

CITY AND COUNTY OF HONOLULU ADMINISTRATIVE RULES

TITLE 23

DEPARTMENT OF TRANSPORTATION SERVICES

CHAPTER 1

RULES AND REGULATIONS RELATING TO PARADES AND ACTIVITIES FOR THE
DEPARTMENT OF TRANSPORTATION SERVICES CITY AND COUNTY OF
HONOLULU

§23-1-1 Authority. Pursuant to and by virtue of the authority set forth in Section 6-1703(d) of the Revised Charter of the City and County of Honolulu 1973 (2000 Ed.), Sections 1-9.1 and 15-24.20(g) of the Revised Ordinances of Honolulu 1990, as amended, and Chapter 91 of the Hawaii Revised Statutes, these Rules and Regulations relating to parades and activities for the Department of Transportation Services, City and County of Honolulu, are hereby established. [Eff:] (Auth: RCH § 6-1703(d), ROH §§ 1-9.1, 15-24.20(g), HRS § 91-2) (Imp: RCH § 6-1703(d), ROH §§ 1-9.1, 15-24.20(g), HRS § 91-2)

§23-1-2 Purpose and objective. These Rules and Regulations establish procedures to be followed by the Department in preparing and processing applications for parade and activity permits and to effectively control the use of streets within the City and County of Honolulu. [Eff:] (Auth: RCH § 6-1703(d), ROH § 15-24.20(g), HRS § 91-2) (Imp: RCH § 6-1703(d), ROH §§ 1-9.1, 15-24.20(g), HRS § 91-2)

§23-1-3 Definitions. For the purpose of these Rules and Regulations, unless it is plainly evident from the context that a different meaning is intended, words and phrases used herein are defined as follows:

"Activity" means the occupation, use or participation in any endeavor other than a parade that requires the exclusive use of streets as defined in ROH Section 15-2.23.

"Agency" means any federal, state or city agency whose review of a permit application the Director determines or finds to be necessary for the Director to issue such permit.

"Central Business District" means the area bounded by Vineyard Boulevard, Nuuanu Stream, Nimitz Highway/Ala Moana

Boulevard, Richards Street, Beretania Street, and Queen Emma Street.

"Chief of police" means the chief of police of the city, or the chief's authorized subordinate.

"Department" means the Department of Transportation Services, City and County of Honolulu.

"Director" means the Director of the Department of Transportation Services, City and County of Honolulu, or the Director's authorized subordinate.

"Expressive activity" means speech or conduct, the principal object of which is the expression, dissemination, or communication by verbal, visual, literary, or auditory means of political, religious, philosophical, or ideological opinions, views, or ideas and for which no fee is charged or required as a condition of participation in or attendance at such activity. Expressive activity generally would not include sports events such as marathons, fundraising events, beauty contests, commercial events, cultural celebrations or other events the principal purpose of which are entertainment.

"First Amendment parade or activity" means a parade or activity that constitutes an expressive activity in which individuals may participate without charge.

"Legacy parades and activities" means any parade or activity that has been held in the Waikiki special district annually for 15 consecutive years from July 1, 1991 through July 1, 2006, and held every year thereafter.

"Parade" means as defined in ROH Section 15-2.15.

"Public safety" means the safety or protection of any motorists, pedestrians, occupants of vehicles, participants, spectators and police officers assigned to a parade or activity, or the protection of any real or personal property.

"Street" means as defined in ROH Section 15-2.23.

"Street block" means as defined in ROH Section 15-2.23.

"Waikiki special district" means the district described in ROH Section 21-9.80-2, including any precinct thereof established pursuant to Section 21-3.20. [Eff:]
(Auth: ROH §§ 15-2.15, 15-2.23, 15-24.20, 21-9.80-2) (Imp: RCH § 6-1703(d), ROH §§ 1-9.1, 15-24.20(g), HRS § 91-2)

§23-1-4 Method whereby the public may obtain information.

(a) The public may obtain information as to matters within the jurisdiction of the Department by inquiring at:

- (1) The Office of the City Clerk, City Hall, where there are now on file all rules of the Department.
- (2) The Office of the Department, Third Floor, Fasi Municipal Building, 650 South King Street, Honolulu, Hawaii 96813.

(b) Inquiry may be made in person, or by submitting a request for information to the Director in writing. [Eff:] (Auth: HRS § 91-2(a)(1)) (Imp: RCH § 6-1703(d), ROH §§ 1-9.1, 15-24.20(g), HRS § 91-2)

§23-1-5 Administration. The Director shall administer the provisions of these Rules and Regulations in consultation with other appropriate governmental agencies. [Eff:] (Auth: RCH § 6-1703) (Imp: RCH § 6-1703(d), ROH §§ 1-9.1, 15-24.20(g), HRS § 91-2)

§23-1-6 Permit required. (a) A permit is required for any parade or activity that does not comply with normal and usual traffic regulations or controls.

(b) No person shall parade on streets or highways, except for funeral processions and parades, marches, or processions by members of the United States Armed Forces, State of Hawaii Armed Forces and City police and fire departments, or undertake any activity on streets or highways without first obtaining a permit therefor. [Eff:] (Auth: ROH § 15-24.20(b)) (Imp: RCH § 6-1703(d), ROH §§ 1-9.1, 15-24.20(g), HRS § 91-2)

§23-1-7 Application for permit. (a) Any person desiring a permit shall file an application on forms provided by the Director with the Department. Information to be submitted on the application forms shall include, but not be limited to:

- (1) The name, mailing address, phone number and/or email address of the organization wishing to conduct the parade or activity and the purpose of the parade or activity.
- (2) The name, mailing address, phone number and/or email address of the chairperson of the parade or activity. The chairperson will be responsible for the conduct of the organization in conducting the parade or event.
- (3) The date of the parade or activity and the starting and ending time.
- (4) The route of the parade or activity and its starting point and termination point.
- (5) The approximate number of persons participating in the parade or activity.
- (6) The approximate number of persons, vehicles, bands, and animals to be in the parade.
- (7) The nature of items or equipment to be utilized to produce sounds or noise during the parade or activity.

- (8) Any other information that the Director may require to fully evaluate the application.
- (9) A fee or charge may be required at the time of filing the application for the permit and cash or checks shall be made payable to the City and County of Honolulu.
 - (b) Application forms required to be submitted to the Department are as follows:
 - (1) Application/Permit for Parade/Special Event (DTS-79, Rev. 4/97).
 - (2) Special Event/Parade Worksheet for DTS C&C Honolulu.
 - (3) Lottery Addendum to Worksheet (if applicable).
 - (c) Forms are available at the Department Street Usage Office, Fasi Municipal Building, 650 South King Street, 2nd floor, Honolulu, Hawaii 96813. [Eff:] (Auth: ROH § 15-24.20(c)) (Imp: RCH § 6-1703(d), ROH §§ 1-9.1, 15-24.20(g), HRS § 91-2)

§23-1-8 Conditions for issuance of permits. (a) The Director shall determine whether the parade or the activity serves a public purpose. The Director may consider that the parade or the activity is for a public purpose, so long as any private benefit arising out of the parade or the activity is incidental to the public purpose.

(b) An application for a parade or activity, other than one subject to Section 23-1-13 and Section 23-1-15, shall be filed with the Department at least 90 calendar days prior to the date and not more than 365 calendar days prior to the intended event date on which the parade or the activity is to be held.

For legacy parades and activities, applications shall be filed with the Department as follows:

- (1) For events in calendar year 2007, applications shall be filed as soon as these Rules and Regulations are effective.
- (2) For all subsequent years, applications shall be filed beginning February 1st in the year preceding the calendar year of the event. If this day falls on a weekend or holiday, it shall be the next day not a weekend or holiday.

(c) Upon the filing of an application, the Director shall transmit a copy of such application to the chief of police for review, comments and recommendations, and to any other agency, if the Director determines a particular agency's review is necessary. The chief of police or any agency to which an application has been transmitted shall return such application with comments and recommendations, if any, to the Director

within five working days after receipt of the copy of the application.

(d) Any permit granted may contain conditions and restrictions to reduce or minimize the dangers and hazards to vehicular or pedestrian traffic, the impact upon the surrounding community and the public health, safety and welfare, including, but not limited to:

- (1) Changes to the date, time or route of the parade or activity.
- (2) Changes to the duration of the street closure, parking regulations or other temporary traffic controls.
- (3) Limiting the number of participants in the parade or activity.
- (4) Requiring a minimum number of police officers to properly police the parade or activity.
- (5) Arrangements for additional police officers to man traffic posts adjacent to the route to control the traffic flow diverted from the route of the parade or activity. The amount of officers needed for traffic posts is to be determined by the respective Honolulu Police Department patrol district representative.
- (6) Publicizing the parade or activity through the media of newspaper, radio and/or television, with explicit considerations given to temporary traffic restrictions associated with the parade or activity.
- (7) Providing for the collection and removal of all trash, garbage, and litter caused by and/or arising out of the parade or activity.
- (8) Permitting the passage of authorized emergency vehicles.

(e) An application for special duty police officers, other than one subject to Section 23-1-15, shall be filed with the Honolulu Police Department at least 60 calendar days prior to the intended event. [Eff:] (Auth: ROH § 15-24.20(d)(e)) (Imp: RCH § 6-1703(d), ROH §§ 1-9.1, 15-24.20(g), HRS § 91-2)

§23-1-9 Issuance of Permit. (a) The Director shall issue a permit when, upon consideration of the application and from other information as may otherwise be obtained, the Director finds that:

- (1) The chief of police, or other agencies, upon reviewing the request, are in concurrence with allowing the parade or activity.
- (2) The conduct of such parade or activity will not interrupt the safe and orderly movement of other traffic contiguous to its route.

- (3) The conduct of the parade or activity is not reasonably likely to cause injury to persons or property or to provoke disorderly conduct or create a disturbance.
- (4) The parade or activity is not to be held for the sole purpose of advertising the goods, wares, or merchandise of a particular business establishment, or for the purpose of advertising the support of a political candidate.
- (5) The applicant has secured comprehensive liability insurance, issued by a licensed carrier, and naming the City and County of Honolulu as an additional insured, and when applicable, the State of Hawaii, covering any claim or liability for damages, injuries or deaths, resulting from or arising out of the parade or activity or in connection therewith.
 - (A) General liability (Comprehensive form) insurance covering bodily injury, including death, personal injury and property damage, with limits of not less than \$500,000 Combined Single Limit (CSL) per occurrence, and including the following supplemental coverage or endorsements:
 - i. If alcoholic beverages are to be sold or served, Liquor Liability, with limits of not less than \$500,000 CSL per occurrence.
 - ii. If food or food products are to be sold or served, Products Liability, with annual aggregate limits of not less than \$500,000.
 - (B) Worker Compensation insurance, as required by State statutes, covering the applicant's employees and volunteers working in any capacity in connection with the Parade/Motorcade or Special Event permit.
 - (C) Certificates of insurance, or copies of such certificates, shall be filed by the applicant at least 60 calendar days prior to the date of the event with the Director.
 - (D) Such policies shall contain a clause whereby the insurance company agrees to give notice in writing to the Director, of any cancellation or alteration of such policies at least ten (10) days prior to such cancellation or alteration.
 - i. When a State highway is also utilized, all insurance required above shall name the State of Hawaii as an additional insured.
 - ii. Certificates of insurance, or copies of such certificates (separate from City's, do not stack certificate holder), shall be filed by

the applicant at least 60 calendar days prior to the date of the event, through the State Department of Transportation (DOT) to the Director.

iii. Such policies shall contain a clause whereby the insurance company agrees to give notice in writing to DOT, of any cancellation or alteration of such policies at least ten (10) days prior to such cancellation or alteration.

iv. The Director shall determine personal injury and property damage coverage based upon the size, location, and type of event involved. A copy of the certificate shall be filed with the Street Usage Section of the Department.

(6) Other conditions contained in these Rules and Regulations and other applicable laws have been met.

(b) Before a Street Usage permit can be issued, the applicant must secure permission and/or obtain other clearances and/or permits from, but not limited to, the following:

- (1) Customer Services Department, prior to using grounds surrounding City Hall and Annexes.
- (2) Department of Parks and Recreation, Permits Section, prior to using any City parks, beaches, malls and landscaped medians.
- (3) Department of Facility Maintenance, Division of Road Maintenance Chief, to request to patch potholes along the route and/or for cleaning of the street with street sweepers.
- (4) Department of Facility Maintenance, prior to erecting banner(s) and/or sign(s) on streetlights, and/or signal lights. See Application to Display Banners from Lampposts.
- (5) Honolulu Police Department, Special Duty Section
[Eff:] (Auth: ROH § 15-24.20(d)(e)) (Imp:
RCH § 6-1703(d), ROH §§ 1-9.1, 15-24.20(g), HRS § 91-
2)

§23-1-10. Restrictions. (a) No parade or activity will be permitted during peak traffic hours on any street. Peak traffic hours shall be as defined as between the hours of 5:30 a.m. to 8:30 a.m. and 3:30 p.m. to 6:00 p.m., Monday through Friday except State holidays.

(b) No person shall join a parade or activity without first obtaining permission from the organization that has been granted a permit to conduct a parade or activity.

(c) Only one parade or activity shall be permitted on any given day on any street.

(d) In the Central Business District, parades or activities will be permitted only on a Saturday, Sunday, or State holiday or after 6:00 p.m. on weekdays.

(e) No parade or activity will be permitted to use or travel the entire distance on Hotel Street, from Richards Street to North King Street.

(f) No parade or activity will be permitted continuously for more than 18 hours. [Eff:] (Auth: ROH § 15-24.20(d)(e)) (Imp: RCH § 6-1703(d), ROH §§ 1-9.1, 15-24.20(g), HRS § 91-2)

§23-1-11. Notice of Issuance, Denial or Alternative Permit. Written notice of issuance, denial or alternate permit shall be provided to the applicant as soon as practicable but in no event later than 15 calendar days prior to the event. [Eff:] (Auth: ROH § 15-24.20(f)) (Imp: RCH § 6-1703(d), ROH §§ 1-9.1, 15-24.20(g), HRS § 91-2)

§23-1-12. Denial or Revocation of Permit. (a) The Director is authorized and empowered to deny any permit if the parade or activity does not conform to any regulation contained in ROH Chapter 15, the State Traffic Safety Act, the rules and regulations promulgated by the state Director of Transportation pursuant to HRS Chapter 91, any conditions imposed by these Rules and Regulations, or any other written conditions imposed by the Director, prior to the issuance of the permit.

(b) Any person aggrieved by the decision of the Director to grant or deny a permit may appeal the decision of the Director by filing a notice of appeal with the Director within 10 calendar days after the date of the Director's decision, except for events that falls under section 23-1-15. The Director shall set the appeal for hearing and shall notify the applicant, the appealing party, if other than the applicant, and any person who submitted written comments to the Director on the application, of the date, time, and place of the hearing. Notice of the hearing and the conduct of the hearing shall comply with Chapter 91, Hawaii Revised Statutes.

(c) The chief of police is authorized to revoke any permit if the chief finds that, at the site when a parade or activity is to commence, and prior to the actual commencement of such parade or activity, any written conditions imposed by the Director have not been met. The Director is also authorized to

terminate any parade or activity in progress if the Director finds that public safety is endangered, or any written conditions to be observed during a parade or activity imposed by the Director have been breached.

(d) An application for a permit under Section 23-1-13 whose application has been denied and a permittee whose permit has been revoked under section (c) above may pursue any and all remedies as provided by law, since there will be no time to issue a notice and conduct a hearing as prescribed in HRS Chapter 91. [Eff:] (Auth: ROH § 15-24.20(f)(g)(h)) (Imp: RCH § 6-1703(d), ROH §§ 1-9.1, 15-24.20(g), HRS § 91-2)

§23-1-13 First Amendment Parades. (a) This Section shall only apply to parades held for the purpose of participants expressing views or engaging in other activities protected by the First Amendment of the United States Constitution.

(b) For purposes of ROH Section 15-24.20(d)(1), a parade held for the purpose of participants expressing views or engaging in other activities protected by the First Amendment of the United States Constitution shall constitute a "public purpose."

(c) An application for a First Amendment parade or activity shall be filed with the Department 5 working days prior to the date of the First Amendment parade or activity.

- (1) The application may be denied if inadequate information is provided to determine whether the event is a First Amendment parade or activity.
- (2) If the application for a First Amendment parade or activity is not denied within 3 working days from the date the application is submitted, the application shall be deemed granted and a permit shall be issued.
- (3) An application for a First Amendment parade or activity shall be denied if:
 - (A) The application is filed less than five working days prior to the date of the event.
 - (B) The date of the event conflicts with a permit application that has already been received and/or a permit that has already been issued by the Department.

(d) The City Department of the Corporation Counsel shall make a recommendation to the Director regarding whether an application meets the above requirements of a parade held for the purpose of participants expressing views or engaging in other activities protected by the First Amendment of the United States Constitution.

(e) The conditions to be imposed by the Director pursuant to ROH Sections 15-24.20(d)(4) and 15-24.20(e)(2) shall be reasonable and necessary and not unduly restrict the parade participants' ability to express views or engage in other activities protected by the First Amendment of the United States Constitution.

(f) The insurance requirement shall be waived if an applicant for a parade permit self-certifies in writing that the applicant is (1) unable to obtain the insurance coverage required by such section, or (2) unable to pay for such insurance coverage.

(g) The City shall accept an applicant's self-certification with respect to compliance with workers' compensation insurance requirements imposed by State of Hawaii law.

(h) The Director may impose requirements for applicants to provide police assistance with control of vehicle and pedestrian traffic only to the extent that such requirements are uniform for all parades with a similar duration, route and number of participants, vehicles and animals, and do not vary with the views being expressed by the participants. Such requirements shall not unduly restrict the parade participants' ability to express views or engage in other activities protected by the First Amendment to the United States Constitution. The Director shall waive such police assistance requirements to the extent that an applicant for a parade permit self-certifies, in writing, that the applicant is (1) unable to obtain such assistance, or (2) unable to pay for such assistance. The Honolulu Police Department may provide such assistance to the extent that providing such assistance is necessary and feasible.

(i) Traffic Coning and Signage Costs for First Amendment Parades.

- (1) The City agrees to be responsible for traffic coning and signage costs of up to \$2,500 per First Amendment parade subject to a cap of \$25,000 per calendar year.
- (2) After reaching the \$2,500 cap per First Amendment parade, the City shall have no obligation under to pay any remaining costs for traffic coning and signage.
- (3) After the \$25,000 cap is exhausted in any calendar year, the City shall have no obligation to pay any further traffic coning and signage costs. The City need not pay costs for traffic coning and signage exceeding \$2,500 per First Amendment parade or \$25,000 per calendar year absent a court order.
- (4) This Section 23-1-13(i), relating to traffic coning and signage costs for First Amendment parades, shall sunset four years after December 20, 2004 or after a final judgment is entered in the United States

District Court for the District of Hawaii, the Ninth Circuit Court of Appeals, or the United States Supreme Court clearly ruling that municipalities are not required to pay for traffic coning and signage costs or the United States Government enacts a statute clearly providing that municipalities are not required to pay for traffic coning and signage costs, whichever occurs first. This Section 23-1-13(i) shall not set a precedence for future City policy after this provision sunsets or for future Court review as to whether the City is responsible for paying for traffic coning and signage costs for First Amendment parades.

(5) The Corporation Counsel of the City and County of Honolulu and the American Civil Liberties Union of Hawaii agree to cooperate in seeking approval of the Federal Court to initiate, one (1) year prior to the expiration of the provision described in Section 23-1-13(i)(4) above, a declaratory judgment action regarding the legality of imposition of non-discriminatory financial conditions on the use of traditional public forums for core First Amendment activities.

(6) Allocation of the funds set forth in Section 23-1-13(i)(4) shall be pursuant to policies and procedures adopted by the Department after good faith consultation with the American Civil Liberties Union of Hawaii on policies with respect to parades and other events.

(j) With respect to publicizing the parade and removal of trash, garbage and litter (Sections 23-1-8(d)(6) and 23-1-8(d)(7) of these Rules and Regulations and Item 6 on the last page of the Parade/Motorcade, or Special Event Guidelines for permit), the Director agrees that these provisions shall only require an applicant to make best efforts.

(k) Applicants shall not be required to consult with or obtain the approval of any neighborhood board, community association, or property owner.

(l) The Director shall be permanently enjoined from enforcing the requirement that the applicant provide a written indemnification agreement. [Eff:] (Auth: RCH § 6-1703(d), ROH § 15-24.20(g), HRS § 91-2) (Imp: RCH § 6-1703(d), ROH §§ 1-9.1, 15-24.20(g), HRS § 91-2)

§23-1-14 Parking Meter Fees. (a) Whenever parking meters are utilized for parade/special events, the following schedule, payable in cash or check to City and County of Honolulu, will be followed:

- (1) ON-STREET: Refer to ROH Section 15-22.8.
- (2) MUNICIPAL PARKING LOTS:
 - (A) Civic Center. . . - refer to Department of Facility Maintenance, Parking and Property Management Branch, Monday thru Friday, except holidays (from 8:00 am to 4:00 pm).
 - (B) Kailua. - \$4.50 a day, per meter, Sunday thru Saturday, no exceptions (24 hours).
 - (C) Kaimuki - \$3.00 a day, per meter, Sunday thru Saturday, no exceptions (24 hours).
 - (D) Kuhio - \$6.00 a day, per meter, Sunday thru Saturday, no exceptions (24 hours).
 - (E) River - refer to Department of Facility Maintenance, Parking and Property Management Branch, Sunday thru Saturday, no exceptions (24 hours).
 - (F) Salt Lake - \$2.00 a day, per meter, Sunday thru Saturday, no exceptions (24 hours).
 - (G) Honolulu Zoo . . . - refer to Department of Enterprise Services, per meter, Sunday thru Saturday, no exceptions (24 hours).
 - (H) Kapiolani Park (Kalakaua Ave., between Monsarrat Ave to Poni Moi, mauka side). refer to Department of Parks and Recreation, per meter, Sunday thru Saturday, no exceptions (from 10 am to 6 pm).
 - (I) Queen Emma Square - \$2.00 a day, per meter, Monday thru Saturday, except Holidays (have Department of Parks & Recreation sign permit) (from 7:00 am to 6:00 pm).

(b) A non-profit group applicant, with IRS certification, may be exempt from paying the above fees. [Eff:]
(Auth: RCH § 6-1703(d), ROH § 15-24.20(g), HRS § 91-2) (Imp: RCH § 6-1703(d), ROH §§ 1-9.1, 15-24.20(g), HRS § 91-2)

§23-1-15 Waikiki Special District Parades/Special Events.

(a) This Section shall only apply to parades and activities held in the Waikiki special district, as defined in Section 21-1-3 of these Rules and Regulations.

(b) Applications for Legacy parades and activities and First Amendment parades and activities shall not be subject to the requirements of this Section.

(c) Definitions:

"Waikiki special district lottery" means a lottery that is run by the Department for the purpose of determining parades and activities in the Waikiki special district.

"Completed application package" means the following items:

- (1) A properly completed special event/parade worksheet and lottery addendum.
- (2) A properly completed special event/parade application.
- (3) Applicant shall arrange for necessary traffic controls such as no parking signs, coning, signing, electronic message boards, or any other traffic control or device specified by Department.
- (4) Proof of all other necessary governmental or other permits. (Ft. DeRussy, Kapiolani Park, Ala Moana Park, etc.)

"Trimester" means a calendar year divided as follows:

- (1) "1st trimester" means January to April in the respective calendar year.
- (2) "2nd trimester" means May to August in the respective calendar year.
- (3) "3rd trimester" means September to December in the respective calendar year.
- (d) Conditions when Waikiki special district lottery to be held.
- (1) Should the number of parade and/or activities in the Waikiki special district exceed the allotted five (5) parades and/or activities in any trimester, the Department shall conduct one (1) lottery for each trimester on the same day.

(A) Dates of Waikiki special district lottery

- i. For all 2007 events, the Waikiki special district lottery will be held on November 21, 2006.
- ii. For all subsequent years, the Waikiki special district lottery will be held on the 2nd Tuesday in September, for events to be held during the following calendar year, during normal business hours, Monday to Friday. Should this day fall on a holiday, the Waikiki special district lottery will be conducted on the next business day.

- (B) The Waikiki special district lottery will be held in a public building or office determined by the Department during normal business hours.
 - (C) Advertisement of Waikiki special district lottery dates, times and locations. The Department will inform all lottery applicants by, but not limited to, email, phone, or direct mail at least 2 weeks prior to the Waikiki special district lottery. The Department will use the contact information from the completed lottery worksheet. It is the responsibility of the applicant to ensure that the contact information on the completed lottery worksheet remains current.
- (2) After all requirements have been met, applicants will be assigned a code number or name corresponding to their event date.
 - (e) Requirements for entry into the Waikiki special district lottery.
 - (1) Properly completed lottery worksheet.
 - (A) If more than one worksheet is received for the same date, or the date on the worksheet conflicts with a First Amendment parade or activity or a legacy parade or activity, the earliest received will be given that date. There will be only one (1) worksheet accepted for any given date and area.
 - (B) Only one (1) permit shall be issued to each person, group, or organization for any given date. No applicant may submit more than one worksheet. If an applicant submits more than one worksheet, all worksheets submitted by applicant shall be rejected. For the purpose of these rules, applicants considered to be submitting more than one worksheet shall include but not limited to:
 - i. An individual submitting more than one worksheet, whether in his own name or through an agent;
 - ii. An individual or legal entity submitting a worksheet who also owns, directly or indirectly, any interest in a joint venture, partnership or corporation, which has also submitted a worksheet;
 - iii. A joint venture partnership or corporation submitting a worksheet where a person owning, directly or indirectly, any interest in such joint venture partnership, or

- corporation has also submitted a worksheet;
and
- iv. A joint venture, partnership or corporation submitting a worksheet where a person owning, directly or indirectly, any interest in such joint venture, partnership or corporation also owns any interest in another joint venture, partnership or corporation, which has submitted a worksheet under these rules.
 - v. If there is reasonable ground to believe that collusion exists among two or more applicants, all of the worksheets of the parties to such collusion shall be rejected and the parties to such collusion shall be prohibited from applying and securing future permits.
- (2) Completed worksheets can be filed with department on or after May 1st in the calendar year preceding event date
 - (3) Properly completed lottery worksheet must be received by department:
 - (A) For events in calendar year 2007, November 15, 2006.
 - (B) For all subsequent years, August 31st in the year preceding the calendar year of the event. If this day falls on a weekend or holiday, it will be the earliest previous workday.
 - (4) Lottery worksheets cannot be submitted for multiple dates for the same event.
 - (f) Waikiki special district lottery drawing
 - (1) The drawing will be made by a person not connected to:
 - (1) any organization in the lottery; (2) the Traffic signals and technology division of the Department; and
 - (3) the Mayor's office.
 - (2) The Department shall award the permits to the first five (5) applicants drawn. The Department shall assign numerical priorities to as many applicants that have complied with requirements. If any of the permits awarded to first 5 are declined, not picked up by the deadline as provided below, or are not desired or not usable for any other reason, the permits shall be awarded to alternates. Alternates shall be determined in the sequence of the drawing of the lottery and alternate permits awarded accordingly. For example, 6, then 7, and so on.

- (3) Lottery participants need not be present.
- (4) Lottery winners cannot transfer or defer their event.
- (5) Winners will be notified by, but not limited to, certified mail, email, or phone.
- (6) A lottery winner's completed application package must be received by the department at least 90 calendar days before the event date or their place will be given to the next alternate in order of the drawing.
- (7) A lottery winner shall comply with the insurance requirements of Section 23-1-9(a)(5), and shall file certificates of insurance, or copies of such insurance, at least 60 calendar days prior to the date of the event with the Director.
- (8) A lottery winner shall file an application for special duty police officers with the Honolulu Police Department at least 60 calendar days prior to the date of the event.
- (9) If a lottery winner fails to hold an event as scheduled, the Director may disqualify this applicant for 2 years from applying for a permit for a parade or activity in the Waikiki special district.
- (10) Permits shall be issued in the name of the person, organization or group designated on the Certificate of Insurance and shall be non-transferable.
- (g) Mayor's Waikiki special district waiver procedure.
 - (1) Applicants must complete a Mayor's waiver form supplied by the Department.
 - (2) A Mayor's waiver form will not be accepted by the Department until the next business day after a Waikiki special district lottery has been held.
 - (3) Only a completed Mayor's waiver form with all necessary documents will be accepted.
- (h) Methods whereby the public may obtain information about the Waikiki special district lottery.
 - (1) Where Obtained.
 - (A) The public may obtain information as to matters within the jurisdiction of the Director by inquiring at:

- (B) The office of the City Clerk, City Hall, where all rules of the Department are on file; or
 - (C) The office of the Department. All rules, orders or opinions of the Department are on file and available for public inspection at said office.
- (2) Submittals or Requests for Information. Such inquiry may be made in person at said offices during business hours, or by submitting a request for information in writing to the Director, Department of Transportation Services, 650 South King Street, 3rd floor, Honolulu, Hawaii 96813. [Eff:] (Auth: RCH § 6-1703(d), ROH § 15-24.20(d)(f), HRS § 91-2) (Imp: RCH § 6-1703(d), ROH §§ 1-9.1, 15-24.20(g), HRS § 91-2)