

# SF330 (6/06) - PROFESSIONAL SERVICES QUALIFICATIONS CITY AND COUNTY OF HONOLULU

NOTE: The federal SF330 has been modified for City use.

## GENERAL INSTRUCTIONS

Part I presents the qualifications for a specific service category.

Part II presents the general qualifications of a firm or a specific branch office of a firm.

## DEFINITIONS

**Branch Office:** A geographically distinct place of business or subsidiary office of a firm that has a key role on the team.

**Discipline:** Primary technical capabilities of key personnel, as evidenced by academic degree, professional registration, certification, and/or extensive experience.

**Key Personnel:** Individuals who will have major contract responsibilities and/or provide unusual or unique expertise.

## SPECIFIC INSTRUCTIONS

### Part I - Qualifications Related to the Specific Service Category of Interest

#### SECTION A. SERVICE CATEGORY INFORMATION

2. Public Notice Date. Enter the posted date of the City's Notice To Providers of Professional Services.
3. Service Category. Enter the agency's Service Category exactly as shown in the public announcement.

#### SECTION B. POINT OF CONTACT

4-8. Name, Title, Name of Firm, Telephone Number, Fax (Facsimile) Number and E-mail (Electronic Mail) Address. Provide information for a representative of the firm that the agency can contact for additional information.

#### SECTION E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS SERVICE CATEGORY

Complete this section for each key person who will participate in this Service Category. The following blocks must be completed for each resume:

12. Name. Self-explanatory.
13. Role in This Service Category. Self-explanatory.
14. Years Experience. Total years of relevant experience (block 14a.), and years of relevant experience with current firm, but not necessarily the same branch office (block 14b.).
15. Firm Name and Location. Name, city and state of the firm where the person currently works.
16. Education. Provide information on the highest relevant academic degree(s) received. Indicate the area(s) of specialization for each degree.
17. Current Professional Registration. Provide information on current relevant professional registration(s) in the State of Hawaii as it relates to the Service Category of Interest.
18. Other Professional Qualifications. Provide information on any other professional qualifications relating to this contract, such as education, professional registration, publications, organizational memberships, certifications, training, awards, and foreign language capabilities.

19. Relevant Projects. Provide information on up to five projects in which the person had a significant role that demonstrates the person's capability relevant to her/his proposed role in this service category. These projects do not necessarily have to be any of the projects presented in Section F for the firm if the person was not involved in any of those projects or the person worked on other projects that were more relevant than the firm's projects in Section F. Use the check box provided to indicate if the project was performed with any office of the current firm. If any of the professional services or construction projects are not complete, leave Year Completed blank and indicate the status in Brief Description and Specific Role (block (3)).

**SECTION F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE FIRM'S QUALIFICATIONS FOR THIS SERVICE CATEGORY**

Select projects that demonstrate the firm's capability to perform work similar to that required for this service category. Complete one Section F for each project. Present no more than ten projects. Complete the following blocks for each project:

21. Title and Location. Title and location of project or contract. For an indefinite delivery contract, the location is the geographic scope of the contract.

22. Year Completed. Enter the year completed of the professional services (such as planning, engineering study, design, or surveying), and/or the year completed of construction, if applicable. If any of the professional services or the construction projects are not complete, leave Year Completed blank and indicate the status in Brief Description of Project and Relevance to This Contract (block 24).

23a. Project Owner. Project owner or user, such as a government agency or installation, an institution, a corporation or private individual.

23b. Point of Contact Name. Provide name of a person associated with the project owner or the organization which contracted for the professional services, who is very familiar with the project and the firm's (or firms') performance.

23c. Point of Contact Telephone Number. Self-explanatory.

24. Brief Description of Project and Relevance to This Service Category. Indicate scope, size, cost, principal elements and special features of the project. Discuss the relevance of the example project to the Service Category of interest.

25. Firms Involved With This Project. Indicate which firms (or branch offices, if appropriate) on the project team were involved in the example project, and their roles.

**SECTION G. KEY PERSONNEL PARTICIPATION IN EXAMPLE PROJECTS**

This matrix is intended to graphically depict which key personnel identified in Section E worked on the example projects listed in Section F. Complete the following blocks (see example below).

26. and 27. Names of Key Personnel and Role in Example Project. List the names of the key personnel and their proposed roles in this Service Category in the same order as they appear in Section E.

28. Example Projects Listed in Section F. In the column under each project key number (see block 29) and for each key person, place an "X" under the project key number for participation in the same or similar role.

29. Example Projects Key. List the key numbers and titles of the example projects in the same order as they appear in Section F.

**SECTION H. ADDITIONAL INFORMATION**

30. Use this section to provide additional information at your discretion to address selection criteria that are not covered by the information provided in Sections A-G.

**SECTION I. AUTHORIZED REPRESENTATIVE**

31. and 32. Signature of Authorized Representative and Date. An authorized representative of a joint venture or the prime contractor must sign and date the completed form. Signing attests that the information provided is current and factual. Joint ventures selected for negotiations must make available a statement of participation by a principal of each member of the joint venture.

33. Name and Title. Self-explanatory.

**SAMPLE ENTRIES FOR SECTION G (MATRIX)**

26. NAMES OF KEY PERSONNEL (From Section E, Block 12)	27. ROLE IN EXAMPLE PROJECT (From Section E, Block 13)	28. EXAMPLE PROJECTS LISTED IN SECTION F Fill in "Example Projects Key" section below before completing table. Place "X" under project key number for participation in same or similar role.									
		1	2	3	4	5	6	7	8	9	10
Jane A. Smith	Chief Architect	X		X							
Joseph B. Williams	Chief Mech. Engineer	X	X	X	X						
Tara C. Donovan	Chief Elec. Engineer	X	X		X						

**29. EXAMPLE PROJECTS KEY**

NO.	TITLE OF EXAMPLE PROJECT (FROM SECTION D)	NO.	TITLE OF EXAMPLE PROJECT (FROM SECTION D)
1	Federal Courthouse, Denver, CO	6	XYZ Corporation Headquarters, Boston, MA
2	Justin J. Wilson Federal Building, Baton Rouge, LA	7	Founder's Museum, Newport, RI

## Part II - General Qualifications

See the "General Instructions" on page 1 for firms with branch offices. Prepare Part II for the specific branch office seeking work if the firm has branch offices.

1. Service Category of Interest. Indicate the Service Category of Interest exactly as shown in the public announcement.

2a-2e. Firm (or Branch Office) Name and Address. Self-explanatory.

3. Year Established. Enter the year the firm (or branch office, if appropriate) was established under the current name.

5. Ownership.

a. Type. Enter the type of ownership or legal structure of the firm (sole proprietor, partnership, corporation, joint venture, etc.).

6a-6c. Point of Contact. Provide this information for a representative of the firm that the agency can contact for additional information. The representative must be empowered to speak on contractual and policy matters.

7. Name of Firm. Enter the name of the firm if Part II is prepared for a branch office.

8a-8b. Former Firm Names. Indicate any other previous names for the firm (or branch office) during the last six years. Insert the year that this corporate name change was effective. This information is used to review past performance on City contracts.

9. Employees by Discipline. Use the relevant disciplines and associated function codes shown at the end of these instructions and list in the same numerical order. After the listed disciplines, write in any additional disciplines and leave the function code blank. List no more than 20 disciplines. Group remaining employees under "Other Employees" in column b. Each person can be counted only once according to his/her primary function. If Part II is prepared for a firm (including all branch offices), enter the number of employees by disciplines in column c (1). If Part II is prepared for a branch office, enter the number of employees by discipline in column c(2) and for the firm in column c(1).

10. Profile of Firm's Experience and Annual Average Revenue for Last 5 Years. Complete this block for the firm or branch office for which this Part II is prepared. Enter the experience categories which most accurately reflect the firm's technical capabilities and project experience. Use the relevant experience categories and associated profile codes shown at the end of these instructions, and list in the same numerical order. After the listed experience categories, write in any unlisted relevant project experience categories and leave the profile codes blank. For each type of experience, enter the appropriate revenue index number to reflect the professional services revenues received annually (averaged over the last 5 years) by the firm or branch office for performing that type of work. A particular project may be identified with one experience category or it may be broken into components, as best reflects the capabilities and types of work performed by the firm. However, do not double count the revenues received on a particular project.

11. Annual Average Professional Services Revenues of Firm for Last 3 Years. Complete this block for the firm or branch office for which this Part II is prepared. Enter the appropriate revenue index numbers to reflect the professional services revenues received annually (averaged over the last 3 years) by the firm or branch office. Indicate City work (performed directly for the City, either as the prime contractor or subcontractor), non-City work and the total.

12. Authorized Representative. An authorized representative of the firm or branch office must sign and date the completed form. Signing attests that the information provided is current and factual. Provide the name and title of the authorized representative who signed the form.

List of Disciplines (Function Codes)

<b>Code</b>	<b>Description</b>	<b>Code</b>	<b>Description</b>
01	Acoustical Engineer	32	Hydraulic Engineer
02	Administrative	33	Hydrographic Surveyor
03	Aerial Photographer	34	Hydrologist
04	Aeronautical Engineer	35	Industrial Engineer
05	Archeologist	36	Industrial Hygienist
06	Architect	37	Interior Designer
07	Biologist	38	Land Surveyor
08	CADD Technician	39	Landscape Architect
09	Cartographer	40	Materials Engineer
10	Chemical Engineer	41	Materials Handling Engineer
11	Chemist	42	Mechanical Engineer
12	Civil Engineer	43	Mining Engineer
13	Communications Engineer	44	Oceanographer
14	Computer Programmer	45	Photo Interpreter
15	Construction Inspector	46	Photogrammetrist
16	Construction Manager	47	Planner: Urban/Regional
17	Corrosion Engineer	48	Project Manager
18	Cost Engineer/Estimator	49	Remote Sensing Specialist
19	Ecologist	50	Risk Assessor
20	Economist	51	Safety/Occupational Health Engineer
21	Electrical Engineer	52	Sanitary Engineer
22	Electronics Engineer	53	Scheduler
23	Environmental Engineer	54	Security Specialist
24	Environmental Scientist	55	Soils Engineer
25	Fire Protection Engineer	56	Specifications Writer
26	Forensic Engineer	57	Structural Engineer
27	Foundation/Geotechnical Engineer	58	Technician/Analyst
28	Geodetic Surveyor	59	Toxicologist
29	Geographic Information System Specialist	60	Transportation Engineer
30	Geologist	61	Value Engineer
31	Health Facility Planner	62	Water Resources Engineer
		63	ADA Specialist
		64	Appraiser (Real Property)
		65	Arborist
		66	Energy Service Specialist (Buildings)
		67	Program Manager

List of Experience Categories (Profile Codes)

<b>Code</b>	<b>Description</b>	<b>Code</b>	<b>Description</b>
A01	Acoustics, Noise Abatement	D07	Dining Halls; Clubs; Restaurants
A02	Aerial Photography; Airborne Data and Imagery Collection and Analysis	D08	Dredging Studies and Design
A03	Agricultural Development; Grain Storage; Farm Mechanization	E01	Ecological and Archeological Investigations
A04	Air Pollution Control	E02	Educational Facilities; Classrooms
A05	Airports; Navajds; Airport Lighting; Aircraft Fueling	E03	Electrical Studies and Design
A06	Airports; Terminals and Hangars; Freight Handling	E04	Electronics
A07	Arctic Facilities	E05	Elevators; Escalators; People-Movers
A08	Animal Facilities	E06	Embassies and Chanceries
A09	Anti-Terrorism/Force Protection	E07	Energy Conservation; New Energy
A10	Asbestos Abatement	E08	Engineering Economics
A11	Auditoriums and Theaters	E09	Environmental Impact Studies, Assessments or Statements
A12	Automation; Controls; Instrumentation	E10	Environmental and natural Resource Mapping
A13	ADA Compliance	E11	Environmental Planning
A14	Appraisal (Real Property)	E12	Environmental Remediation
B01	Barracks; Dormitories	E13	Environmental Testing and Analysis
B02	Bridges	E14	Energy Conservation Retrofit Design
C01	Cartography	F01	Fallout Shelters; Blast-Resistant Design
C02	Cemeteries ( <i>Planning and Relocation</i> )	F02	Field Houses; Gyms; Stadiums
C03	Charting; Nautical and Aeronautical	F03	Fire Protection
C04	Chemical Processing and Storage	F04	Fisheries; Fish Ladders
C05	Child Care/Development Facilities	F05	Forensic Engineering
C06	Churches; Chapels	F06	Forestry and Forest Products
C07	Coastal Engineering	G01	Garages; Vehicles Maintenance Facilities; Parking Decks
C08	Codes; Standards; Ordinances	G02	Gas Systems ( <i>Propane; Natural, Etc.</i> )
C09	Cold Storage; Refrigeration and Fast Freeze	G03	Geodetic Surveying: Ground and Airborne
C10	Commercial Building ( <i>Low Rise</i> ); Shopping Centers	G04	Geographic Information System Services: Development, Analysis, and Data Collection
C11	Community Facilities	G05	Geospatial Data Conversion: Scanning, Digitizing, Compilation, Attributing, Scribing, Drafting
C12	Communications Systems; TV; Microwave	G06	Graphic Design
C13	Computer Facilities; Computer Service	H01	Harbors; Jetties; Piers, ShipTerminal Facilities
C14	Conservation and Resource Management	H02	Hazardous Materials Handling and Storage
C15	Construction Management	H03	Hazardous, Toxic, Radioactive Waste Remediation
C16	Construction Surveying	H04	Heating; Ventilating; Air Conditioning
C17	Corrosion Control; Cathodic Protection Electrolysis	H05	Health Systems Planning
C18	Cost Estimating; Cost Engineering and Analysis; Parametric Costing; Forecasting	H06	High-rise; Air-Rights-Type Buildings
C19	Cryogenic Facilities	H07	Highways; Streets; Airfield Paving; Parking Lots
D01	Dams ( <i>Concrete; Arch</i> )	H08	Historical Preservation
D02	Dams ( <i>Earth; Rock</i> ); Dikes; Levees	H09	Hospital and Medical Facilities
D03	Desalinization ( <i>Process and Facilities</i> )	H10	Hotels; Motels
D04	Design-Build - Preparation of Requests for Proposals	H11	Housing ( <i>Residential, Multi-Family; Apartments; Condominiums</i> )
D05	Digital Elevation and Terrain Model Development	H12	Hydraulics and Pneumatics
D06	Digital Orthophotography	H13	Hydrographic Surveying

Code	Description	Code	Description
I01	Industrial Buildings; Manufacturing Plants	R01	Radar; Sonar; Radio and Radar Telescopes
I02	Industrial Processes; Quality Control	R02	Radio Frequency Systems and Shieldings
I03	Industrial Waste Treatment	R03	Railroad; Rapid Transit
I04	Intelligent Transportation Systems	R04	Recreation Facilities ( <i>Parks, Marinas, Etc.</i> )
I05	Interior Design; Space Planning	R05	Refrigeration Plants/Systems
I06	Irrigation; Drainage	R06	Rehabilitation ( <i>Buildings; Structures; Facilities</i> )
J01	Judicial and Courtroom Facilities	R07	Remote Sensing
L01	Laboratories; Medical Research Facilities	R08	Research Facilities
L02	Land Surveying	R09	Resources Recovery; Recycling
L03	Landscape Architecture	R10	Risk Analysis
L04	Libraries; Museums; Galleries	R11	Rivers; Canals; Waterways; Flood Control
L05	Lighting ( <i>Interior; Display; Theater, Etc.</i> )	R12	Roofing
L06	Lighting ( <i>Exteriors; Streets; Memorials; Athletic Fields, Etc.</i> )	S01	Safety Engineering; Accident Studies; OSHA Studies
M01	Mapping Location/Addressing Systems	S02	Security Systems; Intruder and Smoke Detection
M02	Materials Handling Systems; Conveyors; Sorters	S03	Seismic Designs and Studies
M03	Metallurgy	S04	Sewage Collection, Treatment and Disposal
M04	Microclimatology; Tropical Engineering	S05	Soils and Geologic Studies; Foundations
M05	Military Design Standards	S06	Solar Energy Utilization
M06	Mining and Mineralogy	S07	Solid Wastes; Incineration; Landfill
M07	Missile Facilities ( <i>Silos; Fuels; Transport</i> )	S08	Special Environments; Clean Rooms, Etc.
M08	Modular systems Design; Pre-Fabricated Structures or Components	S09	Structural Design; Special Structures
N01	Naval Architecture; Off-Shore Platforms	S10	Surveying; Platting; Mapping; Flood Plain Studies
N02	Navigation Structures; Locks	S11	Sustainable Design
N03	Nuclear Facilities; Nuclear Shielding	S12	Swimming Pools
O01	Office Buildings; Industrial Parks	S13	Storm Water Handling and Facilities
O02	Oceanographic Engineering	T01	Telephone Systems ( <i>Rural; Mobile; Intercom, Etc.</i> )
O03	Ordnance; Munitions; Special Weapons	T02	Testing and Inspection Services
P01	Petroleum Exploration; Refining	T03	Traffic and Transportation Engineering
P02	Petroleum and Fuel ( <i>Storage and Distribution</i> )	T04	Topographic Surveying and Mapping
P03	Photogrammetry	T05	Towers ( <i>Self-Supporting and Guyed Systems</i> )
P04	Pipelines ( <i>Cross-Country - Liquid and Gas</i> )	T06	Tunnels and Subways
P05	Planning ( <i>Community, Regional, Areawide and State</i> )	U01	Unexploded Ordnance Remediation Development
P06	Planning ( <i>Site, Installation and Project</i> )	U02	Urban renewals; Community
P07	Plumbing and Piping Design	U03	Utilities ( <i>Gas and Steam</i> )
P08	Prisons and Correctional Facilities	V01	Value Analysis; Life-Cycle Costing
P09	Product, Machine Equipment Design	W01	Warehouse and Depots
P10	Pneumatic Structures, Air-Support Buildings	W02	Water Resources; Hydrology; Ground Water
P11	Postal Facilities	W03	Water Supply; Treatment and Distribution
P12	Power Generation, Transmission, Distribution	W04	Wind Tunnels; Research/Testing Facilities Design
P13	Public Safety Facilities	Z01	Zoning; Land Use Studies

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# PROFESSIONAL SERVICES QUALIFICATIONS

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## PART I - SPECIFIC CATEGORY QUALIFICATIONS

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### A. SERVICE CATEGORY INFORMATION

2. PUBLIC NOTICE DATE

3. SERVICE CATEGORY

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### B. POINT OF CONTACT

4. NAME AND TITLE

5. NAME OF FIRM

6. TELEPHONE NUMBER

7. FAX NUMBER

8. E-MAIL ADDRESS

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**E. RESUMES OF KEY PERSONNEL PROPOSED FOR THIS SERVICE CATEGORY**  
*(Complete one Section E for each key person.)*

12. NAME	13. ROLE IN SERVICE CATEGORY	14. YEARS EXPERIENCE	
		a. TOTAL	b. WITH CURRENT FIRM

15. FIRM NAME AND LOCATION *(City and State)*

16. EDUCATION <i>(DEGREE AND SPECIALIZATION)</i>	17. CURRENT PROFESSIONAL REGISTRATION <i>(STATE AND DISCIPLINE)</i>
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18. OTHER PROFESSIONAL QUALIFICATIONS *(Publications, Organizations, Training, Awards, etc.)*

**19. RELEVANT PROJECTS**

<b>a.</b>	(1) TITLE AND LOCATION <i>(City and State)</i>	(2) YEAR COMPLETED	
	(3) BRIEF DESCRIPTION <i>(Brief scope, size, cost, etc.)</i> AND SPECIFIC ROLE	PROFESSIONAL SERVICES	CONSTRUCTION <i>(If applicable)</i>
		<input type="checkbox"/> Check if project performed with current firm	
<b>b.</b>	(1) TITLE AND LOCATION <i>(City and State)</i>	(2) YEAR COMPLETED	
	(3) BRIEF DESCRIPTION <i>(Brief scope, size, cost, etc.)</i> AND SPECIFIC ROLE	PROFESSIONAL SERVICES	CONSTRUCTION <i>(If applicable)</i>
		<input type="checkbox"/> Check if project performed with current firm	
<b>c.</b>	(1) TITLE AND LOCATION <i>(City and State)</i>	(2) YEAR COMPLETED	
	(3) BRIEF DESCRIPTION <i>(Brief scope, size, cost, etc.)</i> AND SPECIFIC ROLE	PROFESSIONAL SERVICES	CONSTRUCTION <i>(If applicable)</i>
		<input type="checkbox"/> Check if project performed with current firm	
<b>d.</b>	(1) TITLE AND LOCATION <i>(City and State)</i>	(2) YEAR COMPLETED	
	(3) BRIEF DESCRIPTION <i>(Brief scope, size, cost, etc.)</i> AND SPECIFIC ROLE	PROFESSIONAL SERVICES	CONSTRUCTION <i>(If applicable)</i>
		<input type="checkbox"/> Check if project performed with current firm	
<b>e.</b>	(1) TITLE AND LOCATION <i>(City and State)</i>	(2) YEAR COMPLETED	
	(3) BRIEF DESCRIPTION <i>(Brief scope, size, cost, etc.)</i> AND SPECIFIC ROLE	PROFESSIONAL SERVICES	CONSTRUCTION <i>(If applicable)</i>
		<input type="checkbox"/> Check if project performed with current firm	

**F. EXAMPLE PROJECTS WHICH BEST ILLUSTRATE FIRM'S QUALIFICATIONS FOR THIS SERVICE CATEGORY**  
*(Present no more than 10 projects, with emphasis on previous City projects. Complete one Section F for each project.)*

21. TITLE AND LOCATION <i>(City and State)</i>	22. YEAR COMPLETED	
	PROFESSIONAL SERVICES	CONSTRUCTION <i>(If applicable)</i>

**23. PROJECT OWNER'S INFORMATION**

a. PROJECT OWNER	b. POINT OF CONTACT NAME	c. POINT OF CONTACT TELEPHONE NUMBER
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24. BRIEF DESCRIPTION OF PROJECT AND RELEVANCE TO THIS SERVICE CATEGORY *(Include scope, size, and cost)*

**25. FIRMS INVOLVED WITH THIS PROJECT**

a.	(1) FIRM NAME	(2) FIRM LOCATION <i>(City and State)</i>	(3) ROLE
b.	(1) FIRM NAME	(2) FIRM LOCATION <i>(City and State)</i>	(3) ROLE
c.	(1) FIRM NAME	(2) FIRM LOCATION <i>(City and State)</i>	(3) ROLE
d.	(1) FIRM NAME	(2) FIRM LOCATION <i>(City and State)</i>	(3) ROLE
e.	(1) FIRM NAME	(2) FIRM LOCATION <i>(City and State)</i>	(3) ROLE
f.	(1) FIRM NAME	(2) FIRM LOCATION <i>(City and State)</i>	(3) ROLE



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**H. ADDITIONAL INFORMATION**

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30. PROVIDE ANY ADDITIONAL INFORMATION REQUESTED BY THE AGENCY. ATTACH ADDITIONAL SHEETS AS NEEDED.

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**I. AUTHORIZED REPRESENTATIVE**

The foregoing is a statement of facts.

31. SIGNATURE

32. DATE

33. NAME AND TITLE

