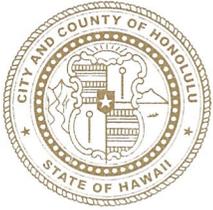


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OFFICE OF THE CITY CLERK

CITY AND COUNTY OF HONOLULU
530 SOUTH KING STREET, ROOM 100
HONOLULU, HAWAII 96813-3077
TELEPHONE: (808) 768-3810 · FAX: (808) 768-3835

GLEN I. TAKAHASHI
CITY CLERK

December 10, 2019

RECEIVED
STORM WATER QUALITY
BRANCH
2019 DEC 12 P 2:42

Mr. Ross S. Sasamura, P.E., Director and Chief Engineer
Department of Facility Maintenance
City and County of Honolulu
Honolulu, Hawaii 96813

Dear Mr. Sasamura:

I am transmitting the original rules and regulations of the Department of Facility Maintenance, relating to Title 14, Chapter 6, Storm Water Quality (SWQ), filed by your office on December 10, 2019, and to take effect on December 20, 2019.

Sincerely,

GLEN I. TAKAHASHI
City Clerk

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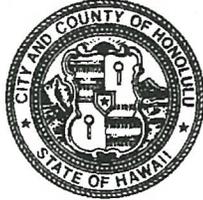
Attachment

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DEPARTMENT OF FACILITY MAINTENANCE
CITY AND COUNTY OF HONOLULU

1000 Ulu'ohia Street, Suite 215, Kapolei, Hawaii 96707
Phone: (808) 768-3343 • Fax: (808) 768-3381
Website: www.honolulu.gov

KIRK CALDWELL
MAYOR



ROSS S. SASAMURA, P.E.
DIRECTOR AND CHIEF ENGINEER

EDUARDO P. MANGLALLAN
DEPUTY DIRECTOR

IN REPLY REFER TO:
19-216 (D)

September 16, 2019

MEMORANDUM

TO: The Honorable Kirk Caldwell, Mayor
VIA: Roy K. Amemiya, Jr., Managing Director

FROM: Ross S. Sasamura, P.E.
Director and Chief Engineer
Department of Facility Maintenance

SUBJECT: City and County of Honolulu Administrative Rules, Title 14,
Department of Facility Maintenance, Chapter 6
Rules and Regulations Storm Water Quality (SWQ)

2019 DEC -5 P 3:28
RECEIVED
STORM WATER QUALITY
BRANCH

The Department of Facility Maintenance requests your review and approval of the Adoption of its Administrative Rules, Title 14, Chapter 6 – Rules and Regulations for Storm Water Quality (SWQ). Upon your approval, the Rules will be filed with the City Clerk's Office.

If you have any questions, please call Mr. Randall R. Wakumoto, Branch Head of the Storm Water Quality Branch, at 768-3242.

Attachment

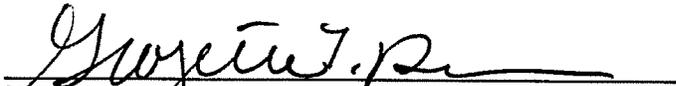
APPROVED AS TO FORM AND LEGALITY:

Paul S. Aoki, Acting Corporation Counsel
Department of the Corporation Counsel *PAA*

10/17/19
Date

Memo to The Honorable Kirk Caldwell, Mayor
September 16, 2019
Page 2

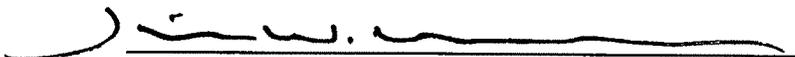
APPROVED:

RKC 

Roy K. Amemiya, Jr.
Managing Director

10/21/19
Date

APPROVED:



Kirk Caldwell
Mayor

10/24/19
Date

Attachment: City and County of Honolulu Administrative Rules, Title 14,
Department of Facility Maintenance, Chapter 6,
Rules and Regulations Storm Water Quality (SWQ)

CITY AND COUNTY OF HONOLULU ADMINISTRATIVE RULES

TITLE 14

DEPARTMENT OF FACILITY MAINTENANCE

CHAPTER 6

RULES AND REGULATIONS
STORM WATER QUALITY (SWQ)

TITLE 14

DEPARTMENT OF FACILITY MAINTENANCE

RULES OF THE DEPARTMENT OF FACILITY MAINTENANCE
OF THE CITY AND COUNTY OF HONOLULU
STATE OF HAWAII

CHAPTER 6

STORM WATER QUALITY (SWQ)

SUBCHAPTER 1 ENFORCEMENT

- §14-6-1 Applicability
- §14-6-2 Definitions
- §14-6-3 Notice of Violation
- §14-6-4 Notice of Order
- §14-6-5 Other Enforcement Orders
- §14-6-6 Addition of unpaid civil fines to taxes,
fees and charges collected by the City
- §14-6-7 Referral to a Collection Service

SUBCHAPTER 2 APPEALS

- §14-6-8 Purpose
- §14-6-9 Definitions
- §14-6-10 Appearance and practice before the
Department
- §14-6-11 Computation of time
- §14-6-12 Form of pleadings
- §14-6-13 Requirements and fees for filing
- §14-6-14 Petition for appeal
- §14-6-15 Mandatory filing deadline
- §14-6-16 Withdrawal of petition
- §14-6-17 Prehearing procedure
- §14-6-18 Intervention
- §14-6-19 Contested case, notice hearing and records
- §14-6-20 Rules of evidence; official notice
- §14-6-21 Burden of proof
- §14-6-22 Failure to appear
- §14-6-23 Decision and order
- §14-6-24 Judicial remand
- §14-6-25 Declaratory Rulings

CHAPTER 6
STORM WATER QUALITY (SWQ)

SUBCHAPTER 1
ENFORCEMENT

§14-6-1	Applicability
§14-6-2	Definitions
§14-6-3	Notice of Violation
§14-6-4	Notice of Order
§14-6-5	Other Enforcement Orders
§14-6-6	Addition of unpaid civil fines to taxes, fees and charges collected by the City
§14-6-7	Referral to a Collection Service

§14-6-1 Applicability. This Article shall apply to the actions of the Director in the administration and enforcement of chapter 14, Revised Ordinances of Honolulu, 1990, as amended, the permits and licenses issued thereunder, and the duly adopted rules of the Department. [Eff DEC 20 2019]
(Auth: HRS §91-2; RCH §6-903; ROH 14-12.31)
(Imp: HRS §91-2).

§14-6-2 Definitions. As used in this Article, unless the context clearly requires otherwise:

"Agency" means a state or county board, office, commission, department, or officer authorized by law to make rules or to adjudicate contested cases, except those in the legislative or judicial branches.

"City" means the City and County of Honolulu.

"Civil fine" means any monetary penalty imposed by the Director or a court of competent jurisdiction to enforce a violation of the administrative rules of the Department of Facility Maintenance or chapter 14, Revised Ordinances of Honolulu.

"Civil fines program" means a program for enforcing violations of the administrative rules of the Department of Facility Maintenance or chapter 14, Revised Ordinances of Honolulu, by imposing civil fines for violations and attaching unpaid fines to taxes, fees, and charges collected by the City.

"Code" means chapter 14, Revised Ordinances of Honolulu, and shall include any administrative rule adopted thereunder and the conditions of any permit issued by the Director pursuant thereto.

"Collecting agency" means an agency or representative of the City authorized to collect specified taxes, fees or charges established by statute, act, ordinance, rules or regulation.

"Contested case" means a proceeding in which the legal rights, duties, or privileges of specific parties are required by law to be determined after opportunity for a hearing.

"Days" means calendar days, including weekends and holidays, unless otherwise indicated.

"Department" means the Department of Facility Maintenance, City and County of Honolulu.

"Director" means the Director and Chief Engineer of the Department of Facility Maintenance of the City and County of Honolulu or the Director's authorized representative.

"Hearings officer" means the individual or entity designated to conduct hearings by the Director.

"Party" means the Department and/or any person who participated in an agency proceeding as complainant, respondent, or intervener in an agency proceeding.

"Person" means any individual, partnership, corporation, trust, unincorporated association or joint venture, a government or any department or agency thereof, or any other entity, and shall include responsible corporate officers.

"Recurring violation" means a repetition of the same type of violation by the same person.

"Responsible Corporate Officer" or "RCO" means any officer or employee of a business entity, regardless of corporate form, who: (1) has a position of responsibility that may influence corporate policies or actions and (2) causes, enables, or allows a violation to take place as a result of acts or omissions that contribute to or result in a violation.

"Unpaid civil fine" means any outstanding civil fine due and owing to the City by a violator, in whole or in part, for more than thirty (30) days.

[Eff DEC 20 2019] (Auth: HRS §91-2; RCH §§4-105.4, 6-903; ROH §§1-9.1, 14-12.31) (Imp: HRS §91-2).

§14-6-3 Notice of Violation. (a) The Director may issue a notice of violation (NOV) upon determining that there is a violation of any Code.

(b) The Director shall serve the NOV upon the violator, which shall be the person responsible for the violation and may include the fee owner, leaseholder, sub-leaseholder, assignee, or tenant of the real property on which the violation occurs, the permittee of any permit issued by Director, and any general contractor or subcontractor responsible for work on the premises.

(c) Service of the NOV shall be as specified by the appropriate ordinance. Where the means of service is not specified by ordinance, service shall be by delivery or certified mail.

(d) In addition to the requirements set forth in §14-12.24, ROH, the NOV shall describe each violation separately and state what action, if any, is necessary to correct the violation. The NOV shall also state the date by which the necessary corrective actions must be completed in order to avoid the imposition of civil fines. [Eff DEC 20 2019] (Auth: HRS §91-2; RCH §§4-105.4, 6-803; ROH §§1-9.1, 14-12.31) (Imp: HRS §91-2; ROH §14-12.24).

§14-6-4 Notice of Order. (a) The Director may issue a notice of order (NOO) upon determining that a violation cited in a NOV remains uncorrected after the deadline specified in the NOV or upon determining that a violation is a reoccurring violation of any Code.

(b) The Director shall serve the NOO upon the violator, which shall be the person responsible for the violation and may include the fee owner, leaseholder, sub-leaseholder, assignee, or tenant of the real property on which the violation occurs, the

permittee of any permit issued by Director, and any general contractor or subcontractor responsible for work on the premises.

(c) Service of the NOO on the violator shall be as specified by the appropriate ordinance. Where the means of service is not specified by ordinance, service shall be by delivery or certified mail.

(d) The NOO shall identify the uncorrected violation and corresponding NOV or identify a prior violation and describe each reoccurring violation separately. The NOO shall state the amount of the civil fine imposed and the amount of additional civil fines that will be imposed until the date of correction, if any. Alternatively, the NOO may state the amount of the civil fine imposed and the amount of a subsequent civil fine that will be imposed unless the violation is corrected by a specified date.

(e) The amount of all civil fines imposed by NOO shall be in accordance with the ordinances authorizing the imposition of such fines. Where no minimum or maximum fine is established by ordinance, the civil fine imposed by a NOO shall be no less than one thousand dollars (\$1,000.00) and no more than twenty-five thousand dollars (\$25,000.00), per violation, per day, except as expressly stated otherwise in §14-12.28 [Eff DEC 20 2019] (Auth: HRS §91-2; RCH §§4-105.4, 6-903; ROH §§1-9.1, 14-12.31) (Imp: HRS §91-2; ROH §§14-12.24, 14-12.28).

§14-6-5 Other enforcement orders. In addition to NOVs and NOOs the Director may issue any alternative order authorized by ordinance. The Director may incorporate the requirements of any order authorized by ordinance into the provisions of a NOV and/or NOO issued under these rules. [Eff DEC 20 2019] (Auth: HRS §91-2; RCH §§4-105.4, 6-903; ROH §§1-9.1, 14-12.31) (Imp: HRS §91-2; ROH §14-12.26).

§14-6-6 Addition of unpaid civil fines to taxes, fees and charges collected by the City.

(a) An unpaid civil fine that remains due and owing after all rights to contested case hearing have

been exhausted, may then be added by administrative action of the Director to any taxes, fees and/or charges collected by the City, other than charges for residential water use or residential sewer charges.

(b) Once a civil fine is attached to any license, approval, or permit fee, the license, permit, or approval shall not be issued or renewed until the unpaid civil fine is paid in full.

(c) The Director may add unpaid civil fines to the following taxes, fees and charges collected by the City:

List of Taxes, Fees and Charges Collected by the City to Which Unpaid Civil Fines May Be Added

Collecting Agency:	Eligible Tax, Fee or Charge:
Department of Planning and Permitting	Building and demolition Permit fees
	Sign permit fee
	Building code variance application fee
	Relocation permit fee
	All Land Use Ordinance permit and other application fees, including application fees for a zone map change
	Special management area use permit fee
	Shoreline variance application fee
	Subdivision approval fee
	Shoreline variance application fee
	Eligible Tax, Fee or Charge
	Subdivision approval fee
	Special use permit (involving 15 acres or less and not in the conservation district) fee

Collecting Agency:	Eligible Tax, Fee or Charge:
	General plan amendment fee
	Development plan amendment fee
	State land use district boundary amendment (involving 15 acres or less and not in the conservation district) fee
	Grading, excavation, grubbing and trenching permit fees
Customer Service Department	Motor vehicle registration fee and vehicle weight tax
	Motor vehicle transfer of ownership fee
	Drivers license renewal fee
	Business license fee
Liquor Commission	Liquor license and renewal fees
Department of Environmental Services	Refuse collection fee
	Refuse disposal fee
	Nonresidential sewer fees

(d) Prior to administratively adding unpaid civil fines to any taxes, fees, or charges collected by the City, the Director shall provide written notification to any person affected by the addition of unpaid civil fines to any taxes, fees, or charges collected by the City. Such notice shall not be construed to provide any additional rights for further review or appeal. Such notice shall provide statements specifying, at a minimum:

- (1) The particular tax, fee, or charge to which any unpaid civil fine has been administratively added;
- (2) The effective date of the addition of the unpaid civil fine to the tax, fee, or charge; and

(3) The amount of the unpaid civil fine that has been added to the tax, fee, or charge.

[Eff DEC 20 2019] (Auth: HRS §§46-1.5; RCH §§4-105.4, 6-903; ROH §§1-9.1, 1-19.3, 1-19.4) (Imp: ROH §§1-19.3, 1-19.4).

§14-6-7 Referral to Collection Services. An unpaid civil fine that remains due and owed after all rights to administrative appeal have been exhausted may be referred by the Director to a collection service. [Eff DEC 20 2019] (Auth: HRS §91-2, RCH §§4-105.4, 6-903; ROH §§1-9.1, 14-12.31) (Imp: ROH §§1-19.3, 1-19.4, 1-19.5).

CHAPTER 6
STORM WATER QUALITY (SWQ)

SUBCHAPTER 2
APPEALS

§14-6-8	Purpose
§14-6-9	Definitions
§14-6-10	Appearance and practice before the Department
§14-6-11	Computation of time
§14-6-12	Form of pleadings
§14-6-13	Requirements and fees for filing
§14-6-14	Petition for appeal
§14-6-15	Mandatory filing deadline
§14-6-16	Withdrawal of petition
§14-6-17	Prehearing procedure
§14-6-18	Intervention
§14-6-19	Contested case, notice hearing and records
§14-6-20	Rules of evidence; official notice
§14-6-21	Burden of proof
§14-6-22	Failure to appear
§14-6-23	Decision and order
§14-6-24	Judicial remand
§14-6-25	Declaratory Ruling

§14-6-8 Purpose. These rules shall govern appeals from the actions of the Director and shall be construed and administered to secure the just, speedy, and inexpensive determination of every action. [Eff DEC 20 2019] (Auth: HRS §91-2, RCH §§4-105.4, 6-903; ROH §§1-9.1, 14-12.31) (Imp: HRS §91-2).

§14-6-9 Definitions. As used in this Article, unless the context clearly requires otherwise: "Action of the Director" or "Director's action" means a decision by the Director regarding an application pursuant to chapter 14, Revised Ordinances of Honolulu, a petition for declaratory ruling pursuant to section 14-6-25, or an enforcement order issued by the Director pursuant to chapter 14, Revised

Ordinances of Honolulu, or chapter 14-2 et. seq., subchapter 1.

"City" means City and County of Honolulu.

"Contested case" means a proceeding in which the legal rights, duties, or privileges of specific parties are required by law to be determined after an opportunity for Department hearing.

"Days" means calendar days, including weekends and holidays, unless otherwise indicated.

"Department" means the City and County of Honolulu Department of Facility Maintenance.

"Director" means the Director and Chief Engineer of the City and County of Honolulu Department of Facility Maintenance or the Director's authorized representative.

"Hearings Officer" means the Director's authorized representative for hearing appeals from the actions of the Director pursuant to these rules.

"Party" means each person or agency named or admitted as a party, or properly seeking and entitled as of right to be admitted as a party, in any Department proceeding.

"Petitioner" means the individual or individuals who have submitted a Petition for Appeal requesting a contested case hearing regarding an action of the Director.

"Petition for appeal" means a request for a contested case hearing and appeal from an action of the Director.

"Petition for intervention" means a petition to the Hearings Officer requesting admission to a contested case proceeding as a real party in interest.

"Rule" means a Department statement of general or particular applicability and future effect that implements, interprets, or prescribes law or policy, or describes the organization, procedure, or practice requirements of the Department. The term does not include regulations concerning only the internal management of the Department and not affecting private rights of or procedures available to the public, nor does the term include declaratory rulings issued by the Director. [Eff DEC 20 2019] (Auth: HRS

§91-2; RCH §§4-105.4, 6-903; ROH §§1-9.1, 14-12.31)
(Imp: HRS §91-2).

§14-6-10 Appearance and practice before the Department. (a) A person may appear in the person's own behalf; a partner may represent the partnership; an officer, trustee, or authorized employee of a corporation or trust or association may represent the corporation, trust or association; and an officer or employee of an agency may represent the agency in any proceeding before the Department.

(b) A person may be represented by counsel in any proceeding under these rules.

(c) A person shall not be represented in any proceeding before the Department or a Hearings Officer except as stated in subsections (a) or (b).

(d) When a person who is not an attorney acts in a representative capacity and appears in person or signs any document or other papers in practice before the Department or Hearings Officer, the person shall show the person's authority to act in that capacity.

[Eff DEC 20 2019] (Auth: HRS §91-2, RCH §§4-105.4, 6-903; ROH §§1-9.1, 14-12.31)
(Imp: HRS §91-2).

§14-6-11 Computation of time. In computing any period of time prescribed or allowed by these Rules, the day of the act, event, or default after which the designated period of time begins to run shall not be included. The last day of the period so computed shall be included unless it is a Saturday, a Sunday or a holiday, in which event the period runs until the end of the next day which is not a Saturday, a Sunday or a holiday. When the period of time prescribed or allowed is less than 7 days, intermediate Saturdays, Sundays and holidays shall be excluded in the computation. As used in this rule, "holiday" includes any day designated as such pursuant to section 8-1 of the Hawai'i Revised Statutes. Any submission of documents, pursuant to these Rules, shall be during the normal business hours of the Department, which is 7:45 a.m. to 4:30 p.m. [Eff DEC 20 2019]

(Auth: HRS §91-2; RCH §§4-105.4, 6-903; ROH §§1-9.1, 14-12.31) (Imp: HRS §91-2).

§14-6-12 Form of pleadings. (a) Form. All pleadings and documents to be filed shall be typewritten, printed, photocopied, or otherwise similarly prepared by a duplication process that will produce clear and permanent copies equally legible to printing, upon un-ruled white paper of standard quality, 8 1/2 x 11 inches in size, and each sheet shall have a margin at the top and bottom of 1 inch. The left-hand and right-hand side margin shall be not less than 1 inch. Such documents shall be typewritten in heavily inked black ribbon or printed in black. The type shall be standard 12 point font or equivalent. The lines on each page shall be double-spaced or one and one-half spaced. All pages shall be numbered consecutively at the bottom and shall be firmly bound together at the top. Exhibits may be fastened to pages of the specified size. Signatures and all other handwritten entries on documents shall be in blue or black ink.
[Eff DEC 20 2019] (Auth: HRS §91-2; RCH §§4-105.4, 6-903; ROH §§1-9.1, 14-12.31) (Imp: HRS §91-2).

§14-6-13 Requirements and fees for filing.

(a) The filing fee for a petition for appeal shall be determined by the following schedule and shall be submitted concurrently with a petition for appeal. Failure to submit the required fee concurrently with the petition for appeal shall result in the return of the petition for appeal without further action by the Hearings Officer or Department.

Schedule of Fees

Violation Amount	Filing Fee For Petition For Appeal
\$0- \$250	\$50
\$251 - \$999	\$100

Violation Amount	Filing Fee For Petition For Appeal
Greater than \$1,000	\$300
Appeals from Actions of the Director that do not impose a civil fine	\$300

(b) When a pleading or other document requiring service is presented for filing, the original shall be accompanied with a sufficient number of copies for service. The original shall be kept on file by the Hearings Officer. Service of documents to parties to a proceeding must be made with filed or certified copies of the document(s) no less than one (1) business day after filing. [Eff DEC 20 2019] (Auth: HRS §91-2; RCH §§4-105.4, 6-903; ROH §§1-9.1, 14-12.31) (Imp: HRS §91-2).

§14-6-14 Petition for appeal. (a) Any person specially, personally, and adversely affected by an Action of the Director may appeal the Director's action by submitting a written petition for appeal setting forth:

- (1) All pertinent facts; and
- (2) The reasons why the Petitioner believes the Director's action is based on an erroneous finding of material fact, arbitrary or capricious decision-making, or a manifest abuse of discretion.

Petitions for appeal may be made by using the form provided by the Department.

(b) Petitions for appeal may not exceed ten (10) pages in length.

(c) Claims not raised in the petition for appeal shall not be heard by the Hearings Officer. [Eff DEC 20 2019] (Auth: HRS §91-2; RCH §§4-105.4, 6-903; ROH §§1-9.1, 14-12.31) (Imp: HRS §§91-2, 91-9; ROH §9-5.1, 14-5.18, 14-12.27).

§14-6-15 Mandatory filing deadline. A petition for appeal must be filed within thirty (30) days of the mailing or personal service of the Director's action. If the petition for appeal is not filed within thirty (30) days, it shall be dismissed upon a motion by the Director. [Eff DEC 20 2019] (Auth: HRS §91-2; RCH §§4-105.4, 6-903; ROH §§1-9.1, 14-12.31) (Imp: HRS §§91-2, 91-9; ROH §14-12.27).

§14-6-16 Withdrawal of petition. A written request for the withdrawal of a petition for appeal shall be approved by the Hearings Officer if submitted with the concurrence of all appearing parties. [Eff DEC 20 2019] (Auth: HRS §91-2; RCH §§4-105.4, 6-903; ROH §§1-9.1, 14-12.31) (Imp: HRS §91-2).

§14-6-17 Prehearing procedure. (a) Within ten (10) days of the filing of a petition and payment of the filing fee specified in Rule 14-6-13, the Hearings Officer shall transmit copies of the petition to the Director and the owner, leaseholder, sub-leaseholder, assignee, or tenant of the real property, if they are not the petitioner.

(b) Within fifteen (15) days of the filing of a petition and payment of the filing fee specified in Rule 14-6-13, the Hearings Officer shall issue a scheduling order to the parties setting a contested case hearing which must be no less than sixty (60) days from the date of the scheduling order.

(c) The Hearings Officer shall require the submission of written briefs by the parties. The Petitioner's brief and Interveners' brief shall be submitted no less than thirty (30) days prior to the contested case hearing date. The Director's brief and the briefs of any interveners shall be submitted no less than fifteen (15) days prior to the contested case hearing date.

(d) Hearing briefs shall contain:

- (1) A limited statement of the facts and arguments to be presented at the

contested case hearing, all of which shall not exceed fifteen (15) pages;

- (2) A list of witnesses and a statement as to what each witness will testify about; and
- (3) All exhibits to be presented at the hearing.

(e) Motions and memoranda may be submitted to the Hearings Officer. However, no motion may be filed less than twenty (20) days prior to the hearing.

(f) Witness subpoena requests shall be filed together with written briefs.

(g) All parties shall provide copies of all filed documents to the other parties and shall file written certification as to the transmittal of documents to the parties stating the name of the document provided, the date, time and means of transmittal, and the address at which the document was provided. [Eff DEC 20 2019] (Auth: HRS §91-2; RCH §§4-105.4, 6-903; ROH §§1-9.1, 14-12.31) (Imp: HRS §91-2).

§14-6-18 Intervention. (a) Any interested person may submit a petition to intervene in a contested case hearing within thirty (30) days of the filing of a petition for appeal.

(b) The parties to the proceeding in which intervention is sought may file memoranda responsive to the petition within ten (10) days of service.

(c) Upon a hearing on the petition to intervene, intervention shall be granted to any party who demonstrates that they will be so directly and personally affected by the Director's action that their interests are distinguishable from the general public. Intervention shall be denied if the interests of an intervening party are substantially similar to those of an admitted party. [Eff DEC 20 2019] (Auth: HRS §91-2; RCH §§4-105.4, 6-903; ROH §§1-9.1, 14-12.31) (Imp: HRS §91-2).

§14-6-19 Contested cases, notice hearing and records. (a) In any contested case, all parties

shall be afforded an opportunity for hearing after reasonable notice. The notice shall be provided by the Hearings Officer and served upon the parties by certified mail, with return receipt requested. At a minimum, the notice shall be served upon the parties no less than seven (7) days prior to the hearing and shall include a statement of:

- (1) The date, time, place, and nature of the hearing;
- (2) The legal authority under which the hearing is to be held;
- (3) The particular sections of the statutes and rules involved;
- (4) An explicit statement in plain language of the issues involved and the facts alleged by the Department in support thereof; provided that if the Hearings Officer is unable to state such issues and facts in detail at the time the notice is served, the initial notice may be limited to a statement of the issues involved, and thereafter upon application a bill of particulars shall be furnished;
- (5) The fact that any party may retain counsel, if the party so desires, and the fact that an individual may appear on the individual's own behalf, or a member of a partnership may represent the partnership, or an officer or authorized employee of a corporation or trust or association may represent the corporation, trust, or association.

(b) At the hearing, the parties shall be afforded an opportunity to call witnesses, cross-examine witnesses, present evidence, and make legal argument on all issues involved.

(c) Any procedure in a contested case may be modified or waived by stipulation of the parties and informal disposition may be made of any contested case by stipulation, agreed settlement, consent order, or default.

(d) The presiding Hearings Officer shall have the power to give notice of the hearing, administer oaths, compel the attendance of witnesses and the production of documentary evidence, examine witnesses, issue subpoenas, rule on offers of proof, receive relevant evidence, hold conferences before and during hearings, rule on objections or motions, fix times for submitting documents, briefs, and dispose of other matters that normally and properly arise in the course of a hearing authorized by law that are necessary for the orderly and just conduct of a hearing. However, the Hearings Officer may not waive or suspend the requirements of these Rules without the express written consent of all parties.

(e) For the purpose of contested case hearings, the record shall include:

- (1) All pleadings, motions, intermediate rulings;
- (2) Evidence received or considered, including oral testimony, exhibits, and a statement of matters officially noticed;
- (3) Offers of proof and rulings thereon;
- (4) Proposed findings and exceptions;
- (5) Report of the Hearings Officer who presided at the hearing; and
- (6) Memoranda submitted to members of the agency in with their consideration of the case.

(f) The record of the proceedings shall only be transcribed, if necessary, for judicial review, or upon request of the Hearings Officer or any party. Where transcription is requested by a party, the requesting party shall be responsible for arranging the services of a stenographer and shall be responsible for all costs of transcription.

(g) No matters outside the record shall be considered by the Hearings Officer in making its decision, except as provided herein.

(h) For good cause shown, a contested case hearing may be continued by the Hearings Officer.

[Eff DEC 20 2019] (Auth: HRS §91-2; RCH §§4-105.4, 6-903; ROH §§1-9.1, 14-12.31) (Imp: HRS §§91-2, 91-9; ROH §9-5.1, 14-5.18, 14-12.27).

§14-6-20 Rules of evidence; official notice.

(a) In contested cases, any oral or documentary evidence may be received; however, the Hearings Officer shall exclude all irrelevant, immaterial, or unduly repetitious evidence and shall give effect to the rules of privilege recognized by law.

(b) Documentary evidence may be received in the form of copies or excerpts, if the original is not readily available, provided that upon request parties shall be given an opportunity to compare the copy with the original.

(c) Every party shall have the right to conduct such cross-examination as may be required for a full and true disclosure of the facts, and shall have the right to submit rebuttal evidence.

(d) The Hearings Officer may take notice of judicially recognizable facts. In addition, the Hearings Officer shall take notice of generally recognized technical or scientific facts within the specialized knowledge of the Department, provided that the parties shall be notified of the matters so noticed and afforded an opportunity to contest the facts so noticed. [Eff DEC 20 2019] (Auth: HRS §91-2; RCH §§4-105.4, 6-903; ROH §§1-9.1, 14-12.31) (Imp: HRS §§91-2, 91-9, 91-10; ROH §14-12.27).

§14-6-21 Burden of proof. The party initiating the proceeding shall have the burden of proof, including the burden of producing evidence, as well as the burden of persuasion. The degree or quantum of proof shall be a preponderance of the evidence.

[Eff DEC 20 2019] (Auth: HRS §91-2; RCH §§4-105.4, 6-903; ROH §§1-9.1, 14-12.31) (Imp: HRS §91-2).

§14-6-22 Failure to appear. If any party fails to appear at two (2) consecutive hearings on a matter,

their right to a contested case hearing shall be waived and the Petition for Appeal shall be dismissed. [Eff DEC 20 2019] (Auth: HRS §91-2; RCH §§4-105.4, 6-903; ROH §§1-9.1, 14-12.31) (Imp: HRS §91-2).

§14-6-23 Decision and Order. (a) An appeal shall only be sustained if the Hearings Officer determines that the Director's action was predicated upon an erroneous finding of material fact, arbitrary or capricious decision-making, or a manifest abuse of discretion. In all other cases, the appeal shall be denied.

(b) Where an appeal is sustained, the Hearings Officer may reverse or modify the Director's action, provided, however, that the action of the Director may not be modified in excess of the Director's authority.

(c) The prevailing party shall prepare a proposed order for the Hearings Officer, which shall set forth proposed findings of fact and conclusions of law in separately numbered paragraphs.

(d) Any party objecting to the proposed order shall submit their objections to the Hearings Officer, in writing, no less than fifteen (15) days after service of the proposed order. The party submitting the proposed order shall be allowed to respond to any objections within fifteen (15) days.

(e) Where no objections to the proposed order are made, the Hearings Officer shall adopt the proposed order without further hearing. However, where objections to the proposed order are made, the Hearings Officer shall hold a hearing to adopt findings of fact, conclusions of law, and a decision and order. Within seven (7) days of the adoption of the final order, the Hearings Officer shall serve copies of the order upon the parties by delivery or registered mail. [Eff DEC 20 2019] (Auth: HRS §91-2; RCH §§4-105.4, 6-903; ROH §§1-9.1, 9-2.8, 14-1.3, 13-12.31) (Imp: HRS §§91-2, 91-9, 91-12; ROH §14-12.27).

§14-6-24 Judicial remand. (a) If a matter is remanded to the Department for further proceedings pursuant to section 91-14, Hawaii Revised Statutes, the judicial record shall be incorporated into the record of proceedings before the Department.

(b) Upon notice of the remand, the Hearings Officer shall schedule a public hearing within sixty (60) days of the remand. Notice of the hearing shall be transmitted to the parties by certified mail no less than fifteen (15) days prior to the public hearing.

(c) At the public hearing, the Hearings Officer shall identify the issues on remand and the scope of additional testimony or evidence that may be received based on the needs of the case and terms of the remand.

(d) The Hearings Officer shall conduct further proceedings pursuant to section 14-6-17 and may require additional briefs, witness lists, and exhibits lists of the parties. [Eff DEC 20 2019] (Auth: HRS §91-2; RCH §§4-105.4, 6-903; ROH §§1-9.1, 14-12.31) (Imp: HRS §§91-2, 91-9, 91-14; ROH §14-12.27).

§14-6-25 Declaratory Rulings. (a) Any interested person may petition the Director for a declaratory ruling as to the applicability of any statute or ordinance relating to the Department, any rule or order of the Department, or the condition of any permit issued by the Department.

(b) Contents of the petition. A petition for declaratory ruling must contain: (1) The petitioner's name, address, and telephone number; (2) A complete statement of relevant facts; (3) A clear designation of the statutes, ordinances, rules, orders and/or permit conditions concerned; (4) A description of the controversy or uncertainty involved; (5) A statement as to the petitioner's opinion or position; and (6) Justification, legal arguments, and references to legal authorities in support of the petitioner's position.

(c) The filing fee for a Declaratory Ruling is \$300.00 and must be submitted concurrently with a Petition for Declaratory Ruling. Failure to submit the required fee concurrently with the Petition for Declaratory Ruling will result in the return of the Petition for Declaratory Ruling without further action by the Department.

(d) Disposition. The Director must deny a petition for declaratory ruling for failure to comply with the requirements for a petition for declaratory ruling within thirty (30) days. In all other cases, the Director may deny the petition, in writing, stating the reasons therefore, or issue a declaratory ruling on the matters contained in the petition within sixty (60) days. The Director must notify the petitioner of any action on the petition in writing and transmit such notice to the petitioner by certified mail.

(e) Denial of a petition for declaratory ruling. The Director may refuse to issue a declaratory ruling where:

(1) The question is speculative or hypothetical and does not involve existing facts or controversies;

(2) The petitioner's interest in the matter is insufficient to confer the petitioner with standing to seek judicial relief;

(3) The matters set forth in the petition are under litigation by the City or may reasonably be expected to be litigated by the City;

(4) The matter is not within the jurisdiction of the Department; or

(5) For other good cause.

(f) Applicability of declaratory rulings. A declaratory ruling applies only to the specific factual situation stated in the petition or in the Director's ruling. A declaratory ruling does not apply in situations involving different facts or where facts not considered by the Director in issuing a declaratory ruling exist. [Eff DEC 20 2019]
(Auth: HRS §91-2; RCH §§4-105.4, 6-903; ROH §§1-9.1, 14-12.31) (Imp: HRS §§91-2, 91-8).

DEPARTMENT OF FACILITY MAINTENANCE

The Department of Facility Maintenance, Administrative Rules and Regulations governing Storm Water Quality were adopted on September 16, 2019, following a public hearing held on September 9, 2019, after public notice was given in the Honolulu Star-Advertiser on August 9, 2019.

These rules shall become effective upon approval by the Mayor of the City and County of Honolulu and ten (10) days after filing with the City Clerk.

DEPARTMENT OF FACILITY MAINTENANCE
CITY AND COUNTY OF HONOLULU



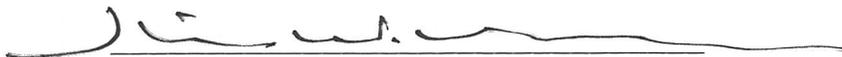
ROSS S. SASAMURA, P.E. *RW*
Director and Chief Engineer

APPROVED AS TO FORM AND LEGALITY:



Devin W. Wong
Deputy Corporation Counsel

APPROVED this 4th day of
December, 2019.



KIRK CALDWELL, MAYOR
City and County of Honolulu

CERTIFICATION

I, ROSS S. SASAMURA, in my capacity as Director and Chief Engineer of the Department of Facility Maintenance, City and County of Honolulu, do hereby certify that the foregoing is a full, true and correct copy of the Department of Facility Maintenance Administrative Rules and Regulations governing Storm Water Quality, which were adopted on September 16, 2019, following a Public Hearing held on September 9, 2019, after public notice was given in the Honolulu Star-Advertiser on August 9, 2019.



ROSS S. SASAMURA, P.E. *RW*
Director and Chief Engineer

Received this 10TH day of
December, 2019.



City Clerk
Gien I. Takahashi