual; and General Fund, Budgetary Comparison Schedule; both from Comprehensive Annual Financial Report, City and County of Honolulu. Detailed Statement of Revenues, Executive Program and Budget. Amendment to General Fund Detailed Statement of Revenues, executive operating budget ordinance. GL5, City and County of Honolulu Enterprise Resource Planning System.
Table II-1.	Major General Fund Revenue Variances. GL5, City and County of Honolulu Enterprise Resource Planning System; CAFR General Fund, Schedule of Revenues, Budget and Actual.
Table II-2.	Major Appropriation Lapses by Activity. Executive operating budget ordinance, 99-PA, City and County of Honolulu Enterprise Resource Planning System.
Table II-3.	Legislative Budget. Legislative budget ordinance, 99-PA, 99-PQ, City and County of Honolulu Enterprise Resource Planning System.
Table II-4.	Executive and Legislative Budget Summary of Results by Fund. Executive operating and legislative budget ordinances, 99-PA, City and County of Honolulu Enterprise Resource Planning System.
Table II-5.	Major General Fund Revenue Assumptions. GL5, City and County of Honolulu Enterprise Resource Planning System.

### Chapter III

Table III-1.	Executive Capital Budget. 99-PA, CIP Prior, City and County of Honolulu Enterprise Resource Planning System; Executive Capital Budget Ordinance.
Table III-2.	Major Project Adjustments. Excerpts from Table IV-3; Executive Capital Budget Ordinance.
Table III-3.	Executive Capital Budget. Summary of Results by Function and Fund. 99-PA, 99-PQ, CIP Prior, City and County of Honolulu Enterprise Resource Planning System.